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THE ARGONNE RADIOLOGICAL IMPACT PROGRAM (ARIP)
Part I. Carcinogenic Hazard from Low-level,
Low-rate Radiation

by

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and Ralph S. Stowe

Environmental Statement Project

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September 1973

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LIST OF VARIABLES

<u>Vari- able</u>	<u>Page First Occurs</u>
r = observed rate of age-adjusted mortality	8
r' = spontaneous rate	8
D = dose	8
k = absolute risk per unit dose	8
DD = doubling dose	8
ICD = International Classification of Death	10
P _i = population subgroup	10
B _i = background rate	10
R = specific age group rate	14
P = probability	19
V = coefficient of variability	19
m = (D/DD) + 1	25
a = a constant	27
t = time	27
b = variable, dose dependent	27

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ABSTRACT

The entire Argonne Radiological Impact Program is briefly outlined, and part of the program dealing with radiation hazards from nuclear power plants is discussed in detail. Various models and predictions of carcinogenic hazard are examined and compared with actual experience in U. S. and foreign populations. All of the models predict a significant increment in malignant mortality with increasing background. Observation of the actual populations at risk shows not only no increment, but an actual decrement, so that these predictions are left quite without observational support. It is concluded that extrapolation of high-rate and usually high dose-level studies to low rates and low levels is probably invalid, and that radiation at such levels and rates does not constitute an environmental carcinogen of significance.

INTRODUCTION

In late 1971 the Environmental Statement Project was formed at Argonne National Laboratory to aid the U. S. Atomic Energy Commission in the preparation of environmental statements for the nuclear facilities being licensed by the Commission. Since methodology for assessing the impact of such facilities was in its infancy, it was necessary for us to develop methods and programs adequate to the task. For questions of radiological impact this eventually took the form of a series of studies, reports, recommendations and computer programs which, taken together, formed the Argonne Radiological Impact Program (ARIP). This report, ARIP I, concerns itself with evaluation of the carcinogenic hazard that might be associated with the radiation and radioactivity from nuclear facilities. Future ARIP reports will address other parts of the program, e.g.: the determination of optimum sites for nuclear facilities (II); evaluation of the doses received by man and other biota from the releases of nuclear facilities (III); storage and access of available data on radiation and radioactivity levels in the U. S. (IV); etc.

A recent report has summarized what is known of the genetic and somatic hazards of radiation.³² The principal somatic hazard was found to be carcinogenesis, and genetic and somatic hazards were found to be of about the same severity per unit dose. Our evaluations of nuclear power reactors, present and proposed, revealed that the major fraction of the dose received by man and other biota was somatic rather than genetic. The spectrum of

radionuclides emitted by a power plant, in conjunction with the pathways by which they reached man and other biota, almost invariably resulted in critical organ doses about an order of magnitude higher than the genetically significant doses received from the same source. The inexorable arithmetic of carcinogenesis renders the critical organ dose truly critical to the survival of the individual irradiated. While the probability of cancer in any organ is proportional only to the dose to that organ, the survival of the individual is largely independent of which organ becomes cancerous. At the present state of the art in cancer therapy, the survival of the individual is seriously threatened by cancer in any organ. Thus, radiation hazard from nuclear plants, small as it may be, is defined by the critical organ doses received. Thus such concepts as "whole body" dose are germane to the question of hazard only at a secondary level relative to critical organ doses.

So far as is known the radiation and radioactivity from a nuclear power plant is not uniquely hazardous and that, so long as doses are expressed in common units (i.e. rem), the hazard is independent of source.³² In terms of both dose rate and dose level, radiation hazard from nuclear plants most closely resembles background radiation. Thus, we opined that a study of carcinogenesis occasioned by the radiation background would constitute the most pertinent test of the potential carcinogenicity radiation and radioactivity from nuclear power plants.

THE PROBLEM

In recent years, the hypothesis has been advanced that a significant fraction of human cancer mortality may be due to the human radiation background.^{1-3,13,31-33} For a normalized irradiation of 170 millirem/yr, these authors have estimated U. S. cancer mortality excesses of about 3,000 to 100,000 per year, i.e., about 1% to 30% of current experience. Since the identification of so important an etiologic factor would be an event of major significance in the field of cancer epidemiology, we addressed ourselves to the examination²⁴ of the degree to which these hypotheses could be justified from current vital statistics and from the known variations in the radiation background.

This examination occupied a fair span of time, during which the mists of our comprehension cleared only slowly.⁵⁵ We began with vital statistics, recognizing that they form only a small part of the epidemiologic method, but intending to go on when they wore thin. Much to our surprise they continued to lead us on until, at the end, we had hardly applied anything else. We beg the reader's indulgency, therefore, if what we present is less in logical than in chronological order. We hope thus to indicate how it was possible for us to begin with the presumption that background radiation must be carcinogenic only to be forced, after something very much like the classic Drunkard's Walk,¹⁹ to conclude that it is not.

LINEAR MODELS -- THE GIVENS

The estimates given above all depend on linear extrapolation of data obtained at high dose-rates, and generally high doses, to the low-rate, low-dose condition of the natural background. The linear models involved have taken two forms; the *additive*, or absolute-risk, model, and the *multiplicative*, or relative-risk, model. Formally, these may be written as:²²

$$\begin{aligned} r &= r' + kD && \text{additive,} \\ r &= r' + r'(D/DD) && \text{multiplicative.} \end{aligned}$$

Here r is the observed rate of age-adjusted mortality, r' the "spontaneous" or radiation-independent rate, and D the dose. k is the absolute risk per unit dose, and DD the so-called "doubling dose," a measure of relative risk.

These two models differ in the role assigned radiation as a carcinogen. The additive model treats radiation as a complete carcinogen, sufficient unto itself, and with no need for co-carcinogens. The multiplicative model treats radiation as a pure co-carcinogen, amplifying whatever malignancy already exists, but not necessarily adding any on its own.

The estimates cited have all made use of five "generalizations," although these have been made most explicit only in the most recent reports.^{2,32} Following Gofman and Tamplin,² we have termed these "generalizations" rather than "assumptions" since they are based on an impressive assemblage of human and animal data as well as an extensive collection of theoretical discussions. Properly speaking, the "assumption" at issue was whether this data, involving small, selected groups at high doses and/or high dose-rates, could be linearly extrapolated to the U. S. population as a whole.

In the estimates presented to date, the following generalizations have been employed:^{1-3,13,31-33}

- (1) Radiation is a *pan-* to *polycarcinogen*, i.e. all/many sites are subject to radiation carcinogenesis, and in about the same measure;
- (2) Radiation sensitivity is independent of dose rate, but drops sharply during the first three decades of life, so that only the background dose received during the first 30 years is considered in estimating population risks over all ages. For a normalized background irradiation of 170 mrem/yr, this amounts to a total dose, D , of about 5.1 rem;
- (3) Estimates of total risk can be made assuming an age-averaged sensitivity over the entire population. For the additive model, estimates of k have ranged from 0.3 to 9.0 deaths per year per 100,000 population per rem. For the multiplicative model, estimates of doubling dose have ranged from about 50 to 500 rem. These ranges correspond, roughly, to from 1% to 30% of the current U. S. malignant mortality of about 320,000/yr. While many workers have used only a single value for sensitivity, others have utilized specific functions for generalization (2), and integrated these over

age to provide the most explicit estimates;^{2,32}

- (4) Aside from age, populations have been treated as equivalent -- regardless of "race, creed or color," so to speak. While this generalization has seldom been made explicit, it has always been implicit, as witness the extrapolation of data from Japanese bomb victims, uranium miners, spondylitics, infants radiographed *in utero* malignancy-bearing patients and the like, to the U. S. population as a whole; and
- (5) Latent periods for the initial appearance of radiogenic malignant mortality range from a few years for infants to as much as 30 years for adults. The bulk of the values used have been ≤ 5 years for infants and ≤ 20 years for adults.

In testing the two forms of the linear model, we utilized these generalizations as they stood. Natural background for each state were taken from Minx, Schleien, *et al.*⁶, and applied equally to both sexes and all races within the state. A man-made background (medical, fallout, nuclear devices, etc.) of 40 millirem/yr was added to the natural background. While this is admittedly lower than the 95 millirem given for 1972⁶ or the 45-61 given for the 1960's,¹² it was chosen as a reasonable, conservative average for the period 1950-1968.³² It makes some allowances for the presence in the population of groups whose medical exposure is probably low, and adds nicely to the U. S. average natural background⁶ of 130 mrem/yr to give the 170 mrem/yr which has so often been used as the basis of estimates. For some tests we needed a distribution function for radiogenic malignant mortality. We could find nothing explicit on this in the presentations. However, from the Poisson nature of radiation itself and the linear form of the model, we inferred Poisson statistics for radiogenic components of malignant mortality.

The major disagreements among the various authors seem to have centered on (1.) and (3.), as well as on the length of the plateau to be anticipated once the latent period had been exceeded. In order to arrange tests of all the variations, therefore, we added the following operational provisos:

- (1a.) We would begin with the assumption of pancarcinogenesis. But we would also arrange the tests so that, if this were not the case, sites would be eliminated until only those corresponding to polycarcinogenesis would be left.
- (3a.) We would begin with a high sensitivity and apportion it equally among the various sites. But we would also decrease this value progressively, toward zero, so as to encompass predictions made on the basis of various sensitivities and plateaus.

THE ADDITIVE MODEL

From generalizations (1) and (4) and the form of the additive equation, no general population may show a rate, r , of zero. Even if $r' = 0$, r will be equal to kD . Of course, an observation of $r = 0$ may be made if the statistics of sampling are such that kD cannot be distinguished from zero. Similarly, if other carcinogens are present,⁹ or the population shows a heterogeneity of sensitivity,³⁴ r may be greater than kD . In such cases there is simply no test. But r should never be lower than kD in this model.⁹

Following the methodology of Higginson,⁹ we attempted to determine if there were any subpopulations in the U. S. that violated this stricture, i.e., for which r was less than kD .

We were fortunate to have before us Burbank's⁵ outstanding recent compadium of U. S. cancer mortality for the 18-year period 1950-1967. Our analyses were performed on his Static Geographic Tables, using his ICD types, age-adjusted death rates, population bases, etc. These group the U. S. population into 200 groups for each ICD (International Classification of Death)⁵ type, i.e., 50 states, two sexes, and two races (white and non-white). ICD types 171-179 concern sites specific to only one sex, and were thus represented by 100 groups each. For details not available in Burbank, we utilized the available U. S. Statistics for 1950-1968,^{27,28} but the same methodology.⁵

These groups are listed by 55 specific ICD malignancy (Mn) types, plus one "all other" category, -- Burbank No. 65. The latter includes ICD malignancy types Mn 156, 165, 195, 198, and 199.

From generalizations (1, 1a) there must be roughly equal distribution of radiogenic malignant mortalities among the 56 Mn types. At $k = 3.2$ and $D = 5.1$, this would lead to an excess national mortality of about 33,600/yr, i.e., about 10% of current experience. Spread over 56 Mn types, this corresponds to $r = 0.3$ per Mn type, i.e., an expectation of about 54 radiogenic deaths per million population per Mn type for the 18-year period of observation. In order to run the gamut of proposed expectations, we first identified populations presenting at rates below 0.3 and 0.03, discriminated by sex, race, Mn type, and state of residence. The hope was that we would thus bracket some r at which the linear additive model would be easily tenable.

Observed mortalities were derived from Burbank⁵ or obtained from the U. S. Vital Statistics.^{27,28} "t" values were taken (expectation-observation)/(standard error) in the usual fashion.^{11,18} Radiogenic expectation was taken as:

$$\text{expt.} = \sum_i (18)(k/56)(30) B_i P_i,$$

where each population subgroup, P_i , had been exposed to radiation at its particular background rate, B_i , for the first 30 years of life and observed over 18 years for the designated malignancy at a sensitivity of $(k/56)$ per Mn type.

These expectations are given in the first column of each r group. Although, in cancer epidemiology, one would not usually consider expectations less than 5 or so,¹¹ much less express them as decimals, this *has* been the practice in radiation carcinogenesis studies.^{13,32} Thus, we have allowed this practice at $r = 0.3$ or 0.03 . At $r = 0.003$ we have only a plus sign (+) to indicate that the value for t is mathematically real but less than 1.

Population of each cohort presenting at $\leq r$ is also given (in thousands) as well as the weighted average dose level characterizing the cohort. At the bottom of the table we have summarized the number of malignancy types at various t levels, signaling those of observations less than expectation by the device of a "positive" t , and the converse by a "negative" t . The latter have also been signaled by dashes in the body of the table. In each case, the total of types is 56.

With so many Mn sites violating the requirements of the model, even as judged simply by the normal "t" test, we had to admit that it was extraordinarily improbable, at least at these levels. So, we continued dropping our search value for r until, at 0.006, *all* of the observations went to zero except for those three stalwarts, ICD 151, 153 and 171. Since we thought we might have something here, we did our estimations on the basis of $r = 0.003$, the mid-value of the interval, rather than 0.006, its upper bound.

In this range level, the normal "t" test becomes awkward.^{11,18} Thus, we resorted to the much more powerful, albeit lengthy and expensive, Monte Carlo method.¹⁹ Briefly the population of the U. S. was subjected to a random "rain" of radiocarcinogenic deaths at $r = 0.003$ for 100 18-year periods, and the results of each period analyzed as above. Where the $r = 0.003$ column of Table 1 shows zero observed deaths for 53 tumor types we found a range of 11-33. By the 35th trial, a mean of 20 ± 2 was reached, and this held to the end of trials. Ergo, not only is the null hypothesis ($r = 0.003$) improbable, but the Monte Carlo results suggest that a level of roughly $0.003/20$ would be needed to reach even a 63% confidence level. This corresponds to about 16 deaths/yr per 200,000,000 population, or about 0.005% of current U. S. mortality. Since the same pattern of zero observations had been found at all levels of r below 0.006, where the Monte Carlo expectation was about 41, a null hypothesis ($r = 0.006$) was commensurately less probable.

Now, the observation that "large," geographically contiguous populations exist in which malignant mortality rates are quite low is hardly novel.^{9,26,43} But, by making this sort of observation explicit and more-or-less quantitative, it is more readily seen how this phenomenon places upper bounds on the involvement of background radiation in carcinogenesis. If there is a surprise, it is that the upper bound is as low as it is, at least in this otherwise persuasive model.

In any case the model certainly seemed untenable at any level much greater than $r = 0.003/20 = 1.5 \times 10^{-4}$, at least as its authors originally presented it. In theory, we thought it might be saved by abandoning generalization (1) and confining higher expectations to 10 of the 56 types, even though these 10 corresponded poorly to those for which human radiocarcinogenesis had been shown.³² In practice, though, even this turned out

Table 1. Characteristics of U. S. Census Jurisdictions of Political Jurisdiction of State Jurisdiction Levels

Jurisdiction Type, ICD	emp.	T < 0.1			T < 0.05			T < 0.005						
		emp.	den.	prob.	emp.	den.	prob.	emp.	den.	prob.				
210	6714	24.1	1990	170	570.8	842	5.8	66,190	1175	2.3	0	1.5	1446	132
211	1533	4.8	1977	170	1.4	0	1.6	218	175	0.34	0	0	516	207
212	4603	17.5	1977	170	3.4	0	2.6	986	148	0.34	0	0	546	248
213	6514	24.6	1976	170	57.8	0	2.4	4,177	146	1	0	1.0	1224	248
214	2905	11.0	1976	170	5.0	12	1.4	2,724	206	0.80	0	0	504	204
215	6748	24.8	1976	170	14.5	3	2.0	2,234	138	1.19	0	1.0	1393	198
216	5817	21.7	1976	170	14.7	0	3.8	2,213	174	0.39	0	0	1115	198
217	1992	7.6	1976	170	14.7	0	3.5	201	194	0.09	0	0	5175	192
218	2977	11.1	1976	170	8.9	0	2.9	501	194	0.09	0	0	501	194
219	35	2.1	1976	170	0.5	0	0.5	213	213	0.09	0	0	501	213
220	1105	4.0	1976	170	1.6	0	1.3	849	213	0.36	0	0	807	207
221	1	0.0	1976	170	0.1	0	0.3	11	185	0.01	0	0	11	185
222	1	0.0	1976	170	0.0	0	0.0	4	175	0.00	0	0	4	175
223	0	0.0	1976	170	0.0	0	0.0	7	175	0.00	0	0	7	175
224	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
225	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
226	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
227	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
228	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
229	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
230	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
231	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
232	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
233	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
234	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
235	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
236	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
237	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
238	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
239	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
240	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
241	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
242	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
243	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
244	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
245	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
246	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
247	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
248	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
249	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
250	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
251	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
252	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
253	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
254	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
255	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
256	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
257	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
258	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
259	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
260	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
261	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
262	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
263	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
264	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
265	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
266	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
267	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
268	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
269	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
270	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
271	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
272	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
273	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
274	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
275	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
276	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
277	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
278	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
279	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
280	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
281	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
282	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
283	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
284	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
285	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
286	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
287	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
288	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
289	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
290	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
291	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
292	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
293	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
294	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
295	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
296	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
297	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
298	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
299	0	0.0	1976	170	0.0	0	0.0	1	180	0.00	0	0	1	180
300	0	0.0	1976	170	0.0	0	0.0	1	180					

to be improbable when we examined this unholy decade by criteria other than $r < kD$ (*vide infra*).

As an aside, we might note that this sort of epidemiological approach possesses some peculiar advantages over less direct studies of radiation effects. For one thing it addresses itself directly to the population of interest, in this case that of the U. S. rather than to small, select populations of the war-torn (e.g., Hiroshima and Nagasaki), of the ill (e.g., those irradiated for spondylitis, tuberculosis, thyroiditis, malignancies, thymic disorders, etc.), of the young (e.g., irradiation for tinea), or of the occupationally stressed (e.g., uranium miners). It may be noted, for example, that even the smallest population groups in Table 1 are as large and usually much larger than these select irradiated groups.

Then, too, the time span of observation is large. Although we have dealt only with an 18-year span here,³⁶ the data can quite properly be regarded as an 18-year sampling of a continuing procession of cohorts which span the full biblical "three score years and ten". And, of course, the radiation is being delivered over this entire time span, at the very rates of interest, and compounded, so to speak, for effects *in utero*, on the young, on the general population, and on the aged. Admittedly, epidemiological data are susceptible to problems of over- and under-reporting, but these are hardly likely to be more severe than the parallel problems in small-group studies. Finally, the sheer mass of data permits far better cross-checking and, in many instances, provides insight into phenomena too minor to be observed in small-group studies but which may spark an idea or hypothesis in the mind of the investigator.⁴⁷ Quite possibly it is this aspect which makes addicts of the adherents of epidemiology.

With this in mind, several curious observations appear in Table 1. While each malignancy type at $r = 0.003$ is within its respective statistical expectation, the consistent string of zero observed deaths, over populations that range from tens of thousands to nearly ten million, is a bit unsettling. Admittedly very low incidences of certain malignancies are characteristic of ethnic and geographic groups throughout the world.^{9,26} But one is not usually prepared for such variations in a population supposedly so culturally uniform as that of the U. S. It appears that the U. S. population comprises groups whose range of cancer mortality is nearly as wide as that of the world at large, substantiating previously puzzling observations of wide disparities between major U. S. cities.^{8,26,30}

Despite this heterogeneity, some socioeconomic bias exists in the U. S. data. Many of the 10 apocalyptic horsemen of Table 1, who refused to show significant groups below $r = 0.3$, appear less formidable when viewed in the light of worldwide malignancy mortalities. In the first part of Table 2, we have presented the lowest national rates that we were able to find on inspection of the more readily available literature. Considering the usual urban-rural, male-female, ethnic-racial and socioeconomic diversities that one invariably finds in such national collections, one can anticipate finding sizeable groups at rates of zero or near-zero on closer study, even for these remaining Mn sites. Indeed, there appear to be large homogeneous groups below $r = 0.3$ for *all* malignancies.^{9,26,41,43}

Several features of Table 2 may be worth noting. First, the "secondary,

Table 2. Minimum National Rates for Ten Malignancy Types

Mn Type	<u>All Ages</u>		<u>U. S., white, male</u>		<u>U. S., white, female</u>		<u>Early Adulthood</u>		
	r	Ref.	r	dr/dt	r	dr/dt	R	Age \bar{x}	Ref.
151	0.6	43	15.6	- 0.69	8.0	- 0.39	0.0	35	27, 37
153	0.0	43	16.1	+ 0.09	16.3	- 0.09	0.0	45	27, 37
155	0.0	43	3.0	+ 0.03	3.7	- 0.05	0.0	40	27, 37
157	0.2	39	9.3	+ 0.16	5.7	+ 0.06	0.0	50	27, 37
163	0.0	37	19.1	+ 0.96	3.6	+ 0.12	0.0	All	37
171	0.6	8	-	-	8.0	- 0.21	0.0	40	27, 38
174	0.5	37	-	-	4.8	- 0.26	0.0	50	37
175	0.6	39	-	-	8.5	+ 0.04	0.0	45	27
177	0.0	43	17.6	- 0.09	-	-	0.0	45	27, 37
"65"	0.1 - 4.0	8, 37	11.6	+ 0.01	9.7	- 0.17	0.0	40	27, 37

all other, and unspecified" categories 163, 174, and Burbank 65 characteristically constitute one of the major banes of the epidemiologist's existence (e.g.⁸). One hardly anticipates data of such analytical value in such catchall categories. Even so, the fact that cancer is a reportable disease in the Scandinavian countries,³⁷ with their remarkably complete registries, eliminates 163 entirely and produces some very low rates for 174 and for some of the ICD types included in 65. It seems quite likely that more data of this quality would eliminate them completely.^{41,43} After all, they must, from their very nature, vanish to zero in the limiting case of perfect diagnoses.

Secondly, the very low rates observed help to dispel the enticing, if mildly parochial, notion that the remaining 7 types somehow constitute "common" malignancies, while the 46 eliminated via Table 1 are "rare". While these 7 do account for perhaps a third of total U. S. malignant mortality,⁵ they hardly constitute important malignancies in other lands. Indeed, the only one of these to coincide with the BEIR list³² of important radiogenic malignancies, ICD 151, is dropping so linearly and rapidly in the U. S. that it bids fair to reach zero within the coming two decades.^{5,8} That something of the sort might transpire for several of the others is suggested by the data given in the second part of Table 2, i.e., rates and values of dr/dt , the yearly change in age-adjusted mortality rate.⁵

Some further test of the stature of these ten malignancy types may be made by considering generalizations (2) and (5). If these are indeed valid, a goodly fraction of the total radiogenic insult must have been received by age 10 and a significant number of radiogenic mortalities should have appeared by age 30. However, this does not seem to be the case, as shown in the third part of Table 2, where R is a specific age group rate. Here we have isolated those national rates for which we had age-specific data, and for which $R = 0$ up to age 30 or beyond. Again, if these ten horsemen were truly riding to the beat of a radiogenic drum, they were certainly riding more slowly than predicted by the linear additive models so far proposed.

All in all, then, it appears that even the abandonment of polycarcinogenesis would do little for the additive model, especially in the long run, and this model will probably have to be abandoned *in toto*.

ADDITIVE MODEL IN INFANCY

Infants irradiated *in utero* may well represent a special case. The heroic, case-by-case studies of Stewart^{20,47} have shown not only a very marked effect of diagnostic radiography at very low doses, but a broad spectrum of Mn types as well, so that her results have often been used to buttress the generalization of pancarcinogenesis.^{2,3,13,31-33} Stewart has reported a value of 572 malignant mortalities per million live births per rem of irradiation *in utero*, essentially all of them occurring before age 10.^{20,47} Stewart also showed that sensitivity during the first trimester *in utero* was about thrice that during the last trimester. Following Sternglass²¹ we budgeted the background by trimester at relative sensitivities of 3:2:1. This yielded an age-specific rate, $R = 1.11$, for *in utero* irradiation by the 130 millirem/yr U. S. natural background. We then added 40 millirem as a probable average *in utero* X-irradiation^{4,20} at a relative sensitivity of 1. The sum, $R = 1.34$, was distributed among the 56 tumor types to give $R = 0.024$ per type as an upper working level for test of hypothesis.

We screened U. S. experience as before, but with $R = 0.024$ and for the 0-9 year groups only. All states were accumulated so that only the two sexes and two race groups were discriminated, in addition to the available age discrimination of 0-4 and 5-9. Results are presented in Table 3, with populations given in millions. With only 19 of the 56 Mn types at t less than 2, a hypothesis of pancarcinogenesis was untenable at the 0.024 level of sensitivity. As before, we progressively lowered R until, at $R \leq 0.0018$, the pattern stabilized at the values given in the second column of Table 2. Here, at an implied sensitivity about 8% of that found by Stewart for other malignancies, we were left with 34 Mn sites at $r = 0$.

We turned again to the Monte Carlo method, and, for the mid-value $R = 0.0009$, obtained an expectation range of 11-42, with a mean of 27, where only zero had been observed. Thus, the null hypothesis ($R = 0.0009$) was also untenable. The results, rather, suggested that the actual sensitivity of the infant to background was of the order of $\leq 0.15\%$ of the sensitivity observed for diagnostic radiography, at least for these 34 types.

The remaining 22 Mn sites are in excellent agreement with the spectrum found by Stewart for diagnostic radiation. Since we had no way of removing the radiographic component from these populations, these 22 remaining sites may well include radiogenic deaths due to radiography, precisely as per Stewart. For the natural background, they simply represent cases of "no test".

The results are not consistent with a more selective model of additive carcinogenesis applicable only to these 22 sites. However, the cancers of early childhood form a rather special case of human malignancy.^{7,8,10,20,25,44,47} They seem to be chiefly embryogenic in origin, have rates which are relatively constant over quite varied populations, and decrease in rate with increasing age (the converse of the situation above age 10 or so).^{5,7,8,10,20,25,26,44} And, the 22 above only represent the most common malignancies in this age group. Thus, unlike the adult 10 horsemen above, it is improbable that zero rate groups will be found for more than a few of the 22, and the question of applicability of a partial additive model in this area is not likely to be answered by closer examination of worldwide experience along the lines used here.

Table 3. Cancer Mortality and Expectations, 0-9 Year Group

ICD Mn	R = 0.024				R = 0.0018			
	Exp.	obs.	t	pop., Mil.	Exp.	obs.	t	pop., Mil.
140	58	0	7.6	40	4.4	0	2.1	40
141	58	1	7.5	40	4.2	0	2.0	39
142	58	17	5.4	40	0.5	0	0.7	4.6
143	58	6	6.8	40	0.7	0	0.8	6.2
144	58	7	6.7	40	1.4	0	1.2	13
145	58	4	7.1	40	1.6	0	1.3	15
146	46	18	4.1	32	0.5	0	0.7	4.7
147	58	0	7.6	40	4.4	0	2.1	40
148	58	9	6.4	40	0.5	0	0.7	4.6
150	58	1	7.5	40	3.4	0	1.9	32
151	58	13	5.9	40	0.3	0	0.6	3.0
152	58	3	7.2	40	1.6	0	1.3	15
153	56	27	3.8	39	0	0	-	0
154	58	11	6.2	40	0.5	0	0.7	4.6
155	4.3	4	0.1	3	0	0	-	0
157	58	8	6.6	40	1.6	0	1.3	15
158	16	11	1.3	11	0	0	-	0
159	58	7	6.7	40	1.4	0	1.2	13
160	58	20	5.0	40	0.5	0	0.7	4.7
161	58	2	7.4	40	3.3	0	1.8	31
162	58	20	5.0	40	0.7	0	0.8	6.2
163	41	22	2.9	28	0	0	-	0
164	54	23	4.2	37	0.2	0	0.4	1.5
170	56	4	6.9	39	2.2	0	1.5	21
171	28	10	3.4	20	0.2	0	0.4	1.5
172	28	0	5.3	20	2.1	0	1.5	
173	28	1	5.1	20	2.0	0	1.4	18
174	28	8	3.8	20	0.2	0	0.4	1.5
175	0	0	-	0	0	0	-	0
176	16	2	3.5	11	0.3	0	0.6	3.1
177	17	6	2.7	12	0.2	0	0.4	1.5
178	15	13	0.5	10	0	0	-	0
179	30	7	4.2	21	0.3	0	0.6	3.1
180	0	0	-	0	0	0	-	0
181	43	23	3.1	30	0.2	0	0.4	1.6
190	58	17	5.4	40	0.2	0	0.4	1.5
191	58	21	4.9	40	0.2	0	0.4	1.5
192	0	0	-	0	0	0	-	0
193	0	0	-	0	0	0	-	0
194	58	9	6.4	40	0.5	0	0.7	4.6

Table 3. (Contd.)

ICD Mn	R = 0.024				R = 0.0018			
	Exp.	obs.	t	pop., Mil.	Exp.	obs.	t	pop., Mil.
196	0	0	-	0	0	0	-	0
197	0	0	-	0	0	0	-	0
200.0	2.3	2	0.2	1.6	0	0	-	0
200.1	0	0	-	0	0	0	-	0
200.2	2.1	2	0.1	1.5	0	0	-	0
201	29	17	2.2	20	0	0	-	0
202.0	58	0	7.6	40	4.4	0	2.1	40
202.1	0	0	-	0	0	0	-	0
205	58	0	7.6	40	4.4	0	2.1	40
203	58	5	7.0	40	2.3	0	1.5	22
204.0	0	0	-	0	0	0	-	0
204.1	2.1	2	0.1	1.5	0	0	-	0
204.2	0	0	-	0	0	0	-	0
204.3	0	0	-	0	0	0	-	0
204.4	0	0	-	0	0	0	-	0
All other	0	0	-	0	0	0	-	0
Sums	1880	385	-	-	51	0	-	-

THE MULTIPLICATIVE MODEL

Now, none of the foregoing bears on the multiplicative model except, possibly, to strengthen its position by removing a competitor. Indeed, the multiplicative model tends to predict much of what we saw. Relatively rare malignancies might be expected to show $r' = 0$, hence $r = 0$ as well. To be sure, it was a bit distressing that the anticipated mortalities below age 30 did not show up even though r' was clearly < 0 over the remaining age range. But then, this could be repaired by some changes in the values proposed for generalizations (2.), (3.) and (5.). Admittedly, radiation at low dose rates does seem to be remarkably ineffective as a complete pancarcinogen, or even as a complete carcinogen of any sort. But it could well be a pan-co-carcinogen, precisely as envisioned by the multiplicative model.

If this were the case, one would predict a fair increase of malignant mortality with increasing background, and this prediction has been made quite explicit by the model's authors,^{2,3} e. g., from 1% to 30% increase at 170 mrem/yr, depending on various assumptions of latency, plateau, and doubling dose.^{2,3,32}

With this in mind it was intriguing to note, in Table 1, the resolute insistence on dwelling in regions of high background that seemed to characterize the low mortality groups. At $r = 0.03$ and 0.003 only six groups were at the 170 mrem/yr national average, none were below the average, and at least 40 were above 180 mrem/yr. At first we thought this might only be a secondary association with the well-known urban trend of U. S. cancer mortality.^{14,26} Tests failed to substantiate this, however.²⁴ A white female resident of Dallas, for example (140 mrem/yr), simply seems to be about twice as likely to contract leukemia as her counterpart in Denver (290 mrem/yr). Since we doubted that anyone was prepared to ascribe oncolytic properties to the radiation background, we felt obliged to search for some other association. Surely there must be *some* sort of mortality increase with increasing background.^{2,3,32}

However, plots of U. S. rates for white, malignant mortality⁵ against natural background for the 50 states showed, if anything, the reverse⁵⁴ -- e.g., Figure 1. Now, were it not for the insistence of the hypothesis^{2,3} that there *must* be a correlation between malignant mortality and background, we would be inclined to dismiss Figure 1 as an example of simple noncorrelation.⁴⁶ However, of the 14 states above 140 mrem/yr, 12 were very significantly ($P < 0.01$) below the U. S. average, one insignificantly lower, and only one slightly, but significantly, higher. The probability of this occurring by pure chance proved to be < 0.001 . Similar results were obtained with an independent estimate of natural backgrounds.³⁵

Several features of Figure 1 might be worth noting. First of all, some states at common background had rates identical to the third significant figure, so that some of the single points actually represent pairs.

Secondly, no error bars are shown because the standard errors are less than the size of the points. The data base is, literally, enormous. Each point represents an average of about 10^5 deaths, and a coefficient of variability, V , of about 0.3%. Even the smallest states are represented

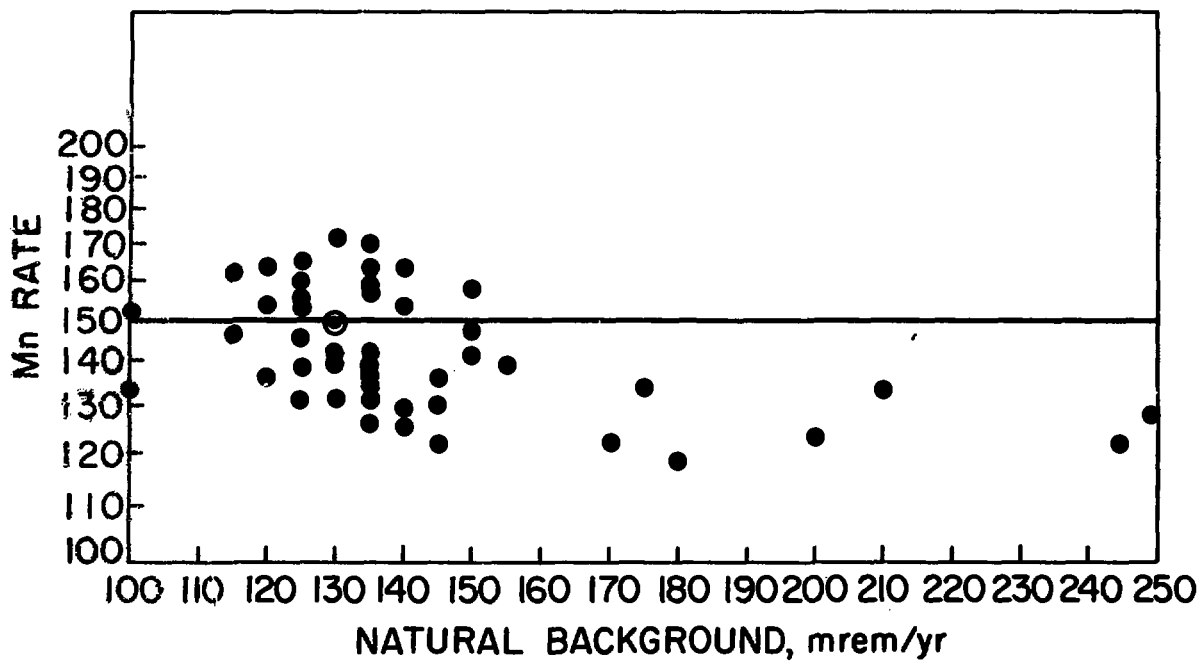


Fig. 1. Malignant Mortality Rates for the U. S. White Population, 1950-1967, by State and Natural Background. The horizontal line and open circle indicate the rate and background for the U. S. as a whole.

by about 10^4 deaths, with $V \approx 1\%$. With this sort of precision, it is evident that the vertical dispersion displayed is not "scatter", at least not in the usual sense. Rather, it reflects the operation of the genetic, cultural, socioeconomic and other environmental factors so well known in the epidemiology of malignancy.^{7-10, 14, 20, 25, 26, 39, 41, 43, 44, 46, 47}

Finally, in addition to the seeming negative correlation of rate with background,⁴⁹ the ten lowest states in the U. S. all lay at backgrounds of ≥ 135 mrem/yr. Thus, there seemed to be some real, if hidden, association between high backgrounds and low malignant mortalities. Although a similar and even more dramatic effect was noted in the non-white population, we confined ourselves to the white population because of its greater homogeneity, better statistics, the better availability of socioeconomic data, etc.^{27, 28}

For purposes of further comparison, we discriminated three groups: A, the seven states of natural background above 165 mrem/yr; B, the fourteen states of natural background above 140 mrem/yr; C, the fourteen states with the lowest backgrounds. These were compared with all 50 U. S. states,^{24, 27, 28} and some of the more pertinent results are summarized in Table 4.

We first analyzed the 50 states for each of the 56 (Mn) types to see if the low mortalities of groups A and B could be due to particularly low rates for a few types. These two groups, however, proved to be lower in all categories than the U. S. average, and this premise had to be discarded. A summary is presented in lines 3-7 of Table 3. The rates for all categories, in fact, tended to decrease with increasing background.

Regressions against background and rate were then run for some 30 geographic, climatological and socioeconomic factors in an attempt to find some secondary association.²⁴ Some of these are summarized in Table 4. No associations were found beyond the obvious ones, i.e., high backgrounds^{6, 35} in the U. S. tend to be associated with higher altitudes²³ because of the increased cosmic ray component. In the U. S., high altitude states are often located in the more arid regions and can be somewhat less urbanized than plains, or coastal states, e.g., group B. However, none of these associations was of the sort or strength that would be expected to lead to some marked difference in malignant mortality.^{7, 8, 9, 14, 15, 24, 25, 26, 47} In fact, the majority of the associations were in a direction *inverse* to that expected. Thus, the high background groups A and B had a generally lower or equal socioeconomic status (lines 10, 11, 12, 13, 15, 16, 17) to the U. S. or group C, rather than the higher status that usually accompanies lower malignancy rates.^{7, 8, 25, 26} The sole exception in this area was the median years of schooling (line 14). Further, A and B had lower Mn rates than U. S. or group C despite a generally higher level of chemical and radioactive pollution (lines 21-24) and also despite a slightly longer life expectancy, which would be expected to *increase* the mortality fraction due to malignancies.^{8, 26} The larger fraction of militarily acceptable males (line 18) only begs the question of why a rising level of healthy young males should be associated with a rising background.

Urbanization has been associated with increasing malignancy mortality,^{14, 26} but these groups are, at best, mixed in this respect (line 9). In any case, state-by-state comparisons showed little strength or consistency²⁶ in this

Table 4. U. S. Low and High Background White Populations, 1950-1967

No.	Characteristic	A	B	U. S.	C
1	Natural background, mrem/yr	210	170	130	118
2	White population, thousands	5735	16,897	158,051	59,683
3	r, Mn 140-159	42.9	45.6	52.4	50.3
4	r, Mn 160-164	15.8	16.9	22.3	23.4
5	r, Mn 170-181	36.8	38.2	41.5	40.1
6	r, Mn 190-205	30.8	31.5	33.3	33.0
7	r, All malignancies	126.3	132.2	149.5	146.8
8	Residence altitude, ft	4510	2650	900	730
9	Urbanization, %	63	57	69	74
10	Per capita personal income, \$	2021	1922	2215	2255
11	Median family income, \$	5600	5400	5660	5650
12	Physicians/1000 population	1.27	1.25	1.49	1.49
13	Hospital beds/1000 population	8.24	8.82	9.49	8.76
14	Median years of school completed	11.8	11.7	10.9	10.8
15	Poor diet households, %	16.5	21.2	19.1	19.1
16	Population on Federal Food Assist, %	2.6	3.2	3.2	2.5
17	Unemployment, %	4.3	3.9	3.9	3.3
18	Accepted, Military Selective Service	65	63	56	53
19	Life expectancy, male	67.7	67.7	67.6	67.5
20	Life expectancy, female	74.5	74.7	74.2	74.3
21	Urban air, particulates, $\mu\text{gm}/\text{m}^3$	129	119	115	116
22	Urban air, benzene soluble, $\mu\text{gm}/\text{m}^3$	10.1	9.3	9.5	9.6
23	Urban air, radioactivity, pCi/ m^3	8.5	7.7	6.8	6.3
24	Urban air, beta, pCi/ m^3	5.5	5.2	4.4	4.2

Table 4. (Contd.)

No.	Characteristic	A	B	U. S.	C
25	r, Mn 140-205, age 0-9	8.11	8.31	8.54	8.31
26	r, Mn 140-205, age 10-19	6.80	6.61	6.82	6.72
27	r, Mn 140-205, age 20-29	10.46	10.73	11.09	11.19
28	r, Mn 140-205, age 30-39	27.61	28.39	31.45	32.27
29	Mortality rate, all causes	892.0	893.2	928.5	903.9
30	U. S.-group, all causes	36.5	35.2	-	24.6
31	U. S.-group, malignancy	23.2	17.3	-	2.7
32	r, Stomach, 151	11.7	11.6	11.8	11.0
33	r, All G. I., 150-159	40.7	43.0	49.0	46.7
34	r, Lung, 163-164	14.5	15.5	20.4	21.5
35	r, Breast, female, 170	21.5	22.6	25.3	24.4
36	r, Thyroid, 194	0.055	0.054	0.057	0.054
37	r, Bone, 196	0.92	1.03	1.12	1.07
38	r, Leukemia, 204	7.03	7.23	7.13	6.91

area.²⁴ In addition, comparisons of 16 Standard Metropolitan Statistical areas in groups A, B and C gave the same results as those of the groups *in toto*, i.e., urban areas in A or B showed much lower rates than those in C, even for areas of common socioeconomic and ethnic factors.²⁴ In short, if any associations existed at all, they were in such a direction as to lead to *increased* rates for A and B. In the face of all this it was difficult to see how the multiplicative model could be maintained or, in fact, any model which predicted an increase of malignant mortality with increasing background.

Then, too, the greatly increased sensitivity of the young should lead to a marked increase in malignant mortality.^{2,3} Even if the low spontaneous rates of the very young should somehow mask this, combined with the predominantly embryogenic character of childhood malignancy, an increase should certainly be evident by middle age. In fact, the reverse is the case (lines 25-28). The observed rates among the young of groups A and B are decreased relative to those of U. S. and group C, and in much the same ratio as the total malignant mortalities. Even more than the total observations, this left either the additive or the multiplicative model without the attributes of a viable epidemiologic model.²⁶

Giving consideration to the fact that radiation cannot be the only carcinogen, that we have far from exhausted the existing low-mortality groups at high backgrounds, even for the U. S., and that we have probably understated the actual exposures of the population by ignoring full medical and dental exposures, weapons, fallout, and regions of high local background, it appears that the actual carcinogenic effects of low-level, low dose-rate radiation are very much less than those predicted from higher level and rate studies,^{1-3,13,15,20-22,26,31,34,40} if, in fact, there are any at all. In short, extrapolation from high-rate, small-sample studies predicts a marked increment in malignant mortality rate due to the natural radiation background. Observation of the actual populations at risk shows not only no increment, but an actual decrement.

While identification of the factors at work remains a fascinating exercise for the future, it is not necessary to await such identification to conclude that low-level, low-rate, low LET radiation constitutes a negligible environmental carcinogen. If it is permissible to extrapolate data from Japanese bomb victims, British spondylitics, uranium miners, etc. to the U. S. population at large, then it is certainly far more permissible to extrapolate portions of the U. S. population to itself. This done, the present evidence is quite incompatible with an increase of malignant mortality with increasing background. For a model of background carcinogenesis to remain viable it will be necessary not only to identify reversing factors, but to quantify them with sufficient precision to be certain that they are, indeed, significant factors, and not merely possibilities without quantitative pertinence.

Indeed we claim no novelty for the tests applied above. The existence of geographically contiguous populations of malignant mortalities low enough to place an upper bound on the involvement of uniformly distributed carcinogens has been previously noted,^{26,29,41,43} as has the low malignant incidence in at least one high-background city.³⁰ All that we have done is to quantify these to the point where simple statistical causes can be ruled out.

Certain possibilities, for example, were ruled out by the nature of the observed mortality pattern. Thus, if the decedent populations of groups A or B above were to contain significantly large numbers of immigrants from other parts of the U.S., (i.e., the decedents had not been exposed to the high backgrounds until late in life), one would have expected the rates in groups A and B to be higher than those of the remaining states. This because the M_n rates of the remaining states are much higher than those of A or B, e.g., 150.4 for the U. S.-minus-A, and 151.6 for the U. S.-minus-B. Instead, the reverse was true. Accordingly, if short-term residents are a factor, the true rates for the long-term residents must be even lower than those given in Table 4.

In this context, too, the problem of competing risks arises.^{2,46} Thus, are the populations of groups A and B dying of some other cause, so that their members are removed before malignancy can become manifest? Fortunately, the data were at hand to answer this. The age-adjusted mortality rates for all causes could easily be computed, and these are presented in Table 4 (line 29), along with the decrements of each population, relative to the U. S., for all causes (line 30), and for malignancy alone (line 31). All three of these groups are slightly lower than the U. S. in total mortality rate, and by about the same amount. The malignant decrement, however, decreases rapidly with decreasing background. Indeed, in the very highest background group, the malignancy decrement is very nearly equal to the total decrement. This is just the reverse of a case of competing risks, at least in the sense given above.

Again the possibility existed that the residents of group A or B were characterized by much lower radiographic exposures than those of the U. S. This, however, fell of its own weight, since it would have required an excess of over 300 mrem/yr in the rest of the U. S. to account for the difference in group A, and another 80 mrem/yr to account for the expected increase of A due to its high background.^{2,3} Such values are hardly credible in view of what is known for U. S. radiographic exposures.^{4,12,16,32}

In addition, a multiplicative model predicts a radiation independent rate, r' , given by $r' = r/m$. Here r is the observed rate, and $m = (D/DD) + 1$, where D is the total background dose, and DD the doubling dose.^{2,3} If this expression truly represented the case one would expect the dispersion of the corrected rates, r' , to decrease relative to the dispersion for r .⁴² This is the case for such factors as urbanization in total malignant mortality, solar exposure in skin cancer mortality for whites, etc.,²⁴ so that it should easily be the case for a factor which accounts for 1% to 30% of the total U. S. rate.

Following previous authors^{2,3} we took $DD = 50$ rem for the general population and D as equal to 30 times the total annual background for the State.⁶ The 1950-1967 rates, r , were from Burbank⁵ as before. For r the coefficients of variability, V , for white males, nonwhite males, white females and nonwhite females were 11.44%, 21.52%, 8.98% and 12.26%,⁴⁵ respectively. After correction to r' , as above, these values increased to 12.23%, 22.08%, 9.55%, and 12.25%,⁴⁵ respectively. Regardless of which of the suggested values^{2,3,32} we used for D or DD , V invariably increased, i.e., the results were always the opposite of what would have been expected if the model represented a real factor in U. S. malignant mortality. Furthermore, this increase in V was

found to hold for essentially all U. S. malignancies, even for leukemia, the classic of radiogenic malignancies. Thus, we seemed to be left without statistical support for a multiplicative model, either for all malignancies (pancarcinogenesis), or even for specific ones.

OTHER MODELS

Most of the foregoing would apply with equal force to any model of radiation carcinogenesis that predicted increased mortality below the 300 mrem/yr or so that characterizes the highest U. S. populations. However, two such models have been proposed that deserve explicit mention, if only because their tenets are so reasonable.^{22, 34}

For many forms of cancer the spontaneous incidence rises exponentially with age, i.e., as $\exp(at)$, where a is a constant and t is time. It is often postulated that the effect of radiation exposure might be to shift the exponential so that incidence now depends on $\exp[a(t + b)]$, where b is a variable depending on radiation dose. This can be understood as either a multiplying effect of radiation or as an aging effect, depending on which axis is shifted to superimpose results from irradiated and unirradiated populations. In either case, though, this predicts a general increase in mortality with increasing background, as well as prominent effects occurring at the earlier ages.²² Neither of these predictions is compatible with the results presented in the previous section.

A yet more recent model³⁴ addresses the question of differences in individual sensitivity. Thus, the most sensitive subgroups respond at the lowest doses, so as to cause a steeper-than-linear slope in the low-dose portion of the dose-effect. However, this model predicts even higher mortalities at very low doses than the linear models treated in the previous sections, so that it, too, is incompatible with their results.

Any number of equally reasonable models could certainly be erected but, in the last analysis, reasonableness is not the ultimate criterion of a model. It's not that the models are unreasonable, but that Nature seems to be!

Well, reasonable or not, was she "straight", i.e., linear? We further examined rates for the 7 malignancies that have provided most of the data for present extrapolations.³² These are arraigned in Table 4, lines 32-38 and, again, the verdict seems to be "not guilty".

For thyroid carcinoma the mortality fraction is so low that incidence is a more useful measure than mortality.³² The most recent estimates have given 3400-6800 new cases per year, at 170 mrem/yr, over the U. S. population,³² i.e., a *radiogenic* rate of about 2.6. Against this may be compared Scandinavian³⁷ and U. S.⁸ *total* rates of 0.6-1.3, with several metropolitan populations at 0.0.⁸

Thus, Nature has been anything but "straight" with us, and linearity seems to be, if not deceased, at least moribund.

FUTURE MODELS

Now, statistical analysis can certainly demolish a hypothesis, and this is part of the science of epidemiology. But it can hardly propose one, nor yet can it establish causality.⁴⁶ These latter exercises are, really, the "art" of epidemiology and, in the last analysis, its ultimate justification. Hence, we would feel derelict if we did not hazard some opinion as to the direction future models might take.

Examination of generalizations (1.) through (5.) revealed nothing unreasonable and little that was not consonant with what is known. The toxicological dictum that "all men are brothers" has well stood the test of time. And, if radiation at high dose-rates is not pancarcinogenic, it is, at least, polycarcinogenic. The remaining generalizations are primarily numerical, and could be easily modified if need arose.

Perhaps the simplest accommodation would be to abandon the assumption of simple linearity and to substitute some function more dependent on dose rate and dose level, as suggested by parts of the BEIR Report.³² Certainly the "dose-rate effect" has been known for many years¹⁵ and is, in fact, the basis of the fractionation procedures common in radiotherapy, as well as the low-level, whole-body irradiation methods being attempted for the therapy of some malignancies.

Furthermore, dose rate factors of the order of 5-15 have been reported for large populations of mice irradiated for life at modest rates,¹⁶ and a very strong dose-rate effect has been reported for mutagenesis in mice.⁴⁸ A nonlinear model for such diminution of effect, and even for its reversal,⁵⁰ has recently been erected and partially tested.¹⁷ Since linearity has always been an inference rather than an observation,^{13,32 53} and since extrapolation over many orders of magnitude⁵¹ has always been a dubious procedure at best,⁵² this path of hypothesis rejuvenation appears the most attractive *a priori*. No matter which hypotheses are advanced, however, we hope that the availability of epidemiological techniques and data will come as an "aid and comfort" to the beleaguered radiobiologist in his search for reliable estimates of the effects of radiation on human populations.

CONCLUSIONS

In an attempt to uncover some secondary association between rising background and falling malignancy rate, regressions were run for additional factors beyond those shown in Table 4. These are summarized in Table 5. Again, no meaningful associations were found beyond the obvious ones, i.e., high backgrounds tend to be associated with high altitudes because of the increased cosmic ray component. However, in the U. S., high terrestrial backgrounds accompany high altitudes because of the particular geology of mountainous regions.^{6,23,35} Thus, as seen on lines 1 and 2, both components decrease going from group A to group C. Thus, as one would expect, groups A and B tended to be drier, cooler, higher, sunnier, (lines 3-7) than group C, and to require more domestic heating (line 8). (The latter may account for the higher air pollution levels noted in lines 21 and 22 of Table 4.) We know of no observation, or even hypotheses,^{7-10,25,26,41,43,44,46} that would causally link these factors with decreased malignancy. Thus, we were forced to conclude that their association with increased backgrounds was an accident of altitude and geology, and not significant in the observation of decreasing malignant mortality rates with increasing background.

A number of other regressions of possible socioeconomic indicators were also run and are presented in Table 5. However, none of these provided any secondary associations that might be expected to lead to decreased malignancy. At best, the groups were mixed, and showed no correlation. At worst, the correlations detected were in a direction that should have led to increased malignancy in groups A and/or B.

Although the dose estimates used^{6,35} are the result of careful, independent and prolonged studies, and certainly the best values available, we reexamined them for the possibility that the results which we obtained were an artifact of dose estimates. This did not appear to be the case. Groups A and C, especially, consisted of states where simple altitude and geological considerations, coupled with repeated measurements over the years, have shown these states to be quite disparate in background. The majority of these states, in fact, have been among the classical examples of high and low background states for decades. In addition, study of the sources cited suggested the true backgrounds in groups A and B were very probably higher than those used in that houses were most often made of stone or concrete of high radioactivity, indoor air had often been shown to be very high in radon, and the population spent a larger fraction of time indoors because of the relatively inclement weather compared to the rest of the U. S. or to group C. Thus, if anything, the background of groups A and B had been underestimated relative to the U. S. or to group C.

The current models of radiation carcinogenesis have all derived their data from small, selected, populations, at high dose rates and generally at high dose levels. They have assumed monotonic extrapolation to zero dose, even though this assumption has always been without observational basis.^{13,32} They have also assumed such extrapolation can be made without consideration of dose rate, an assumption not only without observational basis, but one contradicted by a large body of radiological and toxicological

Table 5. Characteristics of U. S. White Populations
at Low and High Backgrounds

No.	Characteristic	A	B	C
1	Cosmic ray dose, mrem/yr	105	72	42
2	Terrestrial dose, mrem/yr	80	72	51
3	Mean altitude, feet	5400	2900	1300
4	Annual mean temp., °F	50	51	59
5	Annual precip., inches	14	25	36
6	Days/yr with precip.	86	97	99
7	% of possible sunshine	69	65	63
8	Annual degree-days (65°F)	6300	6100	3550
9	Murder, per 10 ⁵ pop.*	3.9	4.0	4.9
10	Rape, per 10 ⁵ pop.	9.9	7.6	9.7
11	Robbery, per 10 ⁵ pop.	39.8	30.2	49.2
12	Agg. assault, per 10 ⁵ pop.	37.2	64.9	82.0
13	Burglary, per 10 ⁵ pop.	476	399	574
14	Larceny, per 10 ⁵ pop.	331	260	297
15	Auto theft, per 10 ⁵ pop.	218	167	209
16	Lawyers, per 10 ⁵ pop.	146	125	133
17	Marriages, per 10 ⁵ pop.	993	1114	783
18	Divorces, per 10 ⁵ pop.	276	242	237
19	Urban births, per 10 ⁵ pop.	1563	1381	1423
20	Rural births, per 10 ⁵ pop.	1025	1082	818

*Lines 9-18 are for total populations.

data.^{15-17,24} On these bases predictions have been made of significant increments in malignant mortality rates due to the radiation background. Observation of actual populations at risk shows not only no increment but an actual decrement, and these predictions are left quite without observational support. Thus, it appears that one or both of the above assumptions is invalid and that background radiation does not constitute an environmental carcinogen of significance. By the same token, the radiation added by nuclear power plants cannot be a carcinogenic hazard either, since it has the same radiobiological character as the current background, but is much lower in both dose level and rate.

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