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## LWR Nuclear Power Plant Component Failures

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### Abstract

An analysis of the most significant light water reactor (LWR) nuclear power plant component failures, from information in the computerized Nuclear Safety Information Center (NSIC) data bank, shows that for both pressurized water reactor (PWR) and boiling water reactor (BWR) plants the component category most responsible for reactor shutdowns is valves. Next in importance for PWR shutdowns is steam generators followed by seals of all kinds. For BWR plants, seals, and pipes and pipe fittings are the second and third most important component failure categories which lead to reactor shutdown. The data is for records extending from early 1972 through September 1978. A list of the most significant component categories and a breakdown of the number of component citations for both PWR and BWR reactor types is presented.

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## LWR NUCLEAR POWER PLANT COMPONENT FAILURES

### Introduction

Data relative to the safety aspects of LWR nuclear power plant operation and performance were obtained from the computerized data bank (1,2) at the Nuclear Safety Information Center (NSIC), located at the Oak Ridge National Laboratory, through the Department of Energy on line data link called RECON. By means of "key words" these data were interrogated to obtain information on the number of reports or citations for various categories of equipment in nuclear power plants. Equipment performance is inversely related to the number of citations since the NSIC data is obtained from nuclear power plant Licensee Event Reports (LERs) which are written primarily in response to equipment problems.

The reactor system components which have been considered here are nearly the same as those considered by Scott and Gallaher in "Nuclear Safety"<sup>3</sup> for the operating year 1976. Their data is concerned with the total number of citations or reports relative to particular components (as is part of our data) but does not delineate the number of actual equipment failures and related reactor shutdowns.

The nomenclature and definitions used in nuclear reactor power plant operational and failure records and analyses are not standardized. The words "report", "citation", and "accession", as associated with NSIC files, are synonyms.<sup>4</sup> The word "occurrence" (avoided here) is nearly always associated with a licensee event report (LER). However, starting with the year 1978, the word "occurrence", as used in "Nuclear Safety",<sup>5</sup> pertains only to reportable events which involve reactor shutdown, a condition not previously required. This illustrates how the definition of a word used in the report records can be modified with time.

The keyword, "failure", as used in the NSIC files, is associated with a LER pertaining to an abnormal situation which may or may not involve failure of the component to function. The subject component may be involved only indirectly in a reportable event. The problem of word definition in data systems has been pointed out by Boyle in a recent paper.<sup>6</sup>

This report presents data for the total number of citations, as well as for citations related to equipment failure, which pertain to reactor shutdown. With considerations for remedial action in mind, reactor component categories which have relatively large numbers of total citations and large numbers of citations for reactor shutdowns due to component failure are highlighted. The trend of the number and rate of citations and important failures is indicated by a year-by-year breakdown of total citations and equipment failures.

## Results

Table I shows the number of data bank citations due to reactor shutdowns because of failure in the various reactor component categories. This table also shows the total number of citations recorded for the various component categories. The total number of citations exceeds the total number of equipment failures and reactor shutdowns due to failures, and is an indicator of problems with components in a given category. The calculated fractions of citations for specific component categories and the order of importance are also given for both PWR and BWR plant types.

Table II presents an overall summary of the number of citations from the NSIC data bank as a function of year from 1972 through 1978 for all failures, shutdowns, and shutdowns due to component failure. These data also imply gross rates of failure as a function of time which apparently reach a maximum for PWRs in the year 1977 and approximately two years earlier for BWRs. This gross rate does not take into account the number of operating reactors, power level, age, etc.

The plant component with the largest number of reports or citations in the data bank is valves and likewise they are the component most responsible for reactor shutdowns. The most significant findings can be summarized as follows:

1. The most important reactor component categories from the standpoint of reactor shutdown due to component failure are in the reactor coolant systems.

### PWR plants:

- valves
- steam generators
- seals

### BWR plants:

- valves
- seals
- pipes and pipe fittings

2. From the standpoint of total citations, the most important component categories are also in the LWR coolant systems.

- valves
- pipes and pipe fittings
- pressure vessels (BWR)
- pumps

3. The gross citation numbers and rates imply continued operational difficulties in both PWR and BWR facilities with only a recent decline from peaks or plateau values.

#### DISCUSSION

A comparison of the present total citation data with the 1976 data of Scott and Gallaher shows that for PWRs the three highest component categories are still valves, pipes and pipe fittings, and pumps, respectively. For BWRs, however, the present data shows that the order of importance is not the same as for PWRs, as indicated by Scott and Gallaher, but pumps have moved to fourth place, and third place is now occupied by the pressure vessel citations. The reason for the increase in pressure vessel citations has not been investigated but suggests the importance of closely following citation rates for individual components in order to affect timely remedial action.

This general survey of reactor component citations is brief and far from complete in that many parameters on which component performance depends, such as age, reactor power level, operational history, etc., have not been considered. However, the importance of both shutdown failure citations and total citations as criteria for highlighting the effect of significant component problems on reactor operation is indicated. Also, the importance of categorizing by reactor types in order to properly illuminate troublesome components is indicated.

The consistently large number of incidences of component failure in essentially the same categories, from at least 1976 through September 1978, indicates a need for routine component failure analysis in order to encourage timely and effective remedial action.

Table I

## LWR COMPONENT FAILURE DATA

From Nuclear Safety Information Center Files

1972 Through September 1978

| Component             | Total Citations |       |       |       |       |       | Reactor Shutdown Citations |       |       |     |       |       |
|-----------------------|-----------------|-------|-------|-------|-------|-------|----------------------------|-------|-------|-----|-------|-------|
|                       | PWR             | Fract | Order | BWR   | Fract | Order | PWR                        | Fract | Order | BWR | Fract | Order |
| Valves                | 2567            | .175  | 1     | 2361  | .234  | 1     | 49                         | .192  | 1     | 89  | .423  | 1     |
| Pipes & Pipe Fittings | 1805            | .123  | 2     | 1434  | .142  | 2     | 18                         | .071  |       | 17  | .081  | 3     |
| Pumps                 | 1681            | .115  | 3     | 779   | .077  | 4     | 27                         | .106  | 4     | 11  | .052  | 6     |
| Steam Generator       | 1176            | .080  | 4     | 48    | .005  | 20    | 33                         | .129  | 2     | 0   | .0    | 18    |
| Storage Container     | 957             | .065  | 7     | 363   | .036  | 10    | 3                          | .012  | 13    | 0   | .0    | 19    |
| Breaker               | 340             | .023  | 14    | 203   | .020  | 14    | 4                          | .016  | 11    | 4   | .019  | 3     |
| Cables & Connectors   | 546             | .037  | 8     | 426   | .042  | 9     | 10                         | .039  | 9     | 15  | .071  | 4     |
| Seal                  | 519             | .035  | 9     | 468   | .046  | 7     | 31                         | .122  | 3     | 27  | .129  | 2     |
| Support Structure     | 1034            | .070  | 5     | 690   | .068  | 5     | 2                          | .008  | 16    | 2   | .010  | 13    |
| Tubing                | 334             | .023  | 15    | 88    | .009  | 18    | 25                         | .098  | 5     | 5   | .024  | 8     |
| Valve Operators       | 246             | .017  | 16    | 254   | .026  | 12    | 3                          | .012  | 14    | 9   | .043  | 7     |
| Shock Absorbers       | 191             | .013  | 18    | 195   | .019  | 15    | 1                          | .004  | 18    | 0   | .0    | 20    |
| Accumulators          | 170             | .012  | 19    | 42    | .004  | 21    | 2                          | .008  | 17    | 0   | .0    | 21    |
| Control Rods          | 455             | .031  | 10    | 343   | .034  | 11    | 13                         | .051  | 8     | 4   | .019  | 10    |
| Heat Exchangers       | 226             | .015  | 17    | 163   | .016  | 16    | 1                          | .004  | 19    | 2   | .010  | 14    |
| Bearing               | 103             | .007  | 20    | 87    | .008  | 19    | 4                          | .016  | 12    | 1   | .005  | 17    |
| Control Rod & Drives  | 413             | .028  | 12    | 460   | .046  | 8     | 19                         | .075  | 6     | 3   | .014  | 12    |
| Turbine               | 543             | .030  | 11    | 508   | .050  | 6     | 6                          | .024  | 10    | 13  | .062  | 5     |
| Solenoid              | 102             | .007  | 21    | 119   | .012  | 17    | 1                          | .004  | 20    | 4   | .019  | 11    |
| Fastener              | 355             | .024  | 13    | 254   | .025  | 13    | 3                          | .012  | 15    | 2   | .010  | 15    |
| Pressure Vessels      | 999             | .068  | 6     | 792   | .079  | 3     | 0                          | .0    | 21    | 2   | .010  | 16    |
| Total                 | 14662           | .998  |       | 10083 | .998  |       | 255                        | 1.003 |       | 210 | 1.001 |       |

Table 11

REACTOR OPERATION CITATION NUMBERS BY YEAR  
From Nuclear Safety Information Center Data Files

| Category           | Year |      |      |      |      |      |      | Total | All Time<br>Total<br>Thru 1978 |
|--------------------|------|------|------|------|------|------|------|-------|--------------------------------|
|                    | 72   | 73   | 74   | 75   | 76   | 77   | 78*  |       |                                |
| All Reactor Types  |      |      |      |      |      |      |      |       |                                |
| Failures           | 802  | 1405 | 2143 | 2621 | 2832 | 3142 | 1726 | 14671 | 16657                          |
| Shutdowns          | 36   | 42   | 38   | 150  | 130  | 184  | 80   | 660   | 722                            |
| Shutdowns, failure | 10   | 16   | 18   | 109  | 94   | 139  | 61   | 447   | 464                            |
| PWR's              |      |      |      |      |      |      |      |       |                                |
| Failures           | 250  | 535  | 842  | 1135 | 1288 | 1783 | 1040 | 6873  | 7365                           |
| Shutdowns          | 11   | 14   | 17   | 56   | 55   | 100  | 45   | 298   | 319                            |
| Shutdowns, failure | 3    | 4    | 7    | 40   | 41   | 83   | 37   | 215   | 221                            |
| BWR's              |      |      |      |      |      |      |      |       |                                |
| Failures           | 411  | 685  | 926  | 1296 | 1271 | 1217 | 627  | 6403  | 7007                           |
| Shutdowns          | 18   | 18   | 14   | 68   | 53   | 53   | 24   | 248   | 262                            |
| Shutdowns, failure | 6    | 11   | 9    | 59   | 58   | 54   | 20   | 197   | 200                            |

\*Through September 1978

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