

372  
9-7-77

Sh. 1385

UCRL-52263

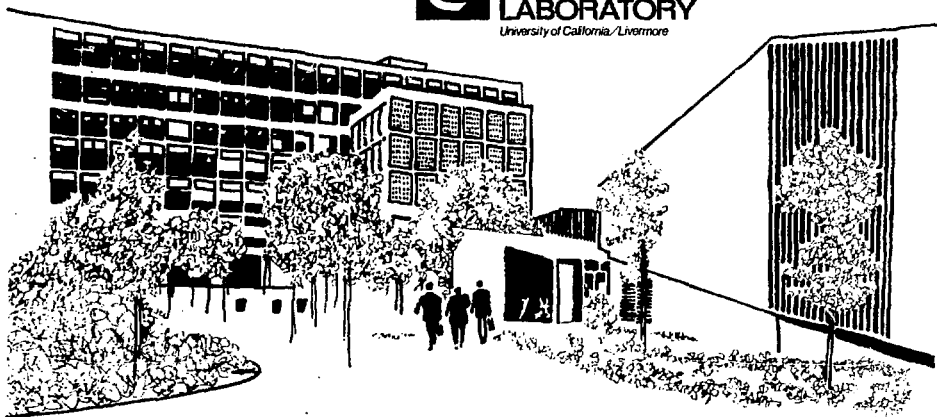
# ANALYSIS OF DIABLO CANYON SITE RESPONSE SPECTRA

D. L. Bernreuter  
L. H. Wight

June 24, 1977

**MASTER**

Prepared for U.S. Energy Research & Development  
Administration under contract No. W-7405-Eng-48



#### NOTICE

This report was prepared as an account of work sponsored by the United States Government. Neither the United States nor the United States Energy Research & Development Administration, nor any of their employees, nor any of their contractors, subcontractors, or their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness or usefulness of any information, apparatus, product or process disclosed, or represents that its use would not infringe privately-owned rights.

#### NOTICE

Reference to a company or product name does not imply approval or recommendation of the product by the University of California or the U.S. Energy Research & Development Administration to the exclusion of others that may be suitable.

Printed in the United States of America  
Available from  
National Technical Information Service  
U.S. Department of Commerce  
5285 Port Royal Road  
Springfield, VA 22161  
Price: Printed Copy \$ : Microfiche \$3.00

<u>Page Range</u>	<u>Domestic Price</u>	<u>Page Range</u>	<u>Domestic Price</u>
001-025	\$ 3.50	326-350	10.00
026-050	4.00	351-375	10.50
051-075	4.50	376-400	10.75
076-100	5.00	401-425	11.00
101-125	5.50	426-450	11.75
126-150	6.00	451-475	12.00
151-175	6.75	476-500	12.50
176-200	7.50	501-525	12.75
201-225	7.75	526-550	13.00
226-250	8.00	551-575	13.50
251-275	9.00	576-600	13.75
276-300	9.25	601-up	*
301-325	9.75		

\* Add \$2.50 for each additional 100 page increment from 601 to 1,000 pages;  
add \$4.50 for each additional 100 page increment over 1,000 pages.



**LAWRENCE LIVERMORE LABORATORY**  
*University of California Livermore, California 94550*

UCRL-52263

## **ANALYSIS OF DIABLO CANYON SITE RESPONSE SPECTRA**

D. L. Bernreuter

L. H. Wight\*

MS. date: June 24, 1977

\*Now with Teknekron Energy Resource Analysts Corp.  
Berkeley, California

**NOTICE**

The report was prepared as an account of work sponsored by the United States Government. Neither the United States nor the Energy Research Administration, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or usefulness of any information disclosed herein, or that its use would not infringe privately owned rights.

DISTRIBUTION STATEMENT 11

114

## Contents

Abstract . . . . .	1
Introduction . . . . .	1
Relation Between Peak Velocity and Acceleration . . . . .	2
Effect of the Lower Velocity Mudstone Layer . . . . .	7
Dynamic Amplification Factors . . . . .	13
Effect of Magnitude . . . . .	22
Soil-Structure Interaction . . . . .	35
Lush Calculations . . . . .	36
Effect of Seismic Wave Passage on the Response of Large Basemat Structures . . . . .	46
Conclusion . . . . .	58
Acknowledgments . . . . .	58
References . . . . .	59

# ANALYSIS OF DIABLO CANYON SITE RESPONSE SPECTRA

## Abstract

The Diablo Canyon Nuclear Power Plant, located on the central California coast, is nearing completion. Recent geologic and seismological investigations have indicated that the nearby Hosgri fault may be part of a major fault system. If so, the original Design Basis Earthquake (DBE) may be inadequate for Diablo Canyon. Therefore, we have examined several factors that could significantly affect the design response spectra for the site. We find that, because of the area's geology,

significant site effects could occur that would reduce ground motion; possible soil-structure interaction would also reduce the seismic motion at the basemat of the main structure as compared to the free-field motion. Studies of wave-passage effects have shown that they are complicated and cannot be easily predicted. We conclude that an increased-magnitude DBE should have little effect on the reactor design if the increase is caused by increased stress drop rather than greater fault rupture length.

## Introduction

The Diablo Canyon Nuclear Power Plant, located near San Luis Obispo on the central California coast, is in final stages of completion. Recent geologic and seismological investigations have indicated that the nearby Hosgri fault may be part of a major fault system and, if so, the original Design Basis Earthquake (DBE) for Diablo Canyon may be inadequate.

Because the plant is nearing completion, major changes in the seismic design basis would be exceedingly costly. Hence, the final design response spectra must be carefully developed.

A problem arises from the fact that the currently proposed Safe Shutdown Earthquake (SSE) for Diablo Canyon is much larger and nearer the site than anticipated by the original

DBE. No data exist in the near field of such a large event; little data exist in the near field of any size earthquake. So, at present, there is no adequate technical basis for predicting appropriate design response spectra for the Diablo Canyon site.

In this report we summarize our studies to improve the technical basis, which will aid the Nuclear Regulatory Commission (NRC) in formulating appropriate seismic design specifications. Our effort has been directed toward defining spectra for the particular geologic and seismological conditions at the Diablo Canyon site. We have attempted to:

- Establish a relation between peak velocity and peak acceleration for rock and soil sites.

- Determine how low shear velocity in the underlying mudstone layer affects the possible peak accelerations and spectra.

- Derive appropriate dynamic amplification factors to define the response spectra for near-field, high-g-level earthquakes.

- Determine appropriate scaling of the response spectra for earthquakes of large magnitude.

- Identify the effects of massive structures (in weight, size, and stiffness) on the free-field ground motion.

- Determine the scope of the possible effects of seismic wave passage on the response of buildings with large basemats.

## Relation Between Peak Velocity and Acceleration

Newmark et al.<sup>1</sup> have studied 14 of the earthquake records used to define the spectra of Regulatory Guide 1.60, finding that, in the frequency range 0.5 to 2 Hz, the amplification factors best defining the spectral shape should be based on a scaling of peak ground velocity. This scaling, they report, should be applied to the relative velocity spectra. In the high-frequency range (greater than 2 Hz), amplification should be related to the peak ground acceleration and the

scaling applied to the acceleration spectra. The spectra can then be mated using three-way log paper.

McGuire,<sup>2</sup> studying more earthquakes with more far-field records, reaches somewhat different conclusions. Velocity is not the most important parameter, he reports, mainly because the peak velocity attenuates more rapidly than the spectral amplitudes in the middle frequency range. We have considered his argument but concluded that,

because the Diablo Canyon site is near the Hosgri fault, the attenuation effect is not significant. For this reason, we believe the Newmark approach is valid for Diablo Canyon.

Because peak velocity at the site plays such an important role in this approach, it is important to be able

to estimate the peak velocity anticipated for a given earthquake.

Figures 1 and 2 show peak acceleration and velocity as functions of epicentral range for firm-rock recording sites. The values plotted here are vector values; that is, the two horizontal components and the

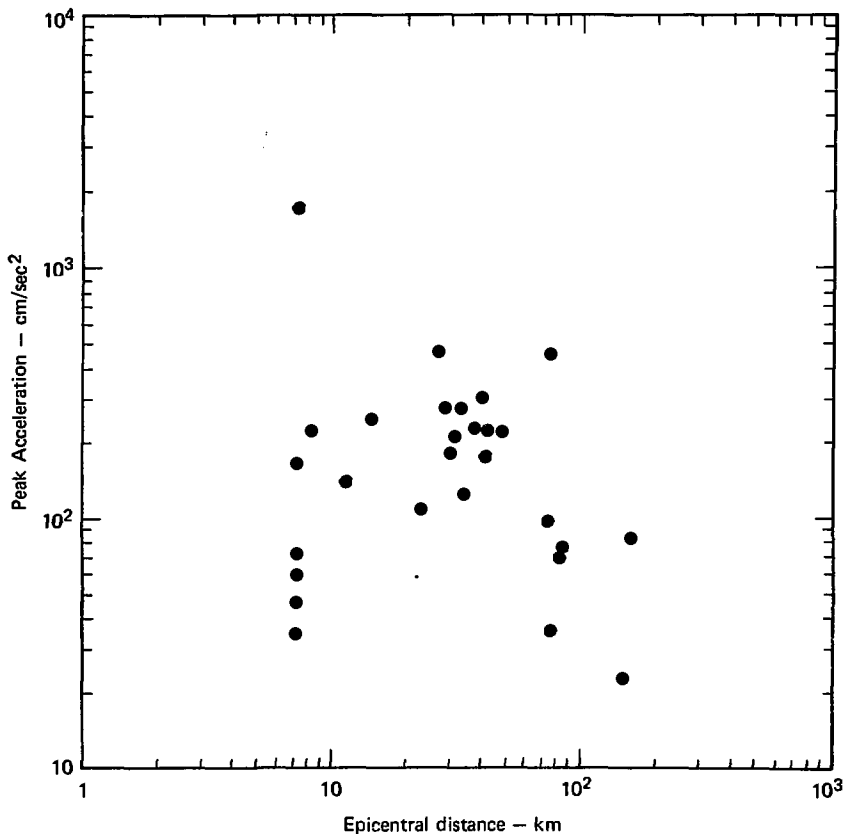


Fig. 1. Peak acceleration as a function of epicentral range for firm-rock recording sites.

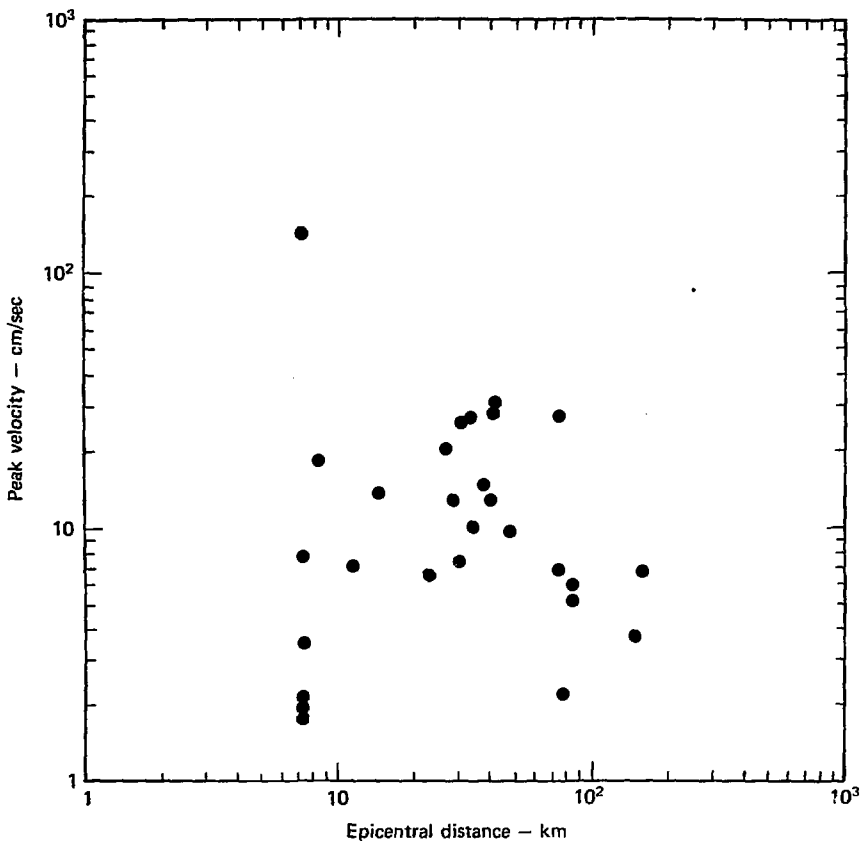


Fig. 2. Peak velocity as a function of epicentral range for firm-rock recording sites.

vertical component are combined by square root of the sum of the components' squares. This is done to reduce the data scatter that occurs when the components are plotted singly. Although the peaks do not generally occur at the same time, combined plots are felt to produce a more accurate measure of ground

motion observed at a site than individual plots. These figures show considerable data scatter. Similar if not worse scatter occurs if the data are plotted in other ways (see Trifunac<sup>3</sup> for example). Figure 3 shows peak velocity vs peak acceleration for rock sites. Figure 4 shows the same plot for softer soil sites.



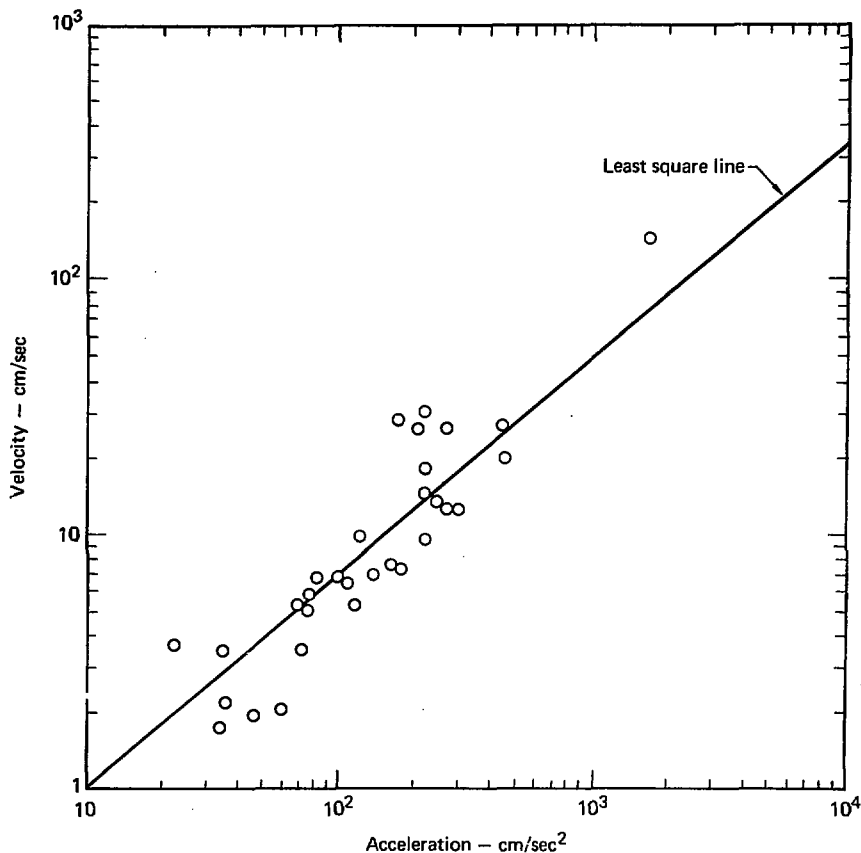


Fig. 3. Peak velocity vs peak acceleration for rock sites.

These data appear to correlate somewhat better than plots of peak acceleration and velocity vs distance.

The real difficulty at Diablo Canyon, as noted, is the fact that the site is near the fault. Thus, extrapolations based on Figs. 1 and 2 are difficult because near-field

data are lacking. Also, the peak velocity recorded in the near field is not purely a function of magnitude. This is one reason why such data scatter exists for magnitude - peak ground motion - epicentral-distance correlations. However, Figs. 3 and 4 show some reasonable

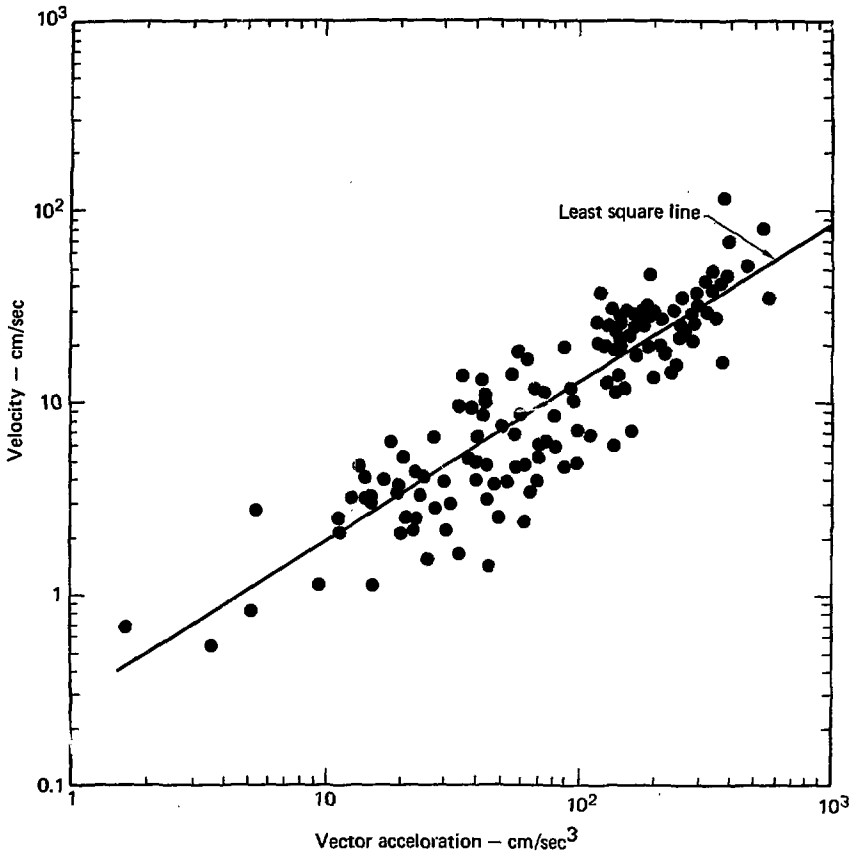


Fig. 4. Peak velocity vs peak acceleration for soil sites.

determination of the peak velocity once the peak acceleration is estimated. They also allow a reasonable basis for comparing response spectra differences in soil and rock sites. Various lines can be drawn through the data, however, and for a simple comparison between rock and softer

soil sites, a least-squares line on log-log paper can be used. The equations of the various relations between acceleration and velocity are:

● *Hard rock*

$$\text{Log } V = -0.92 + 0.872 \text{ Log } A \quad (1)$$

● *Soft soil*

$$\text{Log } V = -0.6 + 0.846 \text{ Log } A \quad (2)$$

- *All data*

$$\text{Log } V = -0.65 + 0.845 \text{ Log } A \quad (3)$$

where

$$V \sim \text{cm/sec}$$

$$A \sim \text{cm/sec}^2$$

Table 1 gives typical values for each curve.

A similar correlation was run between peak horizontal acceleration and velocity. The equations of the fit are:

- *Hard rock*

$$\text{Log } V = -1.07 + 0.939 \text{ Log } A \quad (4)$$

- *All data*

$$\text{Log } V = -0.68 + 0.846 \text{ Log } A \quad (5)$$

Table 2 gives typical values for Eqs. (4) and (5).

Table 2. Peak horizontal acceleration vs velocity.

Accel, cm/sec <sup>2</sup>	Hard rock, V cm/sec	All data, V cm/sec
1000	56	72
100	6.4	10
10	0.7	1.5

The results show that, on the average, the velocity at a soil site is 1.6 to 1.7 times greater than at a firm-rock site for the same peak acceleration. This result can then be used, for example, to modify Regulatory Guide 1.60 spectra in the frequency range 0.2 to 2.5 Hz.

The slope of the correlation for rock data is larger than for soil sites. The importance of this can be seen by comparing the fit using the data from Figs. 3 and 4, which show that the line through the soil data is skewed toward the low side at higher accelerations. Thus, using the above correlations at high g values would result in a smaller estimate ratio of

$$\frac{(V/a)_{\text{soil}}}{(V/a)_{\text{rock}}}$$

than would typically be recorded.

Table 1. Average velocities (vector) as a function of acceleration (vector) and site conditions.

Accel, cm/sec <sup>2</sup>	Hard rock, V cm/sec	Soft soil, V cm/sec	All data, V cm/sec
1000	50	87	77
100	6.7	12	11
10	0.9	1.8	1.5

## Effect of the Lower Velocity Mudstone Layer

The sandstone at the site is underlaid by a layer of less competent mudstone. This could signifi-

cantly affect both the peak g value that could occur at the site and the shape of the response spectra. If we

assume that the major release of shear energy is well below the shallow mudstone layer, then the SHAKE computer program<sup>4</sup> may be used to predict the maximum motion for this site. There is considerable slope to the underlying rock formations, and this could have a significant effect on the results. A complex two dimensional analysis was not used because of the time and effort required to model the underlying geo-

logical structure. Such effects can be modeled, but the results are rather sensitive to the geometric parameters and frequency content of the motion. For an example, see Wong and Jennings.<sup>5</sup>

Figure 5 compares three models of the site. Model S has the mudstone shear wave velocity of half the sandstone, Model M has the mudstone shear wave velocity midway between Model S and the sandstone, and Model H has a

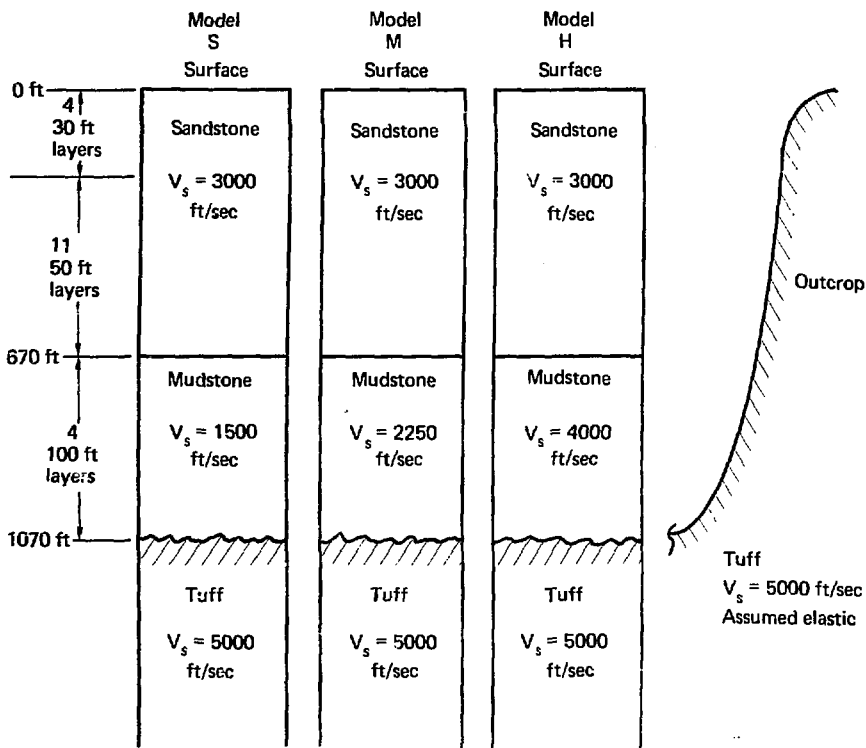


Fig. 5. Comparison of three models of the Diablo Canyon Site.

harder layer of rock midway between the underlying tuff and the sandstone. Model H is used as the basis of comparison because it has no soft layer.

The Pacoima Dam Record, S16E, was used as the input ground motion scaled to  $3/4$  g. To cover a range of cases, we calculated the response of each of the above soil models with this input applied to various locations. The  $3/4$ -g value is reasonable because it reduces the nonlinear effect somewhat, and, because the validity of our equation-of-state of the sandstone and mudstone is uncertain, we felt that severe nonlinear effects should be avoided.

In Fig. 6 we compare the surface response spectra of the three models when the input motion is at the tuff-mudstone interface. (The spectra of the input motion is shown for reference.) Figure 7 shows a comparison of the models when the input motion is applied at an outcrop of the tuff. SHAKE then corrects the input record for free surface effects as well as the down-traveling waves for each model. Thus the input spectra at the tuff-mudstone interface is somewhat different for each model. Also shown on Fig. 7 is the spectra of the time history at the tuff outcrop. When Figs. 6 and 7 are compared, both spectra (base motion, Fig. 6 and outcrop motion, Fig. 7)

are the same. The location of the motion input has a significant effect on the result, particularly when the spectral peak is in the period range of 1.2-1.6 sec. This difference is due to the spectral shape modification of the driving-time history that is caused by both the free surface effect and the down-traveling wave effect at the given site. Figure 8 illustrates this for site H and also shows frequencies at which maximum amplification occurs for the model and the values of the amplification factors.

The mudstone layer is important because it reduces the peak g level that might be expected at the site. The shear wave velocity of the mudstone has a considerable impact on this reduction. A study of this effect was made in which the mudstone was given a shear velocity of 3000 ft/sec, the same as the sandstone. The results of this study are given in Table 3 for Model H-I. It should be recalled that for Model H the mudstone had a shear wave velocity of 4000 ft/sec, and therefore the mudstone must have a lower velocity than the sandstone if the peak surface acceleration is to be reduced. Although the comparisons were made at the surface, there is very little difference between the surface motion and the free-field motion at a depth of 30 ft.

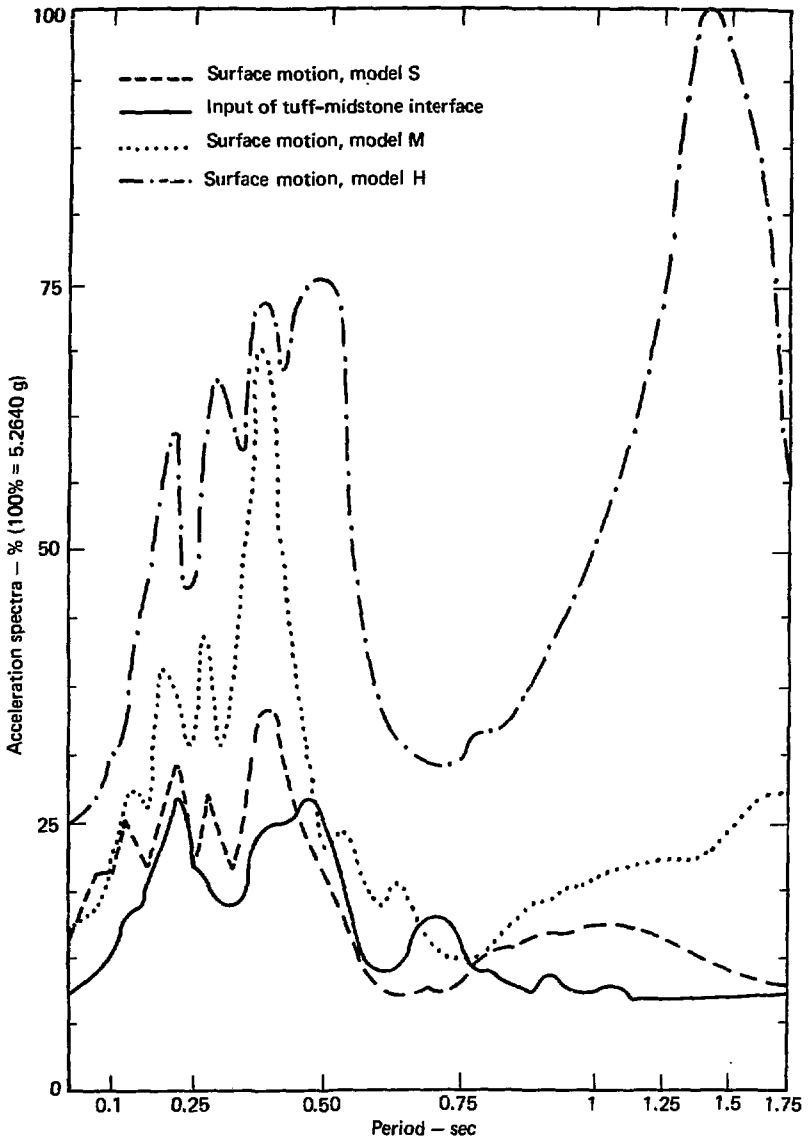


Fig. 6. Comparison of the acceleration response spectrum for three models of the Diablo Canyon Site when input motion is at the tuff-mudstone interface.

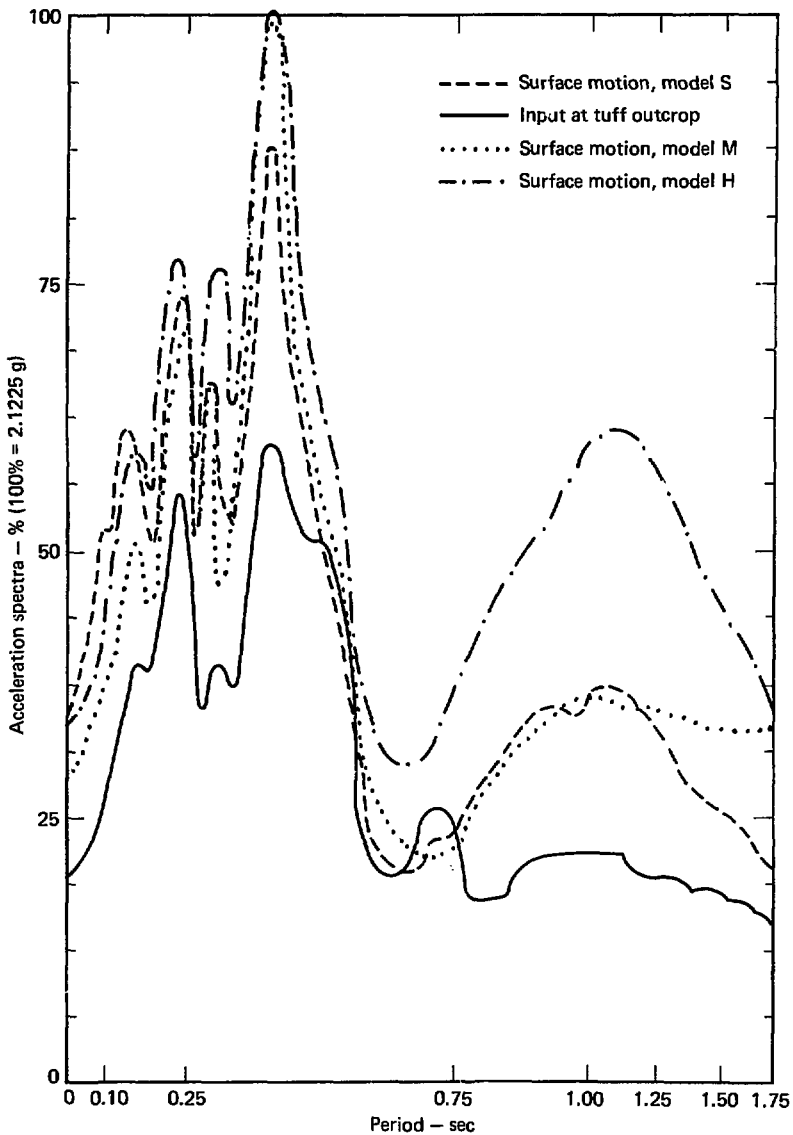


Fig. 7. Comparison of the acceleration response spectrum for three models of the Diablo Canyon Site when input motion is applied at a tuff outcrop.

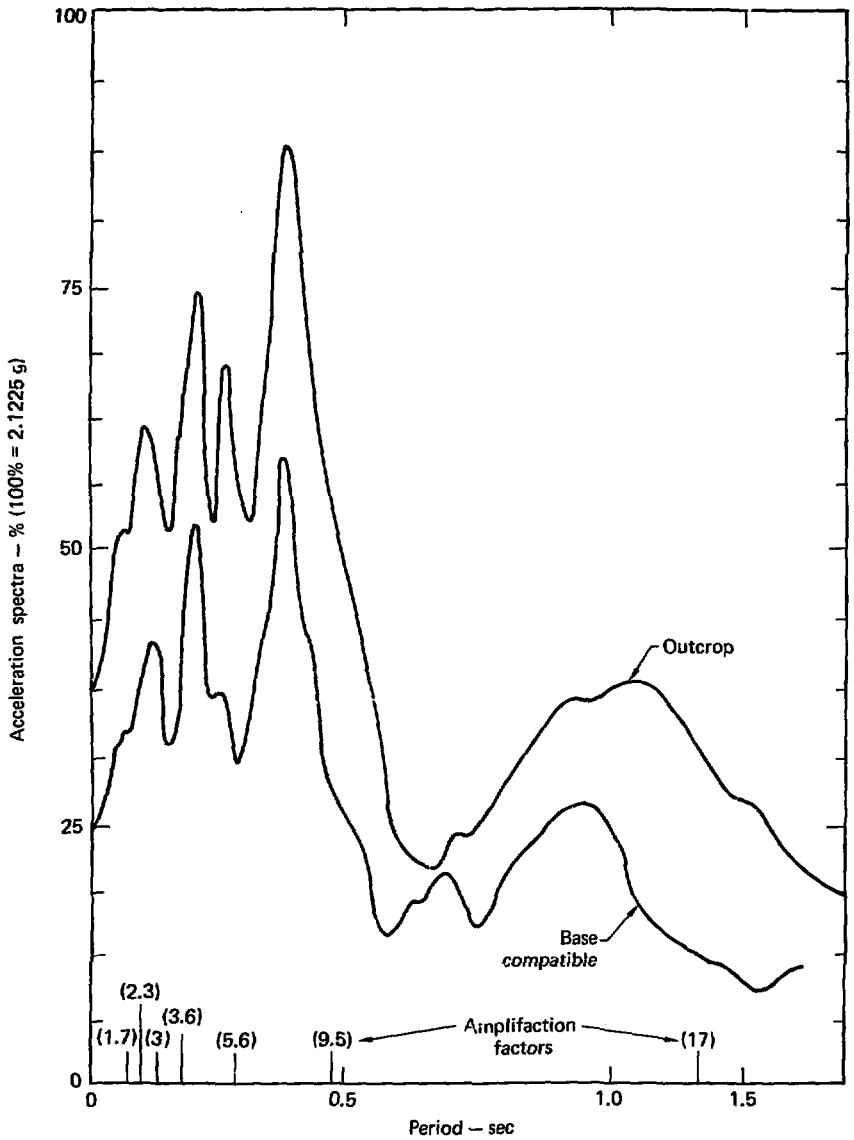


Fig. 8. Spectral shape modification of the deconvolved driving-time history and maximum amplification frequencies for Model H.



Table 3. A comparison of input peak accelerations and surface accelerations.

Model	Loc. of input	Peak acceleration at tuff mudstone interface	Peak accel. at surface	Ratio S/base
S	base	0.75	0.48	0.64
S	outcrop	0.6	0.43	0.72
M	base	0.75	0.78	1.04
M	outcrop	0.53	0.62	1.17
H	base	0.75	1.22	1.63
H	outcrop	0.48	0.71	1.48
H-I	base	0.75	1.22	1.63

We conclude that the location of the input significantly affects the results. We also feel that the results for the motion input in the outcrop are the most realistic (Fig. 7). They show the importance

of the shear wave velocity variation in the sandstone as well as the contrast between the mudstone and sandstone. If the contrast is sufficient, the level of shaking at the surface of the site is considerably reduced.

### Dynamic Amplification Factors

Nuclear power plants are typically designed with the spectral shape defined in Regulatory Guide 1.60. This envelope spectra is mainly composed of records of many earthquakes with peak g values much less than the peak g value predicted for the Diablo Canyon Site. Because the predicted ground motion at the Diablo Canyon site is sufficiently large to cause nonlinear effects, it might be expected that the spectral dynamic amplification factors would be reduced compared to the lower-level earthquake motion that was used to

define Regulatory Guide 1.60 spectra. It is difficult to test this hypothesis because few records exist with high peak g values. To make the problem more complex, the spectral content of the motion is a function of both the epicentral distance of the recording site and the earthquake magnitude.

Figure 9 illustrates the effect that magnitude has on the ground motion spectral shape. Shown is a comparison of the response spectra of the S16E component of the Pacoima Dam record for both the main shock

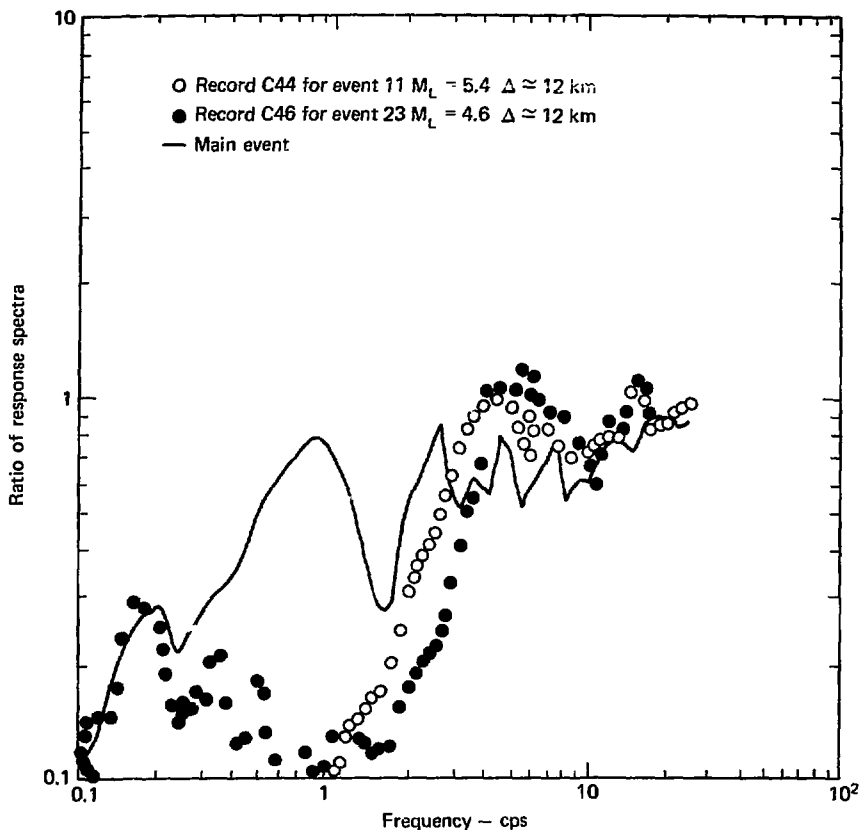


Fig. 9. Effect of magnitude on the ratio of the recorded response spectrum to Regulatory Guide 1.60.

and several aftershocks. They are all scaled to the same peak  $g$  value and divided by Regulatory Guide 1.60 spectra that is also scaled to the same peak  $g$  value. It is seen that there is considerable difference in spectral shape in the lower-frequency end, which is partly caused by the difference in the length of faulting

involved. Figure 10 shows the ratio of the larger after shock C44 to the S16E record of the main shock. This illustrates the differences more clearly. A similar comparison of a series of small earthquakes about 27 km from the El Centro site<sup>6</sup> shows a similar trend (Fig. 11). The smaller earthquakes have higher

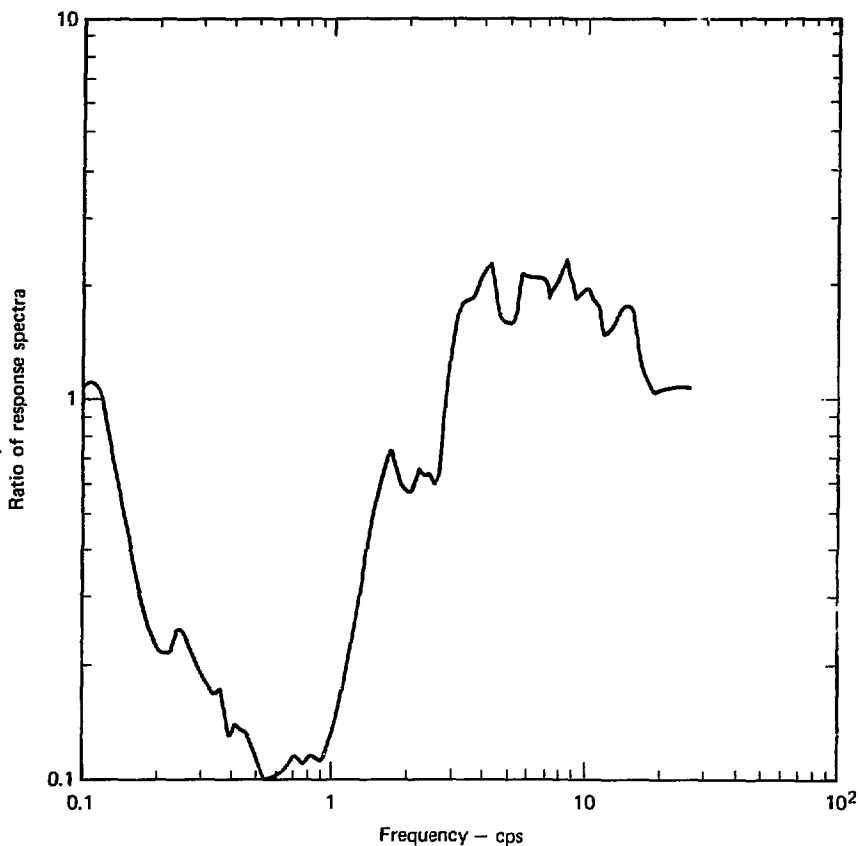


Fig. 10. Response spectra ratio of the C44 aftershock (Event 11) to the S16E record of the main shock of the San Fernando Valley earthquake.

amplification factors in the high-frequency range, and lower factors in the lower-frequency range. Two possible conclusions emerge from this comparison. First, one should not average large and small earthquake spectra because of the differences in amplification factors. Second, the higher  $g$ -value earthquakes

have smaller amplification factors than lower  $g$ -value earthquakes. However, on Figs. 9 and 10 the record C44 (max  $g = 0.1$ ) has larger amplification factors than C46 (max  $g = 0.03$ ). Because there is a considerable difference between maximum velocity and acceleration at rock and soil sites, it appears that rock and

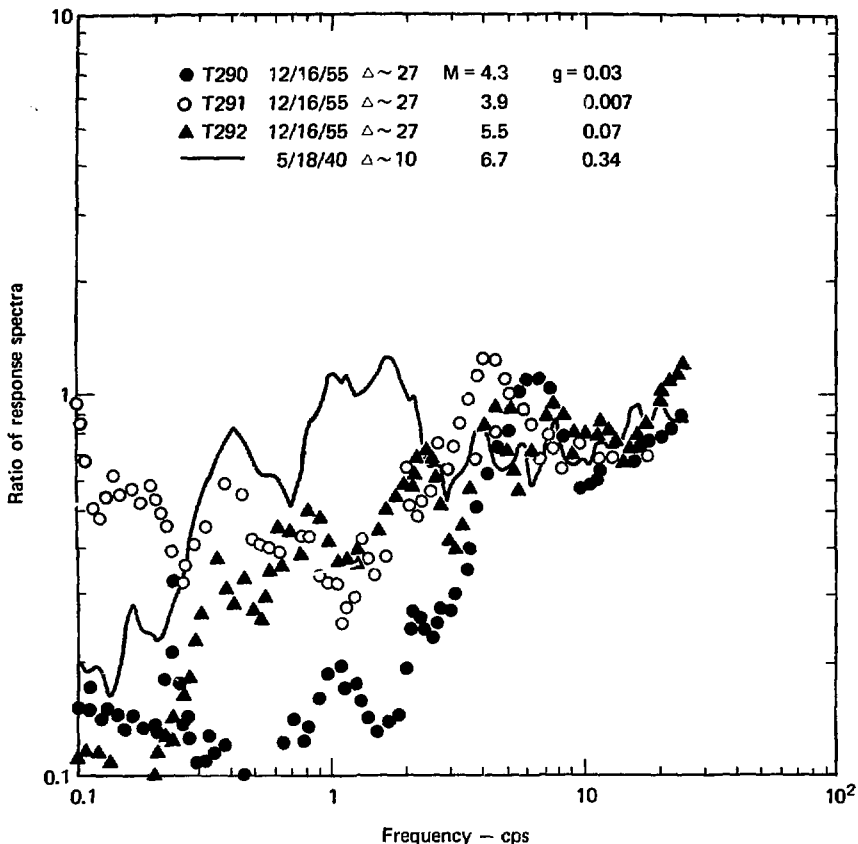


Fig. 11. Comparison of the ratio of the response spectra of several small earthquakes near the El Centro site to Regulatory Guide 1.60 spectra.

soil spectra should not be inter-mixed.

We must consider how the amplification factors for rock sites are affected by both high and low  $g$  values. We must also determine appropriate amplification factors for the construction of rock-site spectra in the near field of a large magnitude earth-

quake. Because only a few earthquakes have rock-site recordings near their epicenters, very little data are available to answer these questions. The data that do exist are presented in Table 4.

Figures 12 and 13 show a comparison of the spectra from Helena, Pacoima Dam, Tremblor, and Golden Gate divided

Table 4. Rock site recordings from several earthquakes.

Earthquake	M	Rock sites	Epicentral distance, km	Peak g value <sup>a</sup>
Helena	6.0	Helena	6.5	0.14
San Francisco	5.3	Golden Gate	11.0	0.1
Parkfield	5.6	Tremblor	11.0	0.34
San Fernando	6.4	Pacoima Dam	7.0	1.15

<sup>a</sup>Peak g value based on Cal Tech corrected records.

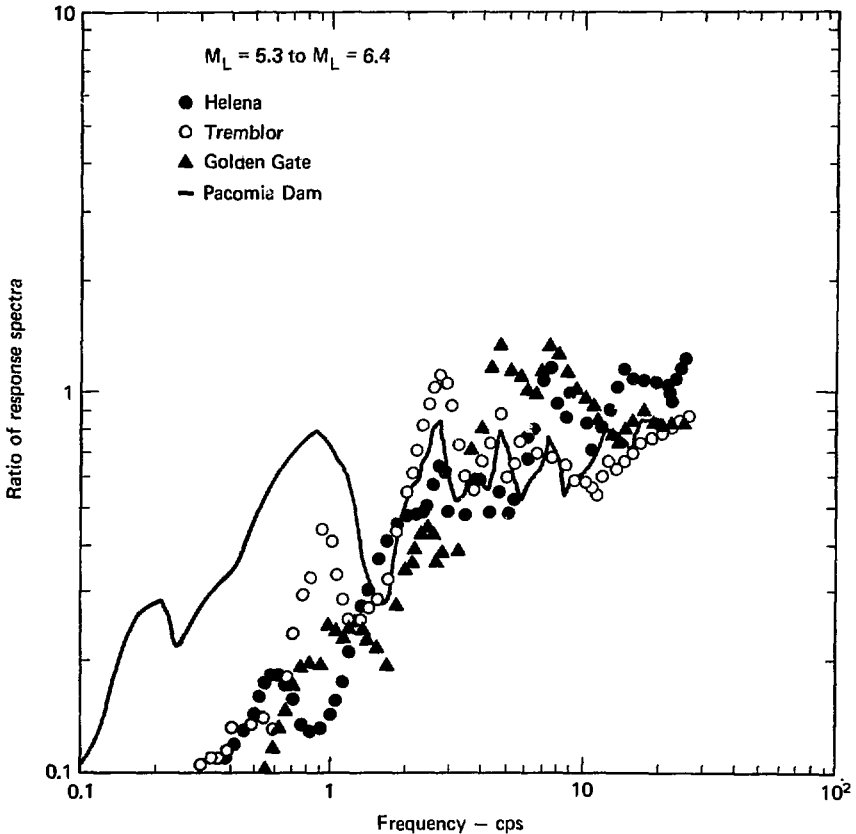


Fig. 12. Comparison of the ratio of Helena, Tremblor, and Golden Gate response spectra to Regulatory Guide 1.60 spectra.

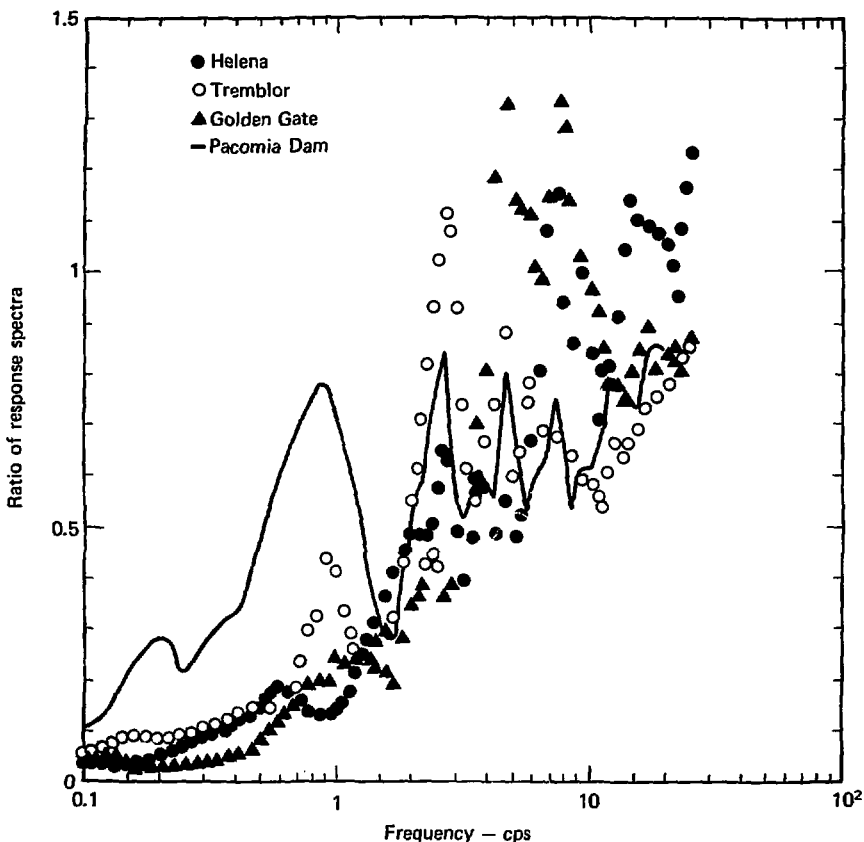


Fig. 13. Linear-log comparison of the ratio of Helena, Tremblor, and Golden Gate response spectra to Regulatory Guide 1.60 spectra.

by Regulatory Guide 1.60 spectra. It can be seen that these earthquakes have very similar shapes. For frequencies greater than 1 Hz they are similar to Regulatory Guide 1.60, however, it is evident that at longer periods, Regulatory Guide 1.60 is extremely conservative. In the fre-

quency range from 0.2 to 2.5 Hz, Regulatory Guide 1.60 is controlled by velocity based on soil sites of 48 in./sec/g, which is much higher than observed for rock sites. On the average, rock sites have a  $V/a$  ratio 1.6 to 1.7 times smaller than soil sites and, therefore, a value of 28

to 30 in./sec should be used to define the response spectra in this frequency range. For frequencies greater than 2.5 Hz we must examine amplification factors based on acceleration, and we must also determine the appropriate amplification factors in this range for an earthquake with a large peak g value. Both the Tremblor and Pacoima Dam spectra have lesser amplifications than the Helena and Regulatory Guide 1.60 spectra, although these two spectra have large peak g values.

Comparisons were made between the Pacoima Dam spectra and several rock sites (list in Table 5) for the San Fernando earthquake to determine whether the apparently low values of spectral amplification for the Pacoima Dam record are caused by nonlinear effects or whether they are simply a function of the source.

Figures 14, 15, and 16 give these comparisons. Record J141 is con-

siderably different from J142. Although station J141 is typically listed as a rock site, it is, in fact, located within the San Andreas fault zone. This explains why the spectra appears spurious. It can be seen from these figures that the amplifications of the Pacoima Dam record are, on the average, smaller than for the other sites. This strongly suggests that one would expect lower amplifications for high g value records, i.e., where nonlinear effects are important. The study of site amplification previously discussed indicated that an input of 3/4 to 1 g causes the rock to move in a nonlinear fashion and indicates that the shear modulus might change from 10 to 12%.

The El Centro record (0.34 g) shows that for frequencies greater than 2.5 Hz, the amplification factors are depressed (Fig. 11).

Table 5. Comparison of epicentral distance and peak g level for several earthquakes.

Site	Epicentral distance, km	Peak g level	Record number
Seis. Lab. C.I.T.	38	0.19	G106
Griffith Park Obs.	33	0.18	O198
Santa Anita Res.	48	0.17	P221
Sta. 1 Lake Hughes	31	0.15	J141
Sta. 2 Lake Hughes	28	0.18	J142

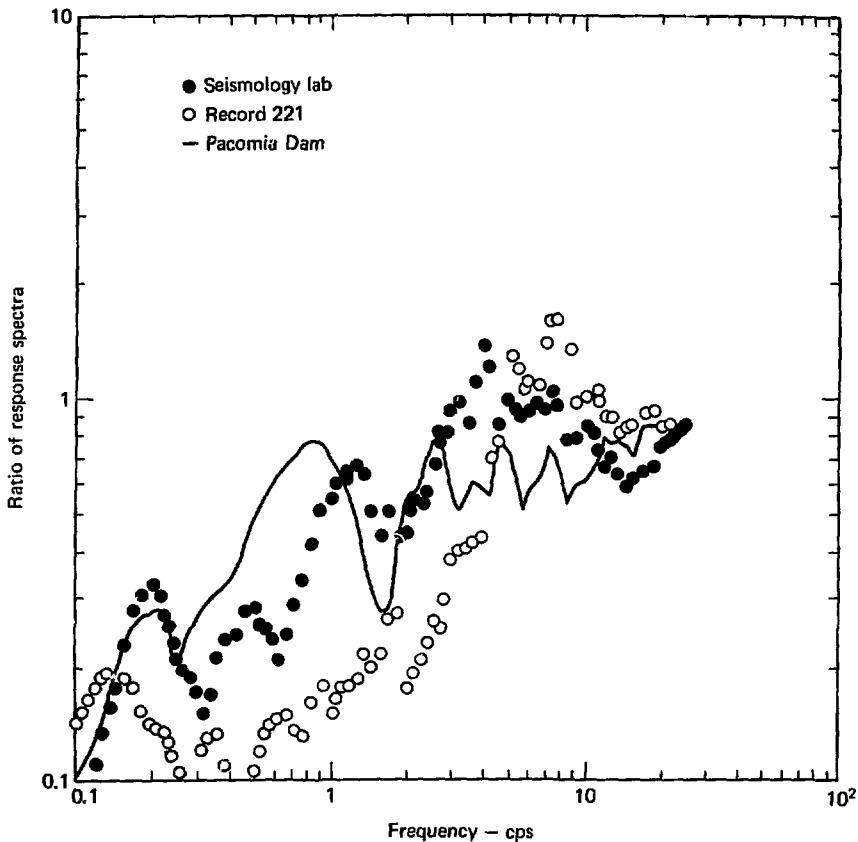


Fig. 14. Comparison of rock-site amplification factors at lower g levels—San Fernando earthquake, Pacomia Dam Record (normalized by Regulatory Guide 1.60 spectra).



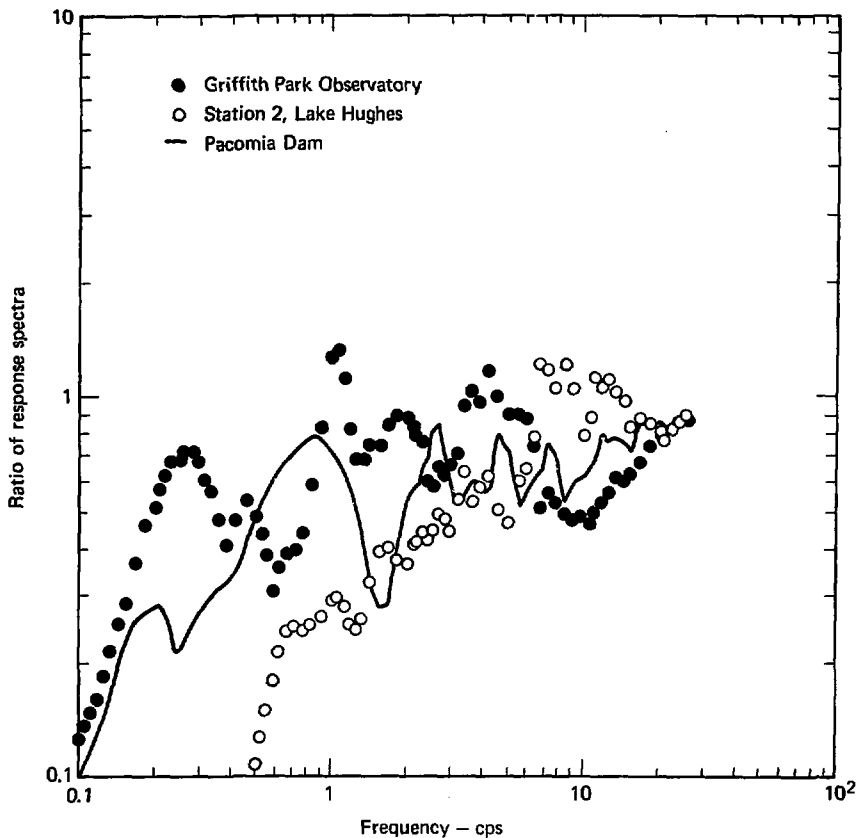


Fig. 15. Comparison of rock-site amplification factors at lower g levels- Pacoima Dam, Griffith Park Observatory, and Station 2, Lake Hughes (normalized by Regulatory Guide 1.60 spectra).

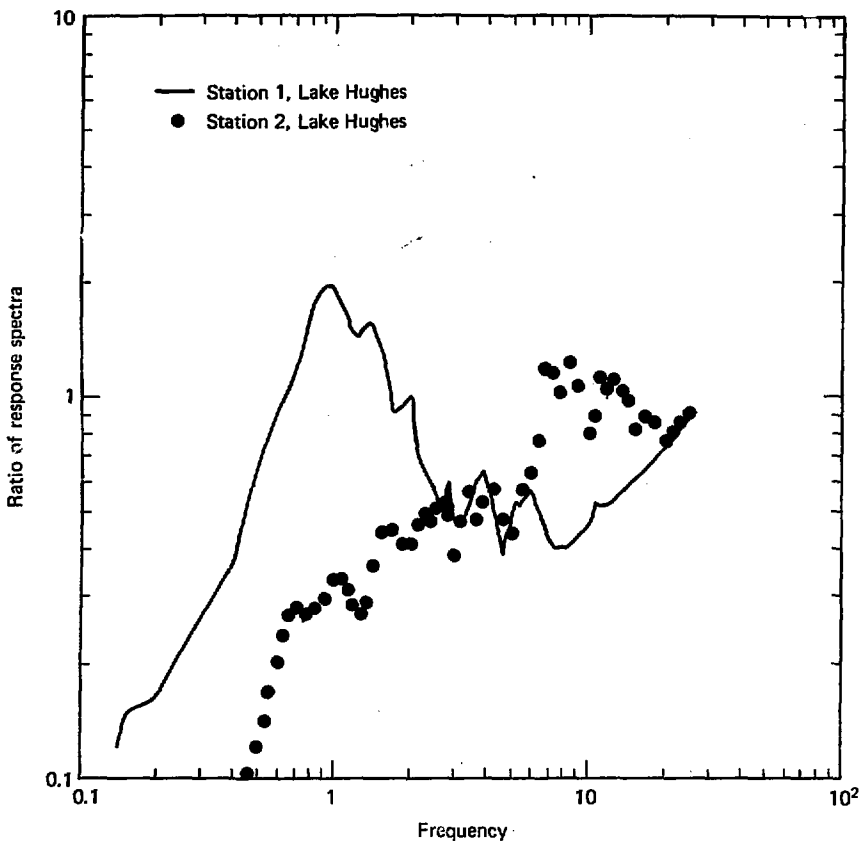


Fig. 16. Comparison of rock-site amplification factors at lower  $g$  levels—Stations 1 and 2, Lake Hughes (normalized by Regulatory Guide 1.60 spectra).

### Effect of Magnitude

The SSE for the Diablo Canyon Site is set at  $M_L = 7.5$ . The spectra previously used for comparison were for smaller magnitude earthquakes, and it is clear from the comparison

that there is a magnitude effect. The smaller magnitude earthquakes appear to be somewhat richer in high frequencies (although part of this effect is also a function of the

level of motion and nonlinear attenuation). It is clear from our comparisons that both the lower frequency in the spectra and the peak spectral level are a strong function of earthquake magnitude. However, studies show that earthquake magnitude is not the best index of earthquake size.<sup>7</sup> The Fourier amplitude spectra of the acceleration and the relative velocity spectra can be characterized by three main engineering parameters in the near field. These are the peak acceleration, the peak velocity at the site, and the causative fault rupture length.

To relate the above parameters, an appropriate earthquake source model must be used. There are several different models available for use in the far field, such as Aki, and Brune,<sup>8,9</sup> However, only Brune's<sup>10</sup> model has been used to any extent in the near field. It gives the Fourier Amplitude Spectrum of the ground displacement as

$$\omega_{NF}(\omega) = \frac{\sigma\beta}{\mu} \frac{1}{\omega(\omega^2 + f_c^2)^{1/2}} \quad (6)$$

where  $\sigma$  = stress drop,  $\beta$  = shear wave velocity,  $\mu$  = Lamé constant,  $\omega$  = circular frequency,  $f_c$  = corner frequency =  $\eta\beta/r$ ,  $r$  = appropriate fault dimension, and  $\eta$  = appropriate constant. (See Trifunac<sup>11</sup> for a discussion of appropriate values of  $r$  and  $\eta$ .)

For our purposes, we prefer to work with the Fourier Amplitude Spectra. These are simply related by  $A(\omega) = \omega^2 \Omega(\omega)$ . Thus Eq. 6 becomes

$$A_{NF}(\omega) = \frac{\sigma\beta}{\mu} \frac{\omega}{(\omega^2 + f_c^2)^{1/2}} \quad (7)$$

Although we are primarily interested in the relative-velocity spectra, we will also use the Fourier Amplitude Spectra because the source models are defined relative to the Fourier spectra. Hudson<sup>12</sup> showed that the Fourier Amplitude Spectra of the acceleration is closely related to zero damped relative spectra. He also showed that

$$S_d \approx S_v/\omega$$

and

$$S_a \approx \omega S_v,$$

where  $S_v$  is the damped relative velocity spectra,  $S_d$  is the relative displacement spectra, and  $S_a$  is the pseudo absolute acceleration spectra. Thus once  $S_v$ , or the Fourier spectra, is defined, then the other quantities of interest are approximately defined. For our purposes, i.e., gross scaling of the spectra relative to the earthquake source, we can intermix comparisons of various types of spectra.

Figure 17 shows a comparison of Brune's<sup>10</sup> model (Eq. (7)) with the Fourier Amplitude Spectra of the

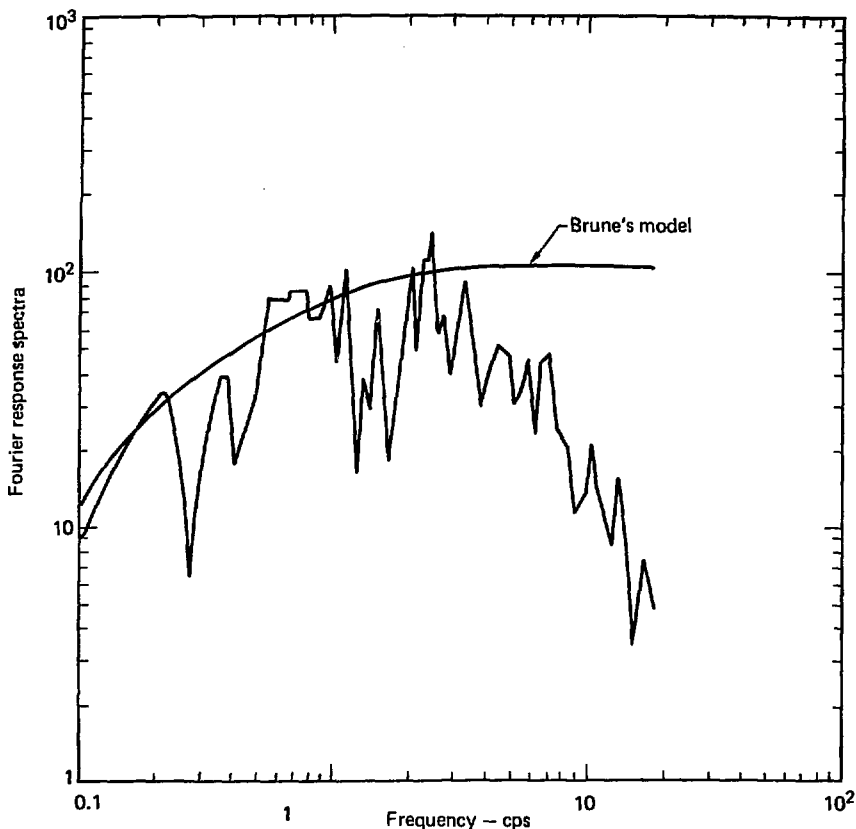


Fig. 17. Comparison of Brune's model with the Fourier amplitude spectra of the Pacoima Dam Record.

Pacoima Dam record. Both components have been averaged. The Fourier Amplitude Spectra is characterized by three regions, a low-frequency region where the spectral level decays as  $\omega$ , an intermediate range where it is constant, and a high-frequency region where the spectra amplitude decays as  $1/\omega^2$ . These dif-

ferent regions intersect at corner frequencies. The quantities of interest are the spectral level of the intermediate frequency region and the corner frequencies.

It should be noted that Brune's<sup>10</sup> model only has one corner frequency, when it is evident that there is a high-frequency corner frequency (HFCF)

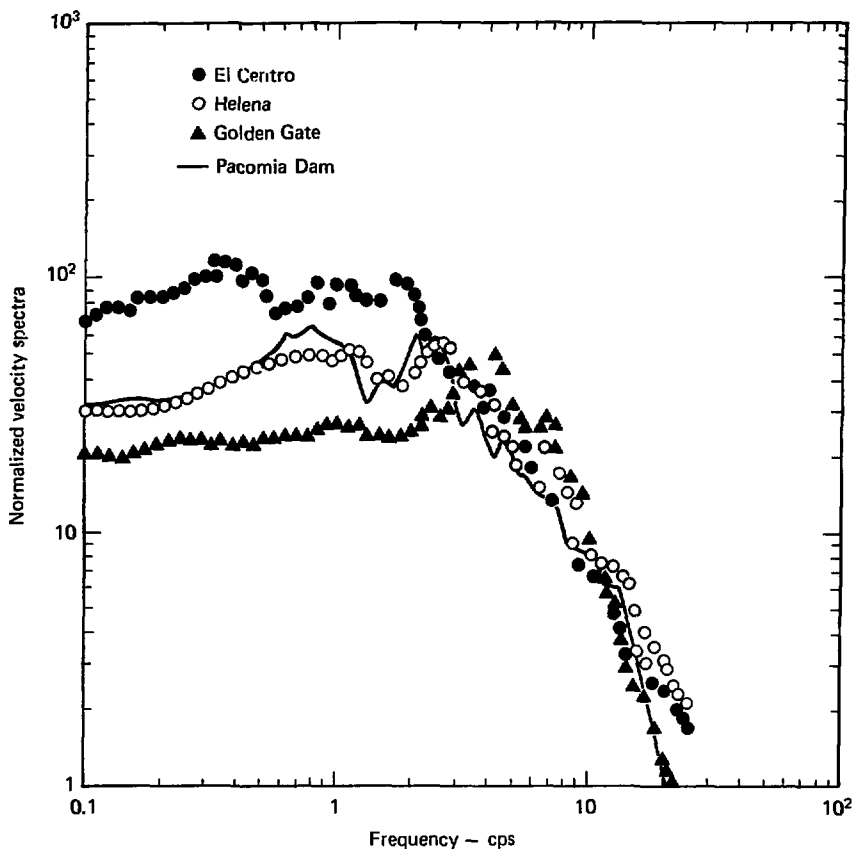


Fig. 18. Comparison of 5%-damped normalized relative-velocity spectra for several earthquakes.

as well. Trifunac<sup>1,3</sup> discusses the lack of agreement between Brune's<sup>10</sup> model and actual Fourier spectra, suggesting that the high-frequency cutoff is a function of nonlinear attenuation. Thus, increasing source size above the magnitudes previously compared does not have a significant effect on the high-frequency part of

the spectra. This is illustrated on Fig. 18 where 5%-damped normalized relative-velocity spectra are compared for Pacoima Dam ( $M_L = 6.4$ ), Helena ( $M_L = 6$ ), Golden Gate ( $M_L = 5.3$ ), and El Centro ( $M_L = 6.7$ ). The normalization is based on acceleration, and high-frequency falloff is similar for all records. The

effect of the earthquakes' source sizes is evident by comparing the Golden Gate record with both the San Fernando and the Helena records. The similarity between the Helena and the Pacoima Dam records is also evident. The differences between

the El Centro and the Pacoima Dam records are also a function of the source parameters.

Figures 19 and 20 illustrate that the HFCF is independent of both magnitude and epicentral distance.<sup>13</sup> Although the HFCF remains the same

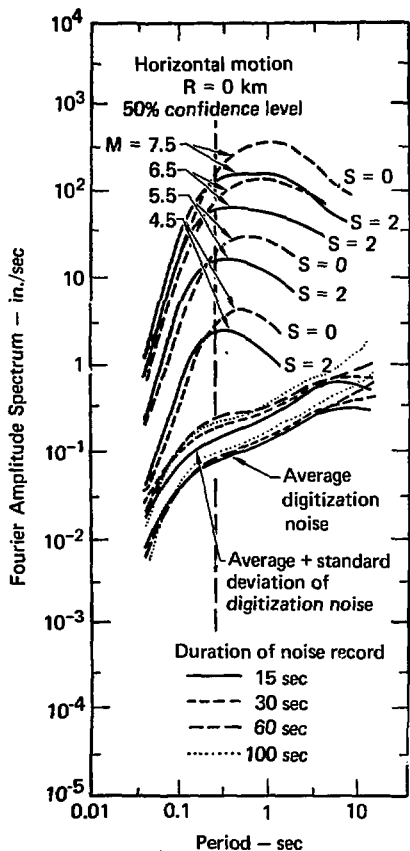


Fig. 19. Horizontal Fourier Amplitude Spectra of strong-motion acceleration for  $R = 0$ ,  $p = 0.50$ ,  $s = 0$ , and 3, and for magnitudes equal to 4.5, 5.5, 6.5, and 7.5.

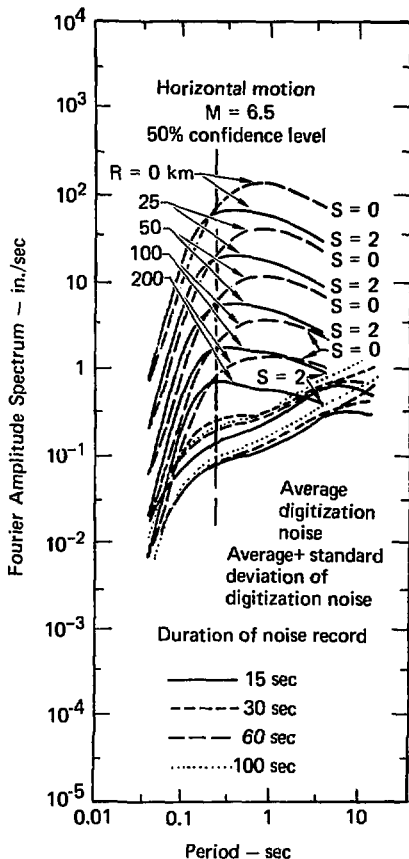


Fig. 20. Horizontal Fourier Amplitude Spectra of strong-motion acceleration for  $p = 0.5$ ,  $s = 0$  and 2,  $M = 6.5$ , and epicentral distances equal to 0, 23, 50, 100, and 200 km.

for both changes in magnitude and epicentral distance, both the spectral level and the low-frequency corner frequency (LFCF) are definite functions of earthquake magnitude.

We are seeking a way to scale the effects of the peak relative velocity part of the spectra and the LFCF (the frequency at which the lower frequency part of the spectra drops off) as a function of earthquake source size for the Diablo Canyon Site (Figs. 18, 19. and 20).

Equation (7) is the key to scaling, but it is expressed in terms of stress drop  $\sigma$  and this parameter is difficult to estimate. However, in the near field, Trifunac<sup>3</sup> shows that  $\sigma \cong v_{\max} \mu/\beta$ . Thus, Eq. (7) becomes

$$A(\omega) = \frac{v_{\max} \omega}{(\omega^2 + f_c^2)^{1/2}} \quad (8)$$

Table 6 compares the normalized velocity of the earthquakes seen in Fig. 18.

The spectral level for El Centro should be a factor of two greater than Pacoima Dam and Helena spectra in the frequency range

$$f_c < \omega < f_H \quad \text{and} \quad f_H = 3 \text{ Hz,}$$

where  $f_H$  is the observed high-frequency falloff. Figure 18 shows that this is true. The Golden Gate spectra is lower but the role of  $f_c$

Table 6. Comparisons of normalized earthquake velocities.

Earthquake	Magnitude	V/a (in./sec/g)
El Centro	6.7	54
San Fernando	6.4	27
Helena	6.0	28
Golden Gate	5.3	21
Event 11		
San Fernando	5.4	18
Kern County	7.7	40

appears to be important because the spectral level of the Golden Gate record is lower in this frequency range than a comparison of velocities given in Table 6 would suggest.

Figure 21 shows a comparison of the 5%-damped normalized relative-velocity spectra for the Golden Gate record and Event 11 (Trifunac<sup>13</sup>), a magnitude 5.4 aftershock of the San Fernando earthquake. There is a great similarity between the two spectra. Figure 22 compares El Centro and Taft records from the large 1952 Kern County earthquake. Both spectra are similar but the Taft spectra is somewhat lower. It is difficult to directly compare the El Centro (6.7) and Kern County (7.7) earthquakes because of the extremely complex nature of El Centro earthquake mechanism (Trifunac<sup>15</sup>). It is important to note that similar lengths of faulting were involved but the seismic moments were different (Kern

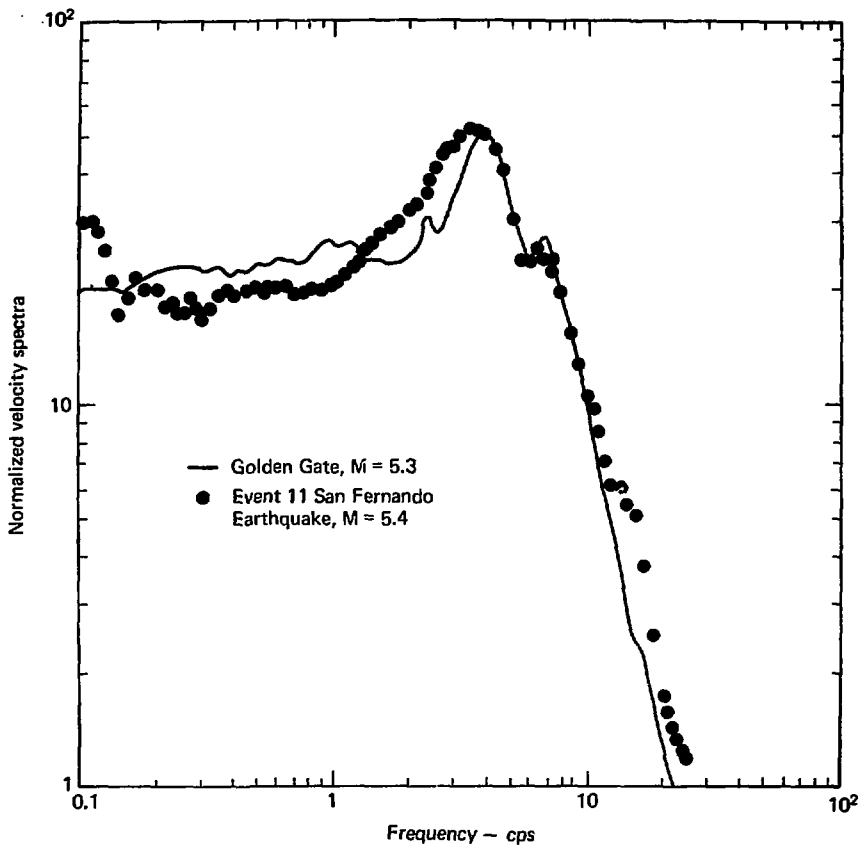


Fig. 21. Comparison of the 5%-damped normalized relative-velocity spectra for the Golden Gate and Event 11 aftershock.

County was larger). Strong-motion accelerographs are not adequate for recording long-period waves.

Our comparisons do not completely delineate the differences between the earthquakes. The records were simply scaled to the same peak  $g$  level and no attempt was made to extrapolate

each earthquake back to its source region and estimate the peak velocity near the fault. This would be an extremely difficult task. We use the same method typically utilized for the design of nuclear power plants, i.e., predictions of peak  $g$  value and scaling based on the estimated peak



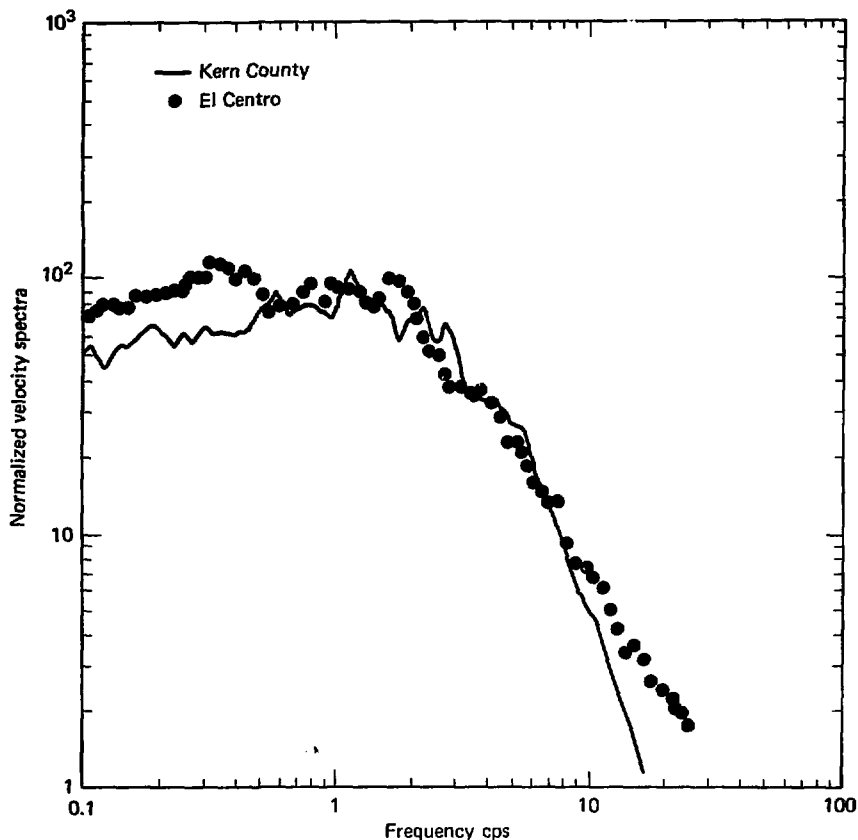


Fig. 22. Comparison of spectra from 1952 Kern County earthquake and El Centro records.

g value. This is a reasonable approach because nuclear power stations are stiff, high-frequency structures responding only to the high-frequency portion of the spectra that is primarily characterized by acceleration.

Although the spectra are from strong motion records, they are not

all true near-field records. For the frequency band of interest to us, the effect on the predicted spectral shape based on Brune's near- or far-field model is not too important for large source sizes.

We must now consider the role of corner frequency. In Brune's model,

the LFCF was related to the time required for the peak velocity to decay to zero. In the near field it would be a function of the size of the proximate dislocations that contribute to the strong ground motion. Thus, for very long faults and an earthquake consisting of several successive events, the near-field corner frequency would be influenced only by the nearby events and not the more distant events. In the far field, the spectral shape would be governed by values averaged over the entire fault.

Because Brune's<sup>10</sup> near-field model is inexact, it is impossible to obtain a simple expression for the appropriate value for the LFCF in the near field. However, it is possible to obtain an exact expression for the LFCF in the far field. Brune<sup>10</sup> shows that

$$A_{ff}(\omega) = (R_{\theta\phi}) = \frac{\sigma\beta}{\mu} \frac{r}{R} \frac{\omega^2}{\omega^2 + \alpha^2}, \quad (9)$$

where  $(R_{\theta\phi})$  = average of the radiation pattern,  $r$  = dimension of equivalent circular dislocation surface,  $R$  = source distance, and  $\alpha = 2.34 \beta/r$  = corner frequency.

Equation (9) is very similar to Eq. (7). The major difference is the decay rate of the spectral amplitude for frequencies less than the corner frequency. Spectral shape changes in the near and far fields as well as differences in spectral shapes

for earthquakes of different source sizes can be compared in Eqs. (7) and (9). Because the comparison is relative to spectral shape and the effect of source size on the corner frequency, the absolute spectral level is not important, and Eqs. (7) and (9) become

$$\bar{A}_{NF} = \frac{K_{NF} \omega}{(\omega^2 + f_c^2)^{1/2}}, \quad (10)$$

and

$$A_{FF} = \frac{K_{FF} \omega^2}{\omega^2 + \alpha^2}, \quad (11)$$

where

$$\alpha = 2.34 \frac{\beta}{r},$$

and

$$f_c = \eta \frac{\beta}{r}.$$

Figure 23 illustrates the difference in the near- (Eq. (10)) and far-field (Eq. (11)) approximations of the source model. They are then compared with the S16E component of after shock 11 (Trifunac<sup>14</sup>) of the 1971 San Fernando earthquake recorded at the Pacoima Dam. This figure shows that the far-field form (Eq. (11)) matches the data better than the near-field approximation, as expected for a value of  $R/r = 25$ , which is a far-field observation.

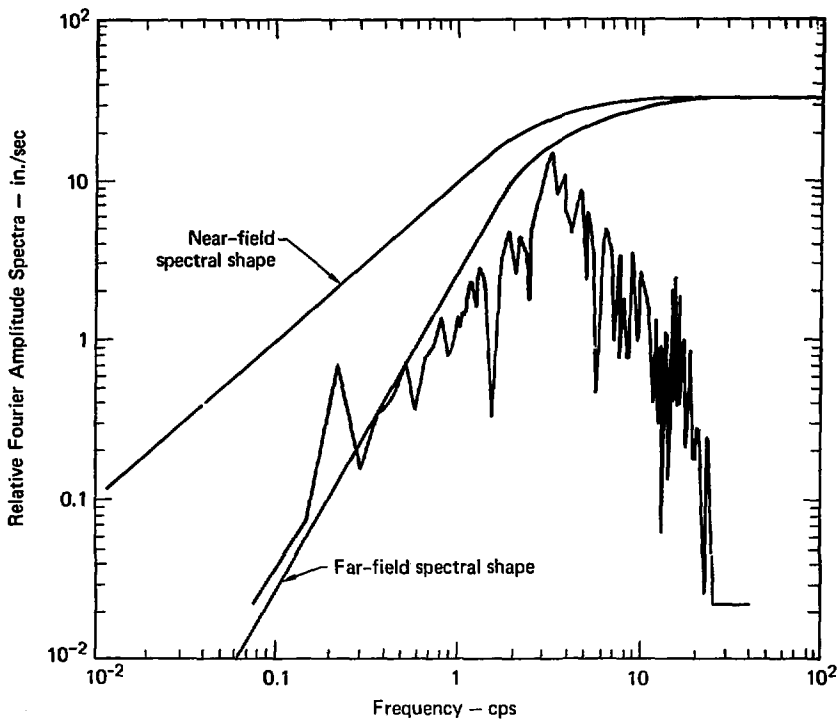


Fig. 23. Difference in near- and far-field approximations of Brune's model.

For small source sizes, the difference in near- and far-field approximations illustrated in Fig. 23 is important in the frequency range of engineering interests. However, for a larger source size,  $r > 10 \text{ km}$   $\alpha$  becomes less than 0.1 Hz. This frequency is too low to be of any importance for nuclear power plants. For Fig. 23, Trifunac<sup>14</sup> obtained the value of  $\alpha$  using  $r \approx 0.45 \text{ km}$  (estimated for Event 11), where

$$\alpha = 2.34 \frac{\beta}{r} = \frac{2.34(3.5)}{0.45} \approx 20 \text{ rad/sec.}$$

Trifunac<sup>14</sup> also estimated that  $R = 12 \text{ km}$ , and for an illustration we used  $f_c = \alpha$ .

The effect of increased source size (with constant stress drop) is illustrated on Fig. 24. Also shown is the S16E component of the main shock of the 1971 San Fernando earthquake, and the near-field fit using

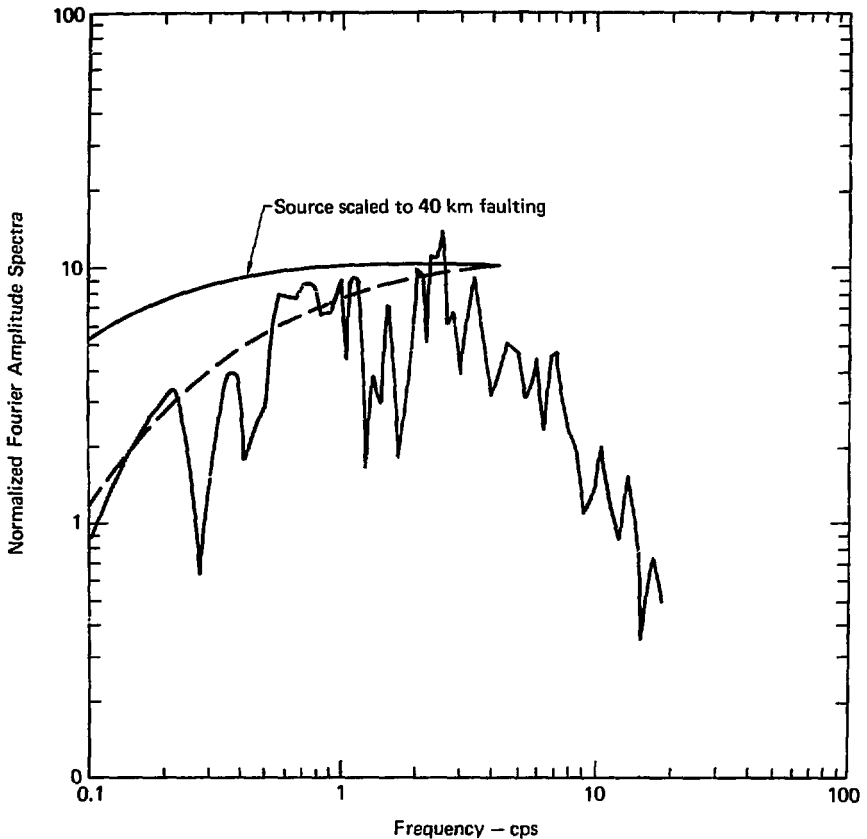


Fig. 24. Effect of increase source size with constant stress drop for Pacoima Dam record (Fourier Amplitude Spectra).

Brune's<sup>10</sup> model (Fig. 17) for 8- and 40-km source sizes. If we use the Thatcher and Hanks<sup>16</sup> relation,

$$M_L = 3/2 \log(L) + \log \Delta\sigma + 3.5, \quad (12)$$

then a change in source size of a factor of 4.5 corresponds to about

one unit on the  $M_L$  scale. This increase in magnitude (for the same stress drop) would have little influence on the design of a nuclear power plant because it is a stiff structure (Fig. 24). However, if we compare Figs. 23 and 24, we see that going from magnitude 5.4 to 6.5

greatly affects the design of a nuclear power plant.

One problem with using either Eqs. (7) or (8) as a predictive tool is that the LFCF is not well defined, although its value can be important. For the main shock of the 1971 San Fernando earthquake, Trifunac<sup>14</sup> obtained a value of  $f_c \approx 1$  Hz. The expression  $\alpha = 2.34 \beta/r$  gives a value of  $\alpha \approx 0.13$  Hz. This difference does not usually affect the design of nuclear power plants. However, for an earthquake with a smaller source size but a higher stress drop, it would be important. Generally one would expect  $f_c$  to be higher than  $\alpha$  because  $\alpha$  would be influenced by the entire fault length, whereas  $f_c$  would be governed by the region of highest stress drop near the site. For smaller magnitude earthquakes, this difference would be small (assuming here that the source size is small, unlike the Parkfield earthquake). For a very large earthquake, the difference between  $\alpha$  and  $f_c$  could be large.

Scaling for increased magnitude is complex. For example, using Eq. (12) from Thatcher and Hanks<sup>16</sup> we see that a larger  $M_L$  can result from either a larger dislocation size (dimension of faulting) or an increase in stress drop. An increase in  $\Delta\sigma$  would correspond to an increased spectral level with the same corner frequency.

For a constant stress drop, an increase in the source size would not affect the peak Fourier spectral amplitude, but the corner frequency would diminish. Hence, progressively lower frequencies would have the maximum spectral amplitude associated with them. Therefore, to predict the appropriate spectrum, it is important to determine if the fault is highly stressed, i.e., whether the stress drop is likely to be greater with increased magnitude, or if the larger magnitude earthquake is simply a result of progressively larger dislocation.

The above arguments relate the peak velocity to the stress drop, the LFCF to the fault rupture length, and the high-frequency part of the relative-velocity spectra to peak acceleration. Peak acceleration can be related to the stress drop in several ways. Trifunac<sup>3</sup> used the statistics of the time history and Fourier Amplitude Spectra. Hanks and Johnson<sup>17</sup> used a qualitative model and the basic equations of motion for an elastic medium. In addition, Figs. 3 and 4 show that peak velocity and acceleration correlate well, thus supporting these results. The scatter on these figures can be attributed to local site conditions and the constructive and destructive interference of several wave arrivals. Also as

discussed earlier, the HFCE is relatively independent of magnitude.

It is clear that the key to developing the design spectra for the Diablo Canyon Site is to make appropriate estimates for the stress drop and source size. If the estimated local stress drop and rock strength in the fault zone is average or below average, then the predicted ground motion at the site can be reasonably based on the least square fits of the data as discussed previously. Once the peak site acceleration and velocity are estimated, the design spectra in the near field can be obtained in the following way:

- (1) In the high-frequency range, i.e.,  $3 \text{ Hz} < f < 25 \text{ Hz}$ , the spectra should be scaled on peak acceleration. For large  $g$  values one can use smaller amplifications than suggested in Regulatory Guide 1.60. Increased source size only affects the high-frequency part of the spectra slightly.
- (2) In the frequency range of  $f_c \leq f \leq 3 \text{ Hz}$ , the peak velocity is the important parameter to be scaled. For rock sites, the peak velocity should either be scaled for the peak  $g$  level, or it should be estimated from a separate correlation of peak-velocity attenuation and epicentral distance.

- (3) For the larger magnitude earthquakes, the effect of the corner frequency is not too important for the design of nuclear power plants, particularly for Diablo Canyon. However, scaling spectra to  $M_L = 7.5$  from lower magnitude earthquakes, e.g.,  $M_L = 6.5$ , necessitates raising the spectral amplitude in the range of  $f_c (M_L = 7.5) < f_c (M_L = 6.5)$  (Fig. 24). The corner frequency is extremely important for small events with high stress drops. The results show that significant decrease in spectral amplitude will occur in the frequency band of interest. This is illustrated on Fig. 25 for two earthquakes of the same magnitude but with a factor of 10 difference in stress drop. For purposes of illustration we used our fit of Eq. (9) to Event 11 (Fig. 23) and Eq. (12) to obtain the source dimension for the higher stress-drop earthquake.
- (4) In general, if the magnitude of the DBE is increased from 6.5 to 7.5 (at the same near-field distance), it should not significantly influence the design of a nuclear power plant, provided that the increased magnitude is only caused by increased faulting dimension.

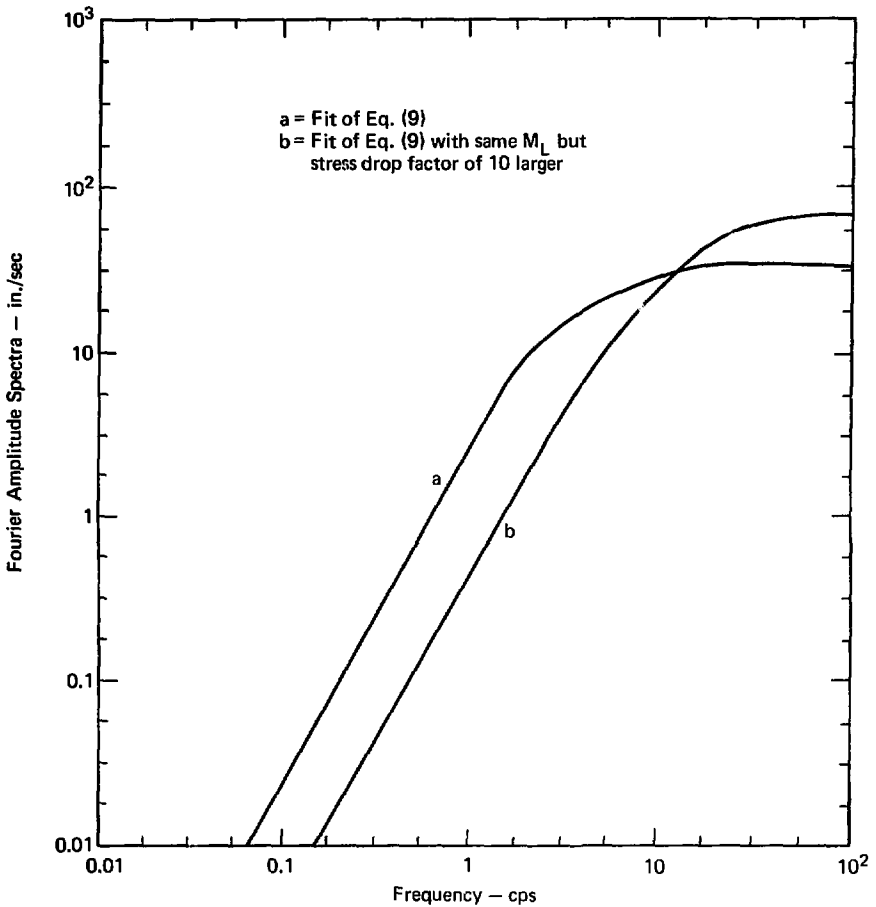


Fig. 25. Effect of increased stress drop for same magnitude earthquake on Fourier Amplitude Spectra.

### Soil-Structure Interaction

We have been dealing with various aspects of the free-field seismic environment at Diablo Canyon. To

more completely evaluate the seismic design input, we must assess possible soil-structure interaction (SSI).

Conventional SSI methods such as those contained in LUSH, are appropriate because of the proximity of the reactor to the Hosgri Fault. It is conceivable that a shallow earthquake could cause energy that propagates horizontally across the basemat of the structure. The effect of this excitation, called the traveling wave, on the structure would be very different from the effect of a vertically propagating SH wave. However, we feel there is little possibility that the reactor will experience traveling-wave excitation. It is more likely that the depth of focus will be at least 10 km, in which case energy will be refracted vertically. For a strike-slip faulting mechanism, the majority of the energy would arrive in the SH mode. This is the basis of the conventional SSI calculative techniques.

The free-field environment from a magnitude 7.5 earthquake at Diablo Canyon would be very severe. The current design of the plant could possibly be challenged if the inter-

action effects were small and resulted in a basemat environment comparable to the free field. On the other hand, significant interaction could modify the basemat environment to acceptable levels. It is the purpose of the SSI analysis to determine if the interaction effects are important enough to warrant a costly detailed study.

We have postulated two different bedrock accelerograms; one is impulsive and the other is longer duration, but they both have a peak acceleration of 0.75 g. We calculated the response of the soil-structure system with the LUSH code by comparing these results with the free-field response from SHAKE.

We conclude that there would be significant interaction of the structure with the soil, in spite of the site stiffness (3000 fps shear wave velocity). The basemat spectral accelerations for frequencies between 2 and 3 Hz would be less than the free-field spectra by as much as 50% for both bedrock excitations.

## Lush Calculations

Because there are few details available about the properties of the structure and the site, we performed exploratory calculations to reveal the fundamental character of the

interaction. Subsequent calculations would be required to model the problem in sufficient detail to permit design decisions. The Diablo Canyon site was modeled as a layer



of 3000 fps sandstone 250 ft thick. We assume the sandstone density to be 150 pcf and the water table to be at bedrock. For compatibility between LUSH and SHAKE, we also assumed bedrock to be infinitely stiff relative to the sandstone. (This model does not include the effects of the mudstone layer previ-

ously discussed. Therefore, the calculated surface  $g$  values presented here are higher than those already given. We feel that this simplified analysis is adequate to determine SSI, but these limitations should be considered when the results are interpreted.) The SHAKE and LUSH shear modulus and damping factor

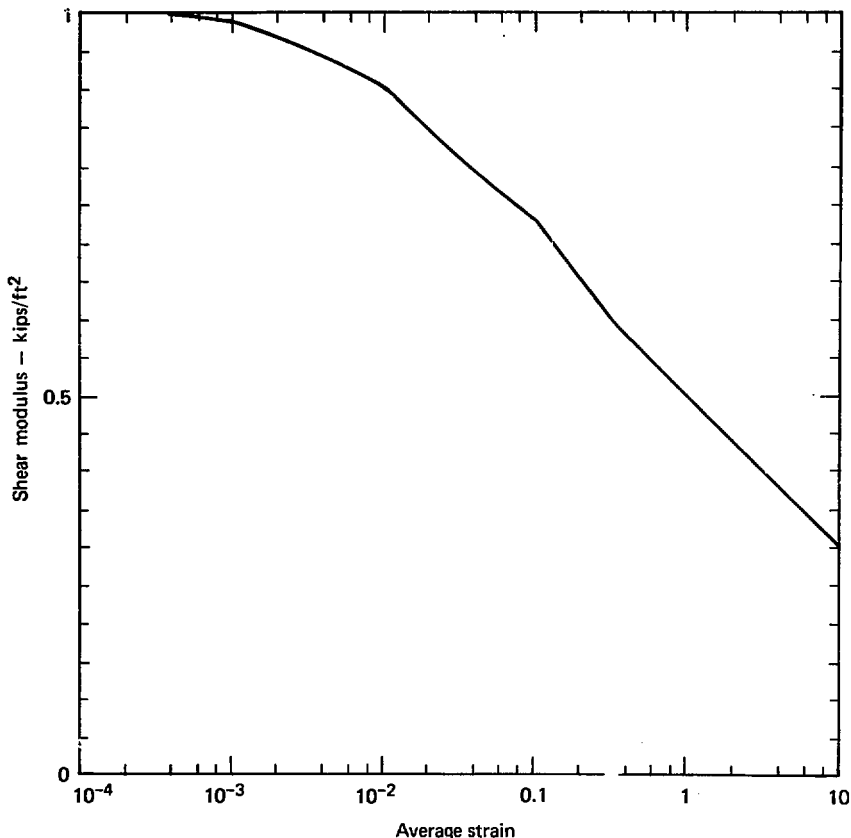


Fig. 26. Decrease in spectral amplitude for two earthquakes with same magnitude and different stress drops.

relationships for sandstone are given in Figs. 26 and 27. In our calculations, we used two bedrock excitations with a peak acceleration of 0.75 g each. A comparison of the response spectra for the two excitations shows the difference in frequency content (Fig. 28). In Figs. 29 and 30, (keyed in Table 7), we present the free-field responses of the site in the form of overlaid response spectra. In Table 7 we give the variation of peak acceleration with depth. The differences in the site response are emphasized in Fig. 31, where the calculated surface motions are compared. These results show that the fundamental period of the site is 0.4 seconds. The structural model is simple but adequate for the SSI effects, with dimensions and mass comparable to reactor buildings, and a fixed base first mode of

4.67 Hz. We assume the model to be embedded 40 feet.

We developed a soil-structure model for the LUSH calculations with several important characteristics. First, the mesh size insures that frequencies up to 20 Hz are preserved. Second, the lateral extent of the model is determined by trial and error until motions at the edge are free-field. Finally, we reduce the bandwidth of the problem by using the plane of symmetry. The model has 301 nodes, 260 elements, and a bandwidth of 34. The vertical sides are on horizontal rollers so the base moves horizontally in accordance with the bedrock time history.

The soil properties for the first LUSH iteration were taken from the SHAKE analysis. After four iterations the solution had converged based on the criteria that the moduli

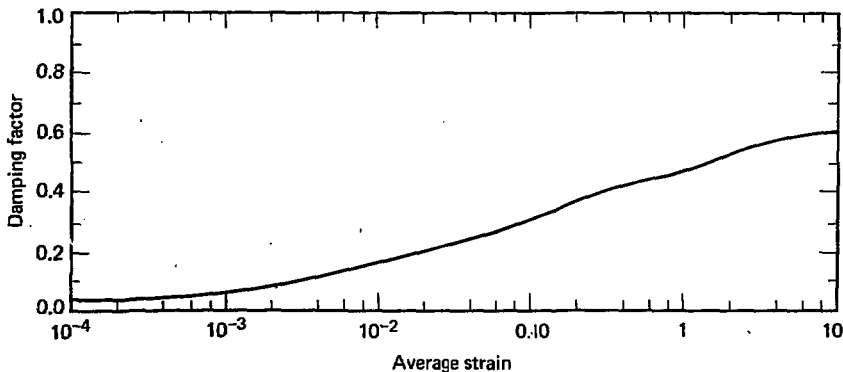


Fig. 27. The SHAKE and LUSH damping factor relationships for sandstone.

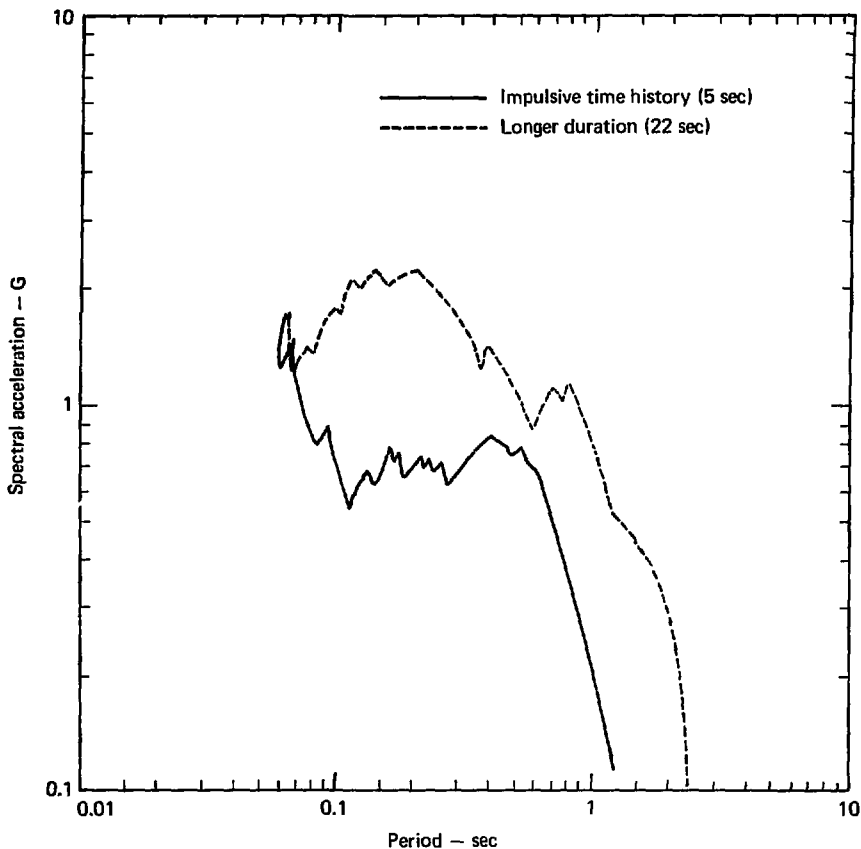


Fig. 28. A comparison of the 5%-damped response spectra of the SHAKE and LUSH bedrock excitations.

and damping factors changed by less than 5% between iterations.

The accuracy of a LUSH calculation is determined by comparing the LUSH free-field response with the SHAKE response. A poor comparison would indicate an inadequate model. In Figs. 32 and 33, we present a com-

parison of the LUSH and SHAKE responses. The results agreed well, proving that reflections off the vertical boundaries do not influence the interaction results.

Figures 34 and 35 compare the basemat and free-field response spectra. This comparison indicates

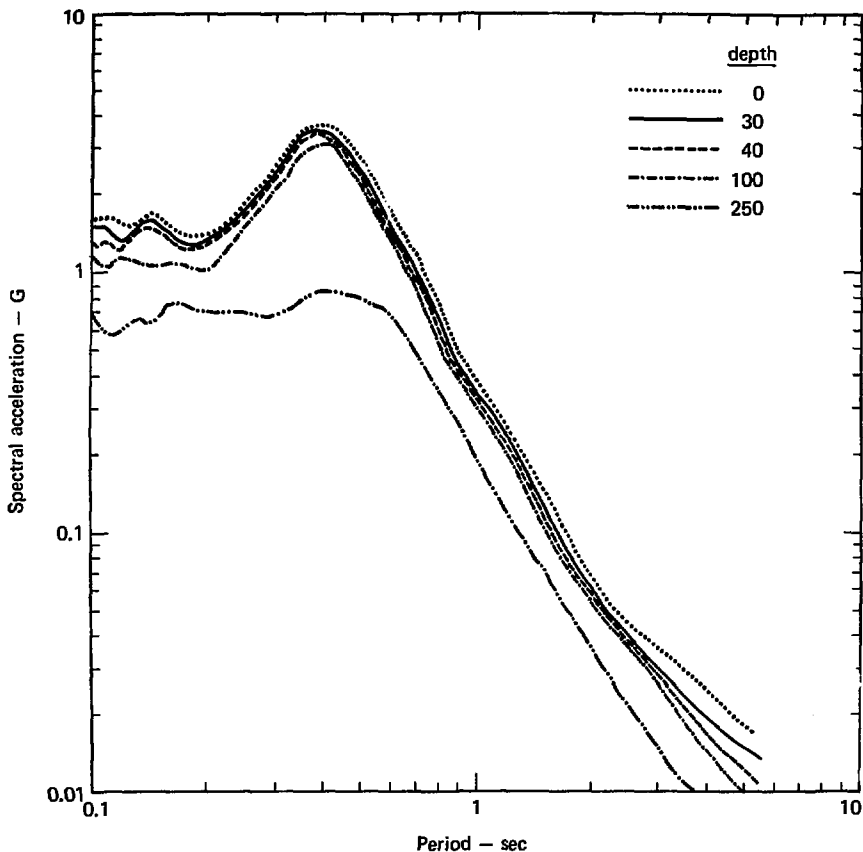


Fig. 29. Change in the 50%-damped response spectra of the free-field with depth for the short-duration input.

that, although the shape of the basemat spectra is very similar to the free field, the basemat spectral accelerations are appreciably less than the free field. The difference is greatest in the frequency range from 2 to 3 Hz, suggesting that the

structure acts as a damper on the spectral peaks. It is interesting to note that the interaction effects must be caused principally by the mass of the structure because the first structural mode is very close to the first soil mode.

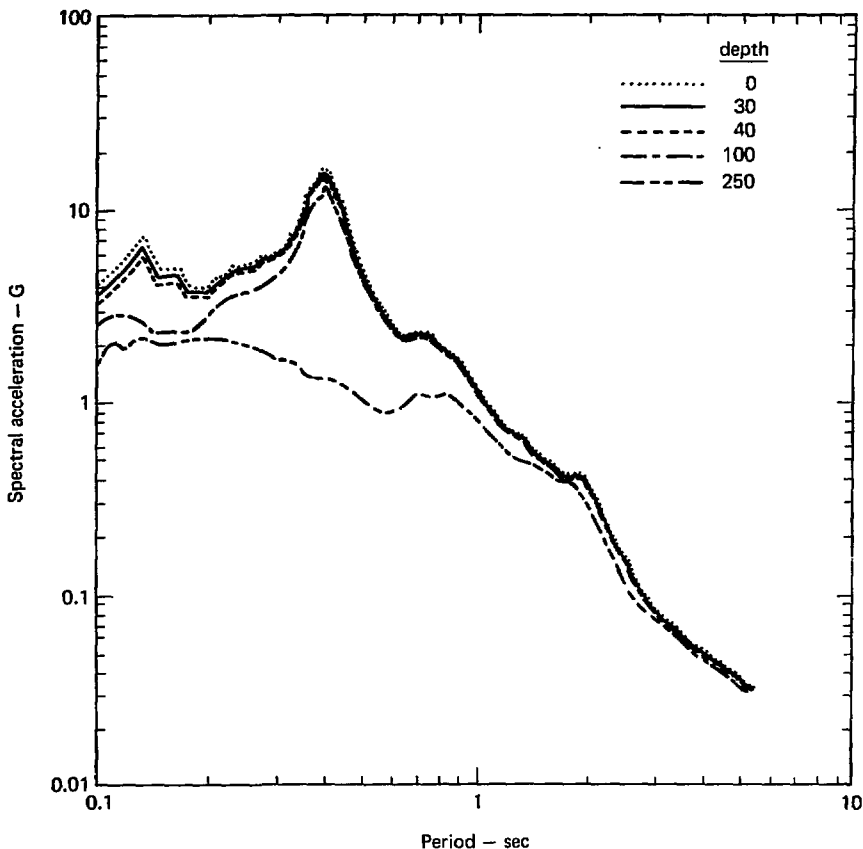


Fig. 30. Change in the 50%-damped response spectra of the free-field for the long-duration input.

We feel that there could be significant SSI at the Diablo Canyon reactor. However, this theory is speculative because of present uncertainties in site and structure modeling as

well as in establishing the bedrock seismic excitation. We recommend that final conclusions be based on a further analysis that would provide parameters for the above variables.

Table 7. Earthquake response for the Diablo Canyon sites. Acoustic shear wave velocity ~ 3000 fps.

Depth, ft	Long-duration excitation, peak acceleration, g	Short-duration excitation, peak acceleration, g
0	2.6	1.9
30	2.45	1.3
40	2.3	1.17
100	2.1	1.2
250	0.75	0.75

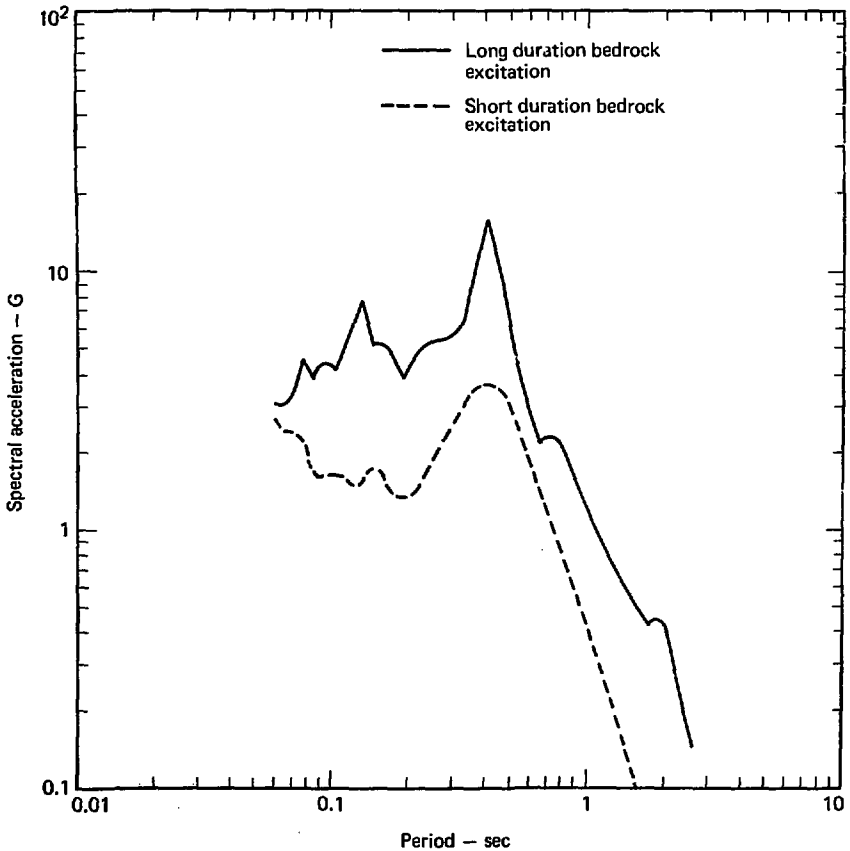


Fig. 31. Comparison of free-field, surface-motion 5%-damped response spectra from SHAKE for both bedrock excitations.

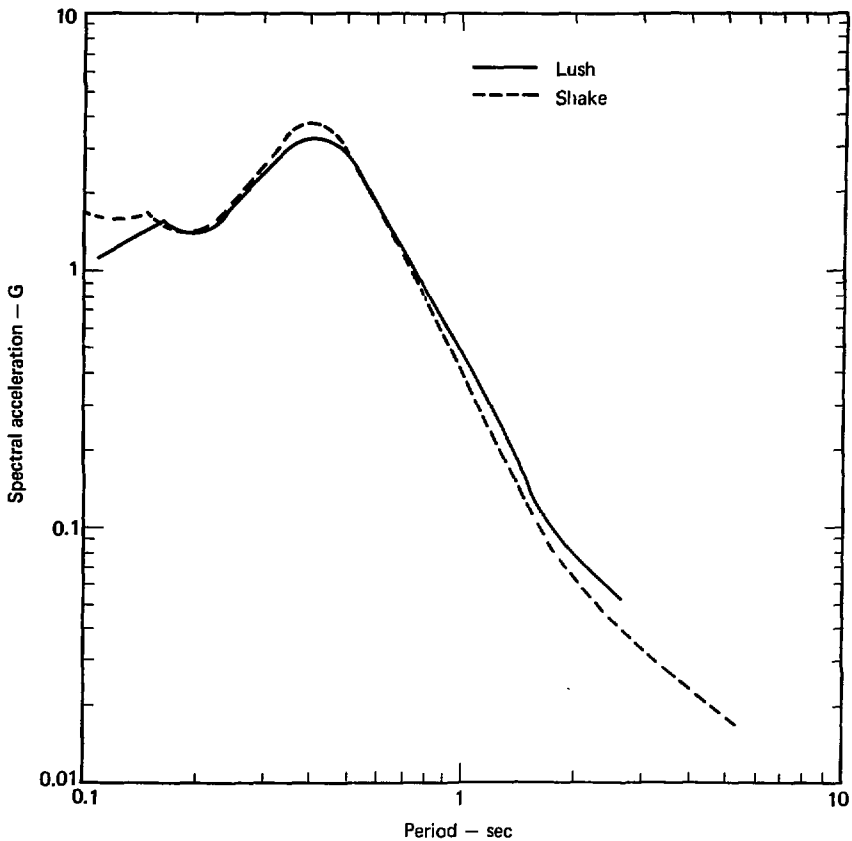


Fig. 32. Comparison of short-duration input SHAKE and LUSH free-field response spectra at the surface for 5%-damping.

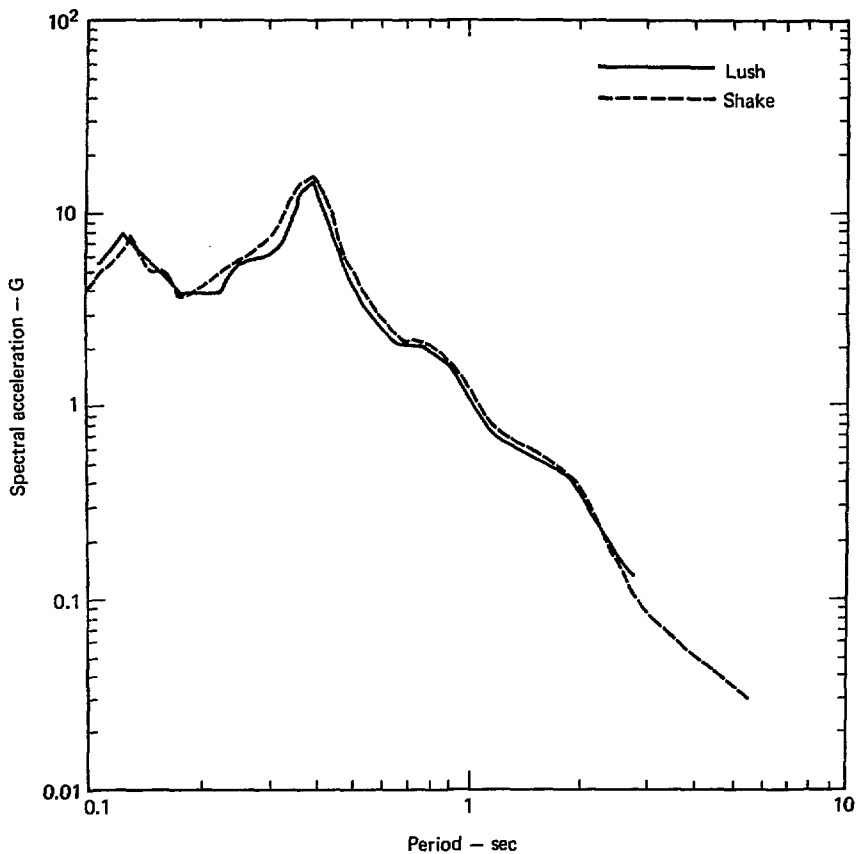


Fig. 33. Comparison of long-duration input SHAKE and LUSH free-field response spectra at the surface for 5%-damping.



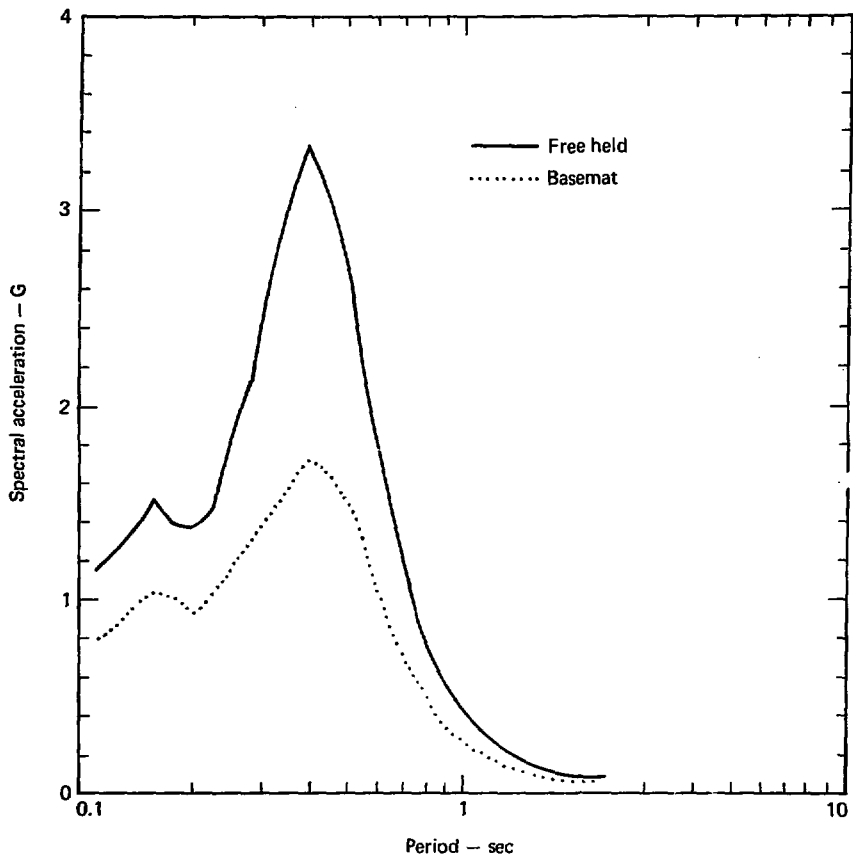


Fig. 34. Comparison of free-field (40-ft depth) and basemat spectra (5%) for long-duration input.

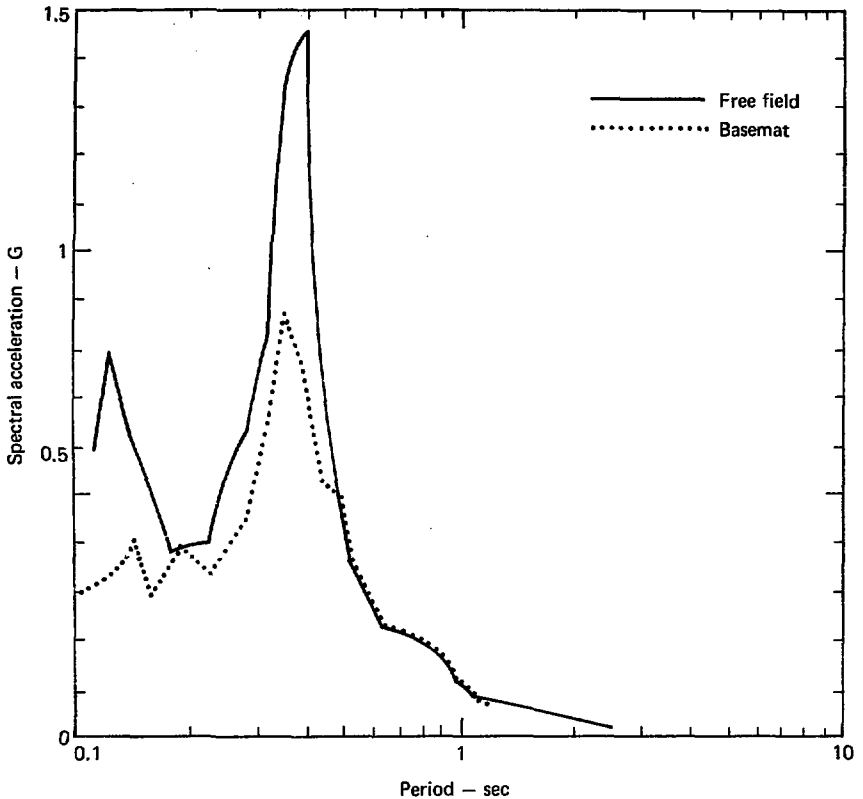


Fig. 35. Comparison of free-field (40-ft depth) and basemat (5%) spectra for short-duration input.

### Effect of Seismic Wave Passage on the Response of Large Basemat Structures

We have discussed the possible effect of a massive foundation on the modification of typical free-field records. Our conclusions are based on LUSH calculations made with the assumption that the earthquake motion

is identical at all spatial points at some depth beneath the reactor. However, these conditions are not typical. Various studies have shown that nonuniform earthquake motion causes self-canceling effects by

those ground-motion components that oppose each other in a direction relative to the motion of the basemat. This is called the wave-passage effect.

Scanlan<sup>18</sup> provides the most complete wave-passage model available and we used it to obtain estimates of the possible effects of wave passage. We then compared these estimates with the results of recorded data to determine whether the data would support the model's results.

Scanlan's<sup>18</sup> model is relatively simple. The basemat is represented by a rigid rectangular foundation that rests on and is connected to the soil by an evenly distributed set of soil springs. The seismic input is applied at the base of these springs and it is assumed that

$$u(t) = \sum A_n \cos \left( \omega_n t + \phi_n - \frac{\omega_n x}{C} \right), \quad (13)$$

where

$$\sum A_n \cos (\omega_n t + \phi_n) = \text{Fourier expansion of surface accelerogram,}$$

and

$C$  = appropriate wave train velocity.

The term  $\omega_n x/C$  in Eq. (13) represents the wave train that travels across the site.

Two possible types of earthquake motion can be analyzed with this model. The first type is when the soil particle motion is in the same direction as the wave, and the second is when the soil particle motion is transverse to the direction of the wave.

One major problem with any such analysis is estimating appropriate average wave velocities that should be used. If the strong ground motion is caused by surface waves, then the appropriate average velocity can be obtained. However, in the reasonably near field of an earthquake, several complex arrivals cause the strong motion (Fig. 36). Thus, much of the motion recorded with two nearby instruments would travel with the high apparent wave velocities in the lower layers, while the higher frequency surface waves would travel with much lower wave velocities in the near surface layers.

Because strong-motion instruments are triggered at some threshold level, it is usually not possible to accurately estimate the appropriate velocities from available earthquake data. There are considerable data from underground nuclear explosions showing average wave velocity of the strong motion is the same as high wave velocities of the deeper layers. This high apparent wave-train velocity is recorded at a distance of at

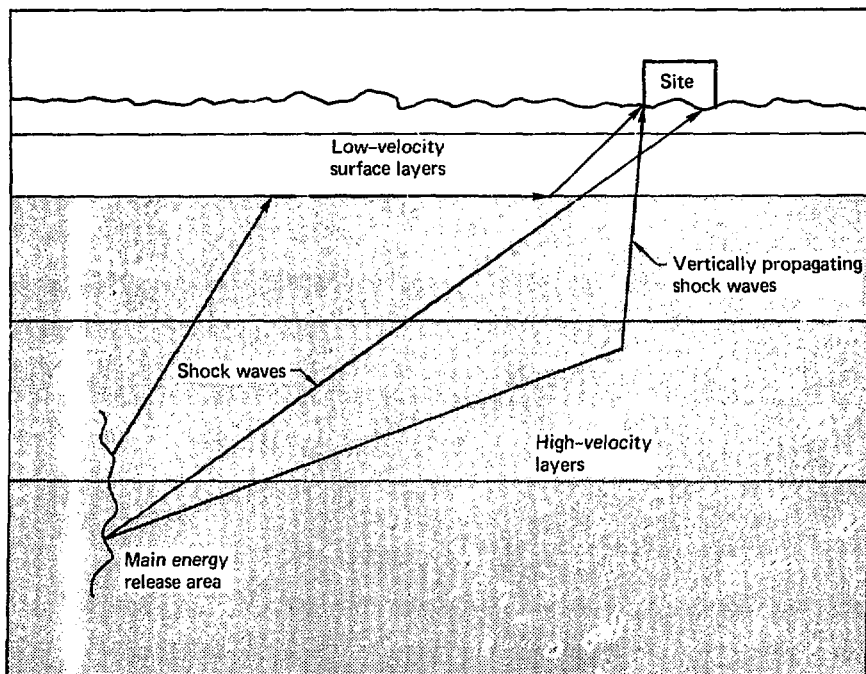


Fig. 36. Probable wave travel paths that would cause large apparent wave train velocities.

least 10 times the depth of energy release (this is about as far as the dense strong-motion arrays extend).

There have been recent studies of ground-motion recorded on closely spaced instruments. Tamura et al.<sup>19</sup> and Tsuchida et al.<sup>20</sup> published results obtained from two different accelerometer arrays located on soft alluvial ground. For both arrays, the apparent wave velocity was computed by obtaining the time lags from a cross-correlation of the data

recorded by the various instruments. Tamura et al.<sup>19</sup> estimated a wave velocity of nearly 3 km/sec, while the wave velocity estimated by Tsuchida et al.<sup>20</sup> varied from 2.6 to 5.3 km/sec. It should be noted that these velocities are much larger than the wave velocities of the near-surface layers.

If we set aside these problems, it is possible to obtain provisional results. Scanlan<sup>18</sup> shows that the traveling wave can be replaced by an

averaged time history applied simultaneously at the base of the soil springs. For both types of earthquake motion Scanlan<sup>18</sup> showed

$$\bar{u}(t) = \sum \bar{A}_n \cos(\omega_n t + \phi_n - \psi_n), \quad (14)$$

where

$$\bar{A}_n = \left[ \frac{2(1 - \cos Rn)}{R_n^2} \right]^{1/2},$$

$$R_n = \frac{\omega_n L}{C},$$

and

$$\tan \psi_n = \frac{1 - \cos Rn}{\sin Rn}.$$

where

C = appropriate wave velocity and

L = foundation dimension.

In addition, Scanlan<sup>18</sup> found that a torsional motion would be excited even in symmetric structures. This motion is not usually included in typical soil-structure-interaction analysis. In symmetric structures, no torsional motion is induced when the same motion is input equally under the structure. Therefore, it is impossible to derive an expression completely equivalent to Eq. (14). However, Scanlan<sup>18</sup> shows that

$$\alpha_n = \left\{ \frac{\sin Rn}{2 Rn} + \frac{\cos Rn - 1}{R_n^2} \right\}^2$$

$$+ \left( \frac{\sin Rn}{R_n^2} - \frac{1 + \cos Rn}{2 Rn} \right)^2 \Bigg\}^{1/2}, \quad (15)$$

which is very similar to the  $\bar{A}_n$  in Eq. (2). Plots of  $\bar{A}_n/A_n$  and  $\alpha_n$  are shown on Fig. 37. This figure also shows that the net effect of the traveling wave is the reduction of the amplitude of the Fourier coefficients at higher frequencies. In this case, high frequencies are defined relative to the average traveling wave-train velocity and the foundation dimension L.

Several assumptions were made in this analysis and their validity is difficult to determine, suggesting that it would be useful to assess the overall effect of wave passage on structural response. This can be done by qualitatively comparing the overall structural response to real traveling waves to the response predicted qualitatively by Eq. (14). This is ideally achieved by comparing free-field measurements with measurements obtained in a building. However, because there are so few cases of strong motion recorded both in buildings and nearby in the free field, we can also compare the response of an accelerometer located in the basements of closely grouped buildings with different basemat areas. In this way, we should be able to determine the importance of the effect.

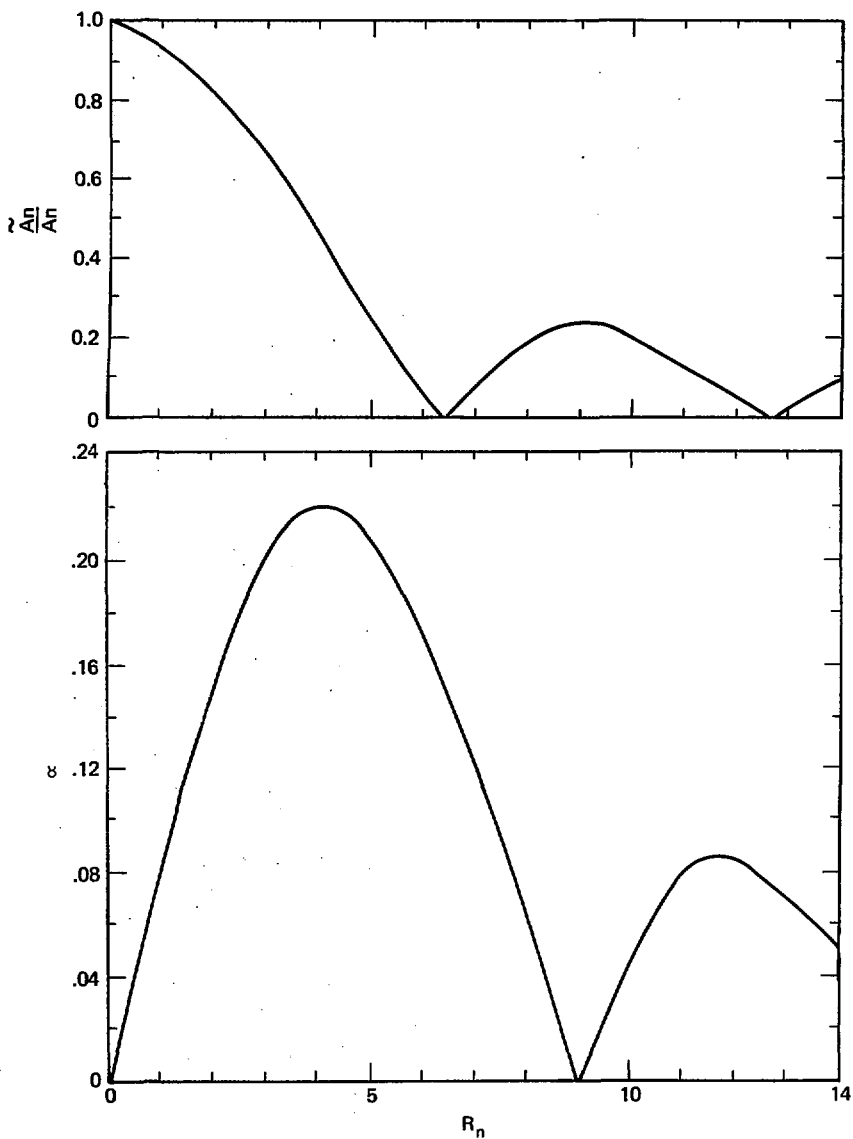


Fig. 37. Effect of the traveling wave on the Fourier Amplitude Spectra at higher frequencies.

One such recorded case compared data from a parking lot and the Hollywood Storage Building during the San Fernando earthquake. Newmark et al.<sup>21</sup> used this example as evidence for wave-passage effects. Figure 38 gives a plot of the ratio of the Fourier Spectral Amplitude recorded in the parking lot divided by the motion recorded in the basement of the Hollywood Storage Build-

ing. Newmark et al.<sup>21</sup> was able to predict the difference in the two spectra by using a wave velocity of 0.6 km/sec. The value of wave velocity used by Newmark et al.<sup>21</sup> is very low when compared to the experimentally obtained wave velocities previously discussed.

We can use Eq. (14) to obtain the probable effect on various basement areas. Figure 39 illustrates the

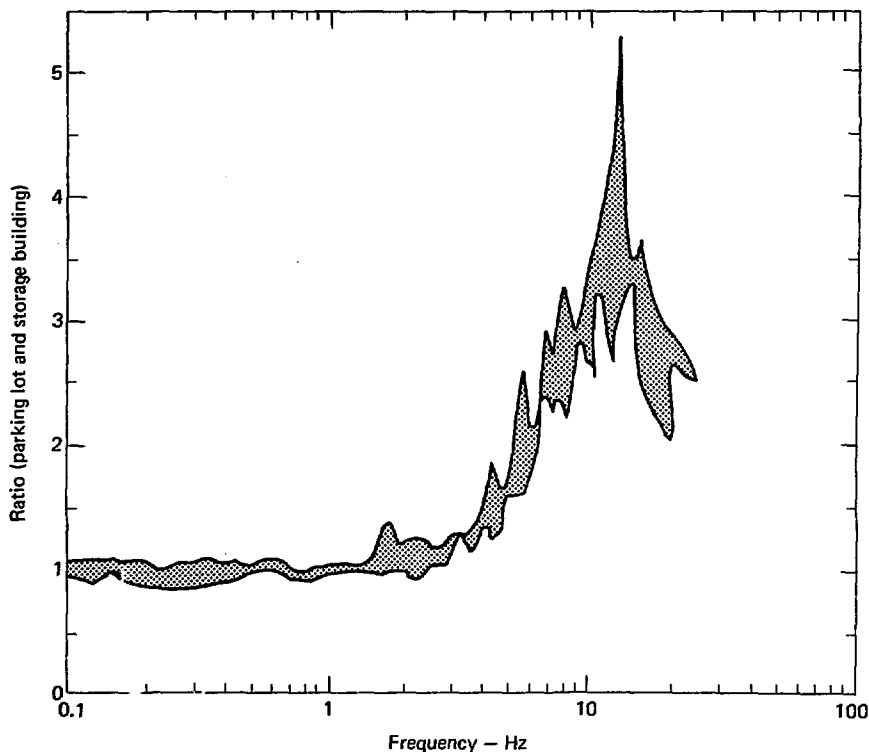


Fig. 38. Ratio of 2%-damped relative-velocity spectral amplitude for the parking lot and Hollywood Storage Building. Only the envelope of the two components is shown.

averaging as a function of frequency expected for a wave velocity of  $C \approx 0.6$  km/sec and 3 km/sec in structures with an effective length of 100 and 300 ft. The ratio of the Fourier coefficients for the effective time histories of two different structures is shown and we see that the choice of  $C$  is very important. If, as indicated previously, the appropriate wave velocity is that of the deeper layers ( $>3$  km/sec), then wave passage is not very important. However, if the value of  $C$  used by Newmark is appropriate, then wave passage effects are important and should be discernable.

Figure 40 gives the locations of the buildings we studied. Figure 41 shows a comparison of the Fourier amplitude spectra recorded at ground level in the 6430 Sunset Building to that recorded in the parking lot at the Hollywood Storage Building and we see that the two spectra are almost identical. The building at 6430 Sunset has 14 stories and seems to show no evidence of averaging. However, a comparison of 6430 Sunset to an 11-story building at 6464 Sunset shows a considerable difference in the basemat response of both buildings (Fig. 42). This suggests that the differences in the recording from the

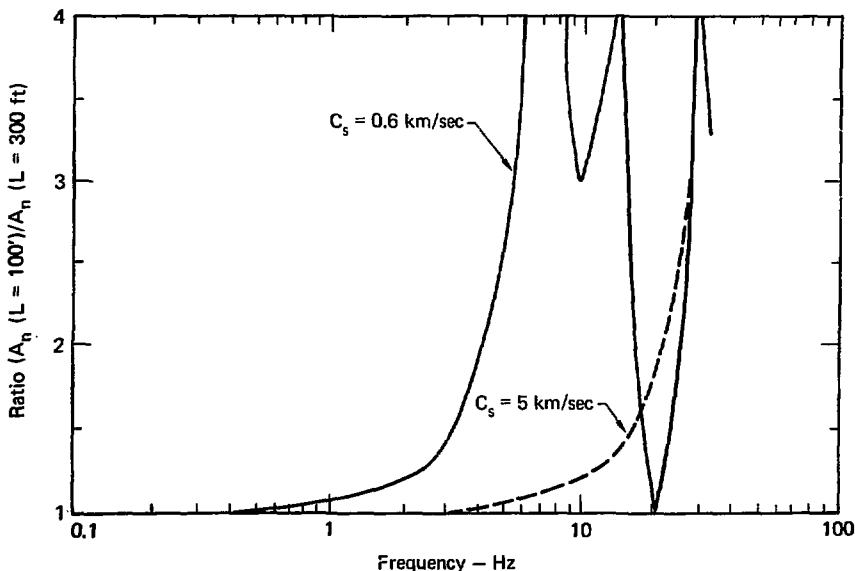


Fig. 39. Predicted effect on the ratio of the Fourier Amplitude Spectra for a building 100 ft long divided by a 300-ft long building.



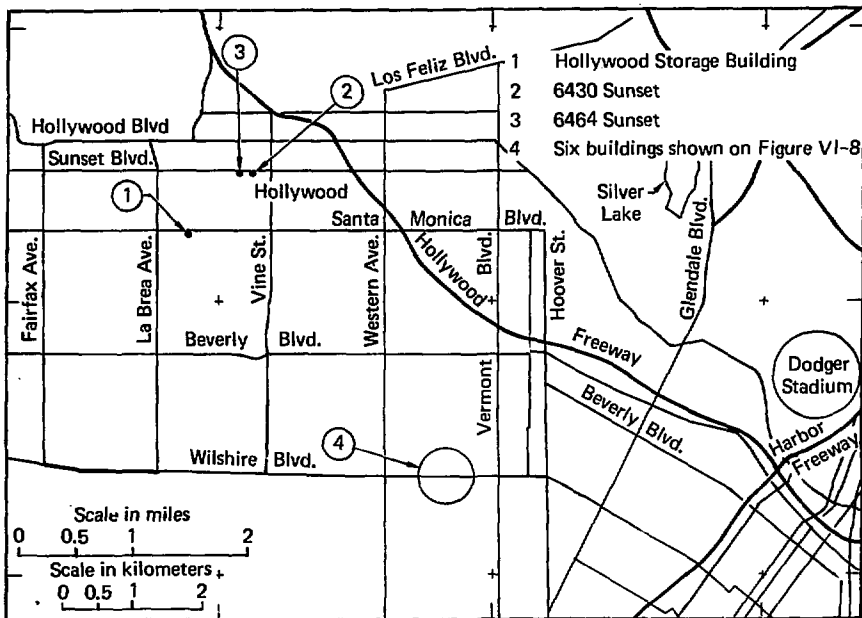


Fig. 40. Relative locations of all buildings studied.

parking lot and the basemat of the Hollywood Storage Building may be caused by factors other than wave passage.

Figure 43 shows the location of a group of buildings in the Hollywood area, their basemat areas and the ratio of the basemat area to 3411 Wilshire. Figure 44 compares the 2%-damped relative velocity spectral amplitude of each building to that of the largest basemat at 3411 Wilshire. There are only slight differences in the two components recorded at each site. Only the

envelope of the data of the components is shown because we are primarily interested in the overall trend of the data rather than the fine structure of the spectral ratio. The 2%-damped spectra was chosen to smooth out some of the violet fluctuations observed in the Fourier spectra and simplify interpretation.

In the high-frequency end (greater than 3 Hz), we would expect that the ratio would be much larger than unity if Newmark's choice of 0.6 km/sec for the wave velocity is correct (Fig. 39). But this is not the case, because the

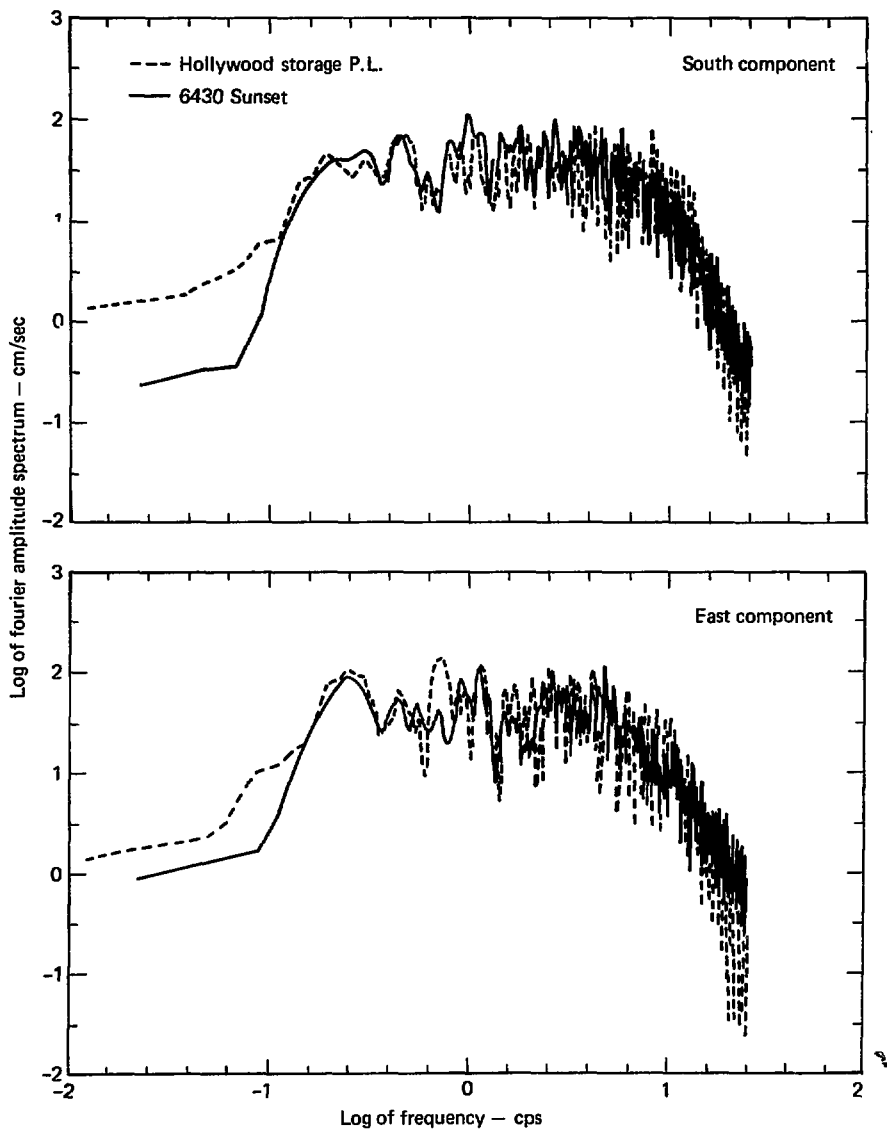


Fig. 41. Comparison of ground-level Fourier amplitude spectra recorded at 6430 Sunset Blvd, and parking lot of Hollywood Storage Building (south and east components).

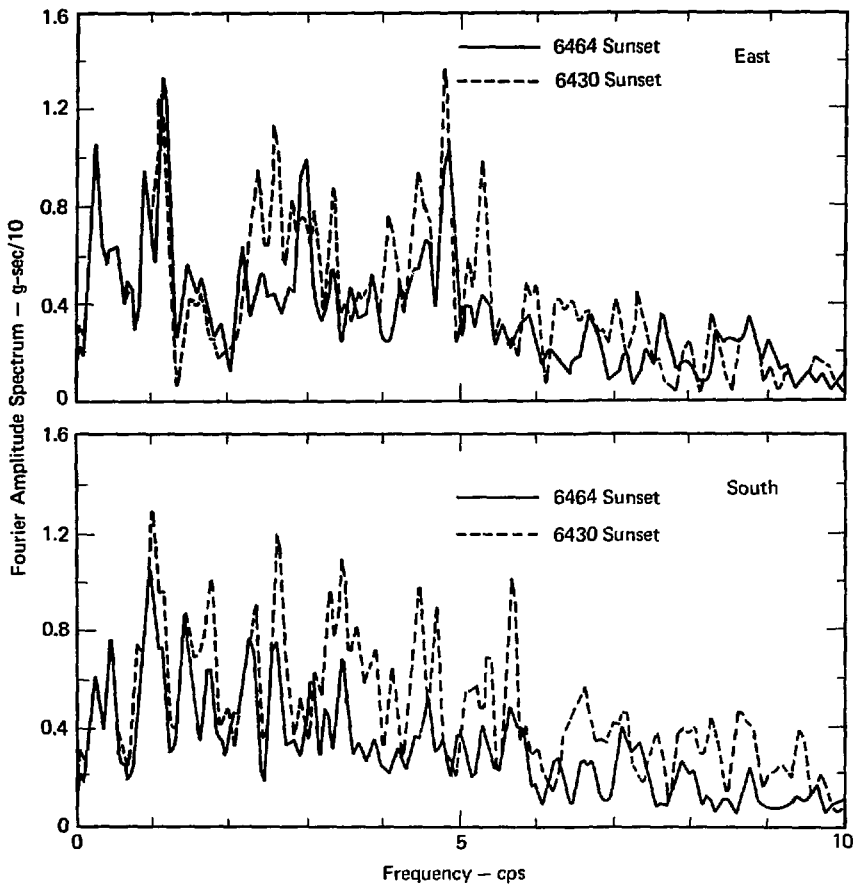


Fig. 42. Comparison of ground-level Fourier Amplitude Spectra recorded in buildings at 6430 and 6464 Sunset Blvd.

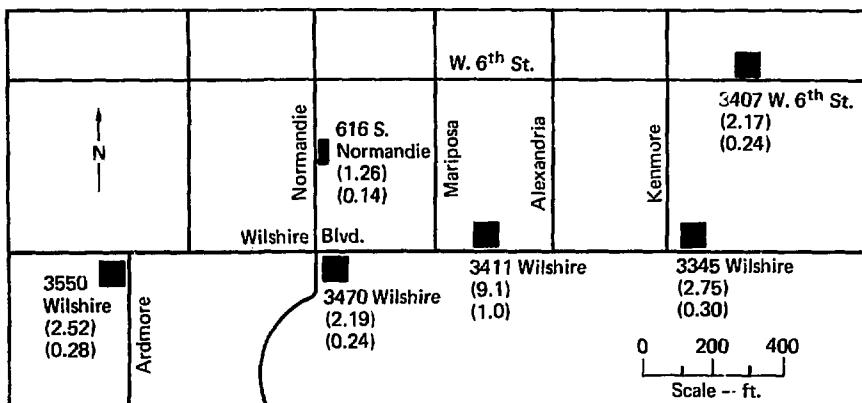


Fig. 43. Relative locations and basemat areas of accelerograph stations. Top number in parenthesis represents basemat area X1000. Bottom number in parenthesis represents ratio of basemat area to 3411 Wilshire.

only significant change in the ratio is between 1 and 3 Hz. However, the response shown on Fig. 44 is consistent with the choice of a higher wave velocity for frequencies greater than 3 Hz. The building at 3411 Wilshire seems to have filtered the ground motion between 1 and 3 Hz.

We conclude that the apparent wave velocity is extremely important and added measurements of the average wave velocity are needed. The available data seems to suggest that this velocity is much larger than the

wave velocity of the near-surface layers. In addition, when theoretical predictions are compared with actual recordings, some cases appear to support the theory while others do not. However, any interpretation is difficult because the wave velocity is unknown and has such an important effect on the phenomenon. It appears that the response of structures to the passing seismic wave is very complex and cannot be lumped together in a simple averaging scheme.

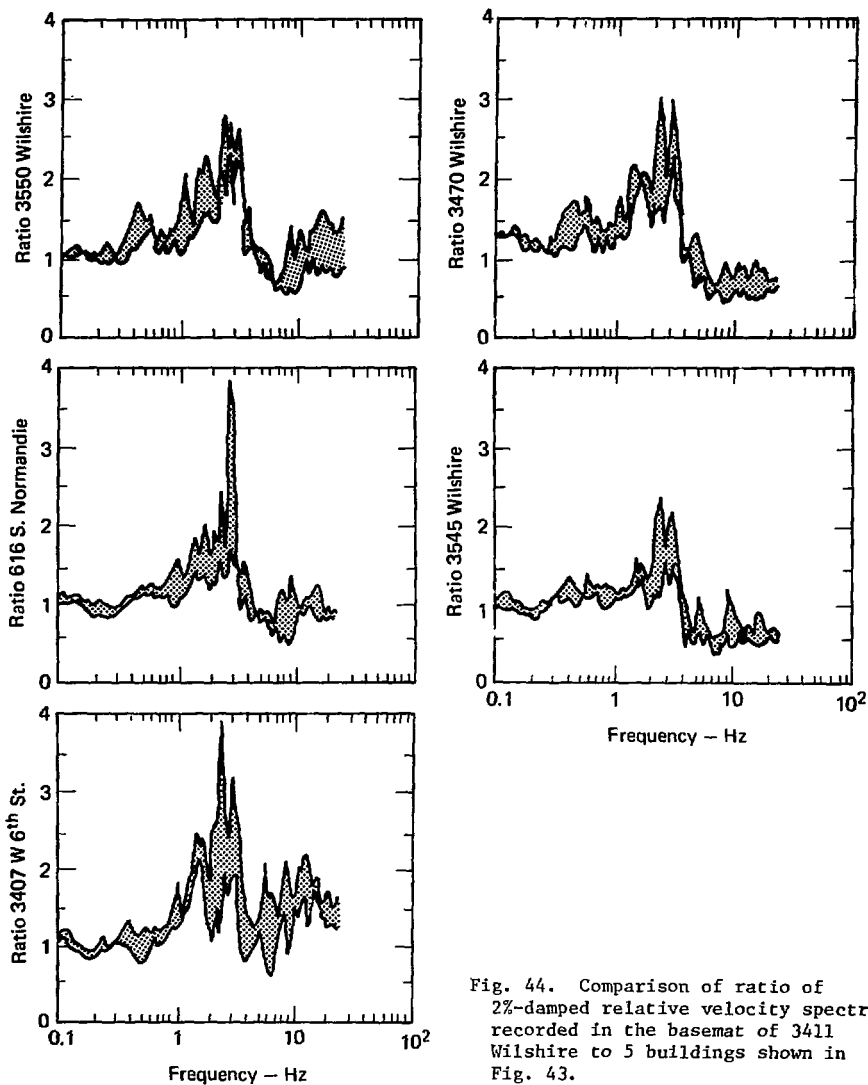


Fig. 44. Comparison of ratio of 2%-damped relative velocity spectra recorded in the basemat of 3411 Wilshire to 5 buildings shown in Fig. 43.

## Conclusion

Our study has provided added confirmation that the ratio of peak velocity to peak acceleration is much smaller at a rock site than at a soil site. We have also shown that the level of ground motion (recorded response spectra) that would occur at Diablo Canyon from a major nearby earthquake would have lower amplification factors than those specified in Regulatory Guide 1.60. The appropriate g value should be in the

average to low average range of values predicted by accepted correlations; the reduction is caused by the affect of the mudstone layer and the SSI at the site. Finally, we have shown that the present Diablo Canyon Nuclear Power Plant should not be seriously affected by an earthquake of increased magnitude that is the result of an increased fault rupture length rather than increased stress drop.

## Acknowledgments

The authors express their appreciation to V. N. Karpenko, Division Leader during this study and to C. E. Walter, Deputy Leader, Nuclear Test Engineering Division, Mechanical Engineering Department, for their encouragement and support of this

project. The attention and encouragement given by J. C. Stepp of the Office of Nuclear Reactor Regulation have been most helpful and appreciated. Project funding from the U.S. Nuclear Regulatory Commission is acknowledged.

## References

1. N. M. Newmark and Associates, "A Study of Vertical and Horizontal Earthquake Spectra," USAEC, Wash-1255 (1973).
2. R. McGuire, "Seismic Structural Response Risk Analysis, Incorporating Peak Response Regressions on Earthquake Magnitude and Distance," Ph.D thesis, Massachusetts Institute of Technology, Cambridge, Mass. (1974).
3. M. D. Trifunac, "Preliminary Analysis of the Peaks of Strong Earthquake Ground Motion-Dependence of Peaks on Earthquake Magnitude, Epicentral Distance and Recording Site Conditions," BSSA 66 (1976).
4. P. B. Schnabel, J. Lysmer, and H. B. Seed, *SHAKE, A Computer Program for Earthquake Response and of Horizontally Layered Sites*, University of California, Berkeley, Calif., Rept. EERC 72-12 (1972).
5. H. L. Wong, and P. C. Jennings, "Effects of Canyon Topography on Strong Ground Motion," BSSA 65, 1239-1257 (1975).
6. M. D. Trifunac and F. E. Udawadia, "Comparison of Earthquake and Micrometer Ground Motions in El Centro, California," BSSA 63 (1973).
7. H. Kanamori, and D. L. Anderson, "Theoretical Basis of Some Empirical Relations in Seismology," BSSA 65, 1073-1095 (1975).
8. K. Aki, "Earthquake Mechanism," *Tectonophysics*, 13, 423-446 (1972).
9. J. N. Brune, "Seismic Sources, Fault Plane Studies and Tectonics," *Trans. Am. Geophys. U* 52 (5) 178-187 (1971).
10. M. D. Trifunac, "Analysis of Strong Earthquakes Ground Motion for Prediction of Response Spectra," *Int. J. of Earthquake Engineering and Structural Dynamics*, 2, 59-69 (1973).
11. D. E. Hudson, "Some Problems in the Application of Spectrum Techniques to Strong-Motion Earthquake Analysis," BSSA 52, 417-430 (1962).
12. M. D. Trifunac, "Preliminary Empirical Model for Scaling Fourier Amplitude Spectra of Strong Ground Accelerations in Terms of Earthquake Magnitude, Source-to-Station Distance and Recording Site Conditions," BSSA 63, 1323-1342 (1976).
13. M. D. Trifunac, "Stress Estimates for San Fernando, California Earthquake of 9, Feb. 1971: Main Event and Thirteen After Shocks," BSSA 62, 721-750 (1972).
14. M. D. Trifunac, "Tectonic Stress and Source Mechanism of the Imperial Valley Earthquake of 1940," BSSA 62, 1283-1302 (1972).

15. J. N. Brune, "Tectonic Stress and the Spectra of Seismic Shear Waves," *J. Geophys. Res.* 75, 4997-5009 (1970).
16. W. Thatcher, and T. C. Hanks, "Source Parameters of Southern California Earthquakes," *J. Geophys. Res.* 78, 8547-8576 (1973).
17. T. C. Hanks, and D. A. Johnson, "Geophysical Assessment of Peak Accelerations," *BSSA* 66, 959-968 (1976).
18. R. H. Scanlan, "Seismic Wave Effects on Soil-Structure Interaction," *Inter. J. of Earthquake Engineering and Structural Dynamics* 4, 379-388 (1976).
19. C. Tamura, T. Noguchi, and K. Kato, "Earthquake Observation Along Measuring Lines on the Surface of Alluvial Soft Ground," in *Proc. 6th World Conference on Earthquake Engineering*, vol. 2 (1977).
20. H. Tsuchida, E. Kurata, and S. Hayashi, "Observation of Earthquake Response of Ground with Horizontal and Vertical Seismometer Arrays," in *Proc. 6th World Conference on Earthquake Engineering*, vol. 2 (1977).
21. N. M. Newmark, W. J. Hall, and J. R. Morgan, "Comparison of Building Response and Free Field Motion in Earthquakes," in *Proc. 6th World Conference on Earthquake Engineering*, vol. 3 (1977).

DLB/ml/vt,mg/jf