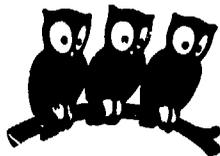


# Laboratoire de l'Accélérateur Linéaire

## CONTRIBUTIONS TO THE 2nd EPAC CONFERENCE

*EPAC*  
*European Particle Accelerator Conference*  
*Nice, 12-16 June 1990*

**U.E.R**  
de  
l'Université Paris-Sud



**Institut National  
de Physique Nucléaire  
et  
de Physique des Particules**

Bâtiment 200 - 91405 ORSAY Cedex

**CONTRIBUTIONS TO THE 2nd EPAC CONFERENCE**

**Operational limits of high accelerator gradients**  
*G. Bienvenu, P. Brunet*

**Electron gun for the FEL CLIO**  
*R. Chaput*

**A high accuracy programmable timing system for the Linac  
of the CLIO free electron laser**  
*E. Plouviez, C. Eder*

**LAL (Orsay) RF gun project**  
*C. Travier, J. Gao*

*Presented at the European Particle Accelerator Conference  
Nice, 12-16 June 1990*

# OPERATIONAL LIMITS OF HIGH ACCELERATOR GRADIENTS

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**Abstract.** We report in this paper the experimental results of two S band warm accelerating structures under high gradient. Routinely a field higher than  $40 \text{ MVm}^{-1}$  has been obtained with long RF pulses and  $80 \text{ MVm}^{-1}$  for short pulses. We give also the shape and the spectral analysis of dark electric charges, the level of self-emitted charges, per unit length are plotted as function of surface field.

## INTRODUCTION

High accelerating field are needed for future accelerators. For an accelerating electric field typically of  $100 \text{ MeVm}^{-1}$  many problems occur, such as breakdown and dark current. For this reasons the Laboratoire de l'Accélérateur Linéaire carry on a R & D programme on high accelerating structures. 4 years ago LAL has built a high gradient test facility *NEPAL* composed of a high RF power source, a relativistic electron source and an analysis zone. The experimental structure is laid between them.

This paper report a part of the experimental results, under a very practical point of view, carried on two S band disk loaded warm structures.

## STRUCTURES UNDER TEST

Two types of accelerating structures are presently under test :

- A short section derived from the end landing of *LIL*<sup>1</sup> structure, specially designed for high axial electric field.
- A new type of S band structure<sup>2</sup> developed by *GE/CGR - MeV* company with the collaboration of *LAL*

The main characteristics of these structures are summarized in the table I.

STRUCTURES PARAMETERS		
Structure	SEEA	CGR
Frequency, $f$ (MHz)	2998.5	2998.5
Operating mode	$2\pi/3$ Dip	$4\pi/5$ Dip
Structure type	TW, C <sup>o</sup> imp.	TW, C <sup>o</sup> imp.
Cell shape	iris	non conc
Coupling	electric	magnetic
Tuning	wall deformation	stab
Length, $L$ (m)	0.504	1.270
Factor of merite, $Q$	14600	11100
Shunt impedance, $r$ (M $\Omega$ /m)	64.24	75.0
Filling time, $\tau$ ( $\mu$ s)	0.297	0.203
Relative fundamental harmonic, $T$	0.90	0.85
Attenuation, $X$ (Np)	0.198	0.172
Group velocity, $v_g$ (m/s)	$6.4 \cdot 10^{-3} c$	$21.2 \cdot 10^{-3} c$
Peak axial field, $\bar{E}_{ax}$ (MV/m)	$6.8\sqrt{P}$	$5.04\sqrt{P}$
Average axial field, $\bar{E}_{ax}$ (MV/m)	$6.2\sqrt{P}$	$4.66\sqrt{P}$
Average accelerator field, $\bar{E}_{acc}$ (MV/m)	$5.57\sqrt{P}$	$3.95\sqrt{P}$
Ratio max surface field/axial field	1.9	2.6

Table I

## BREAKDOWN IN STRUCTURES.

Two levels of breakdown has been fixed arbitrary

- An operational level, corresponding to a number of breakdown

$$\leq 3 \cdot 10^{-4} \text{ s}^{-1}$$

(roughly one breakdown per hour)

- A limit level corresponding to

$$\leq 3 \cdot 10^{-2} \text{ s}^{-1}$$

(roughly one breakdown per 30 s)

Behavior with the length of rectangular RF pulse

We assume that time dependence of the peak surface field ( averaged over the structure length ), is :

$$\bar{E}_s = \frac{K}{\tau^\alpha}$$

The experimental values of  $K$  and  $\alpha$  are given in table II , for  $\bar{E}_s$  in  $MVm^{-1}$  and  $\tau$  in  $\mu s$  were  $\tau$  is the pulse length.

We can noticed that the value of  $\alpha$  is the same for the two sections .

Structure	LIL	CGR
$\alpha$	0.2	0.2
$K_{lim}$	100	88
$K_{op}$	95	84

Table II

Maximal electric field with compressed RF pulses

For *SLED*<sup>3</sup> RF pulses, operational and limit values of electric surface field are given in the table III.

The timing of the compressed RF pulse is adjusted for eliminating the multipactor phenomenon yielded by the low power inverse queue. The values given by the table are probably partly limited by the poor vacuum level in the RF window region ( $5 \cdot 10^{-7} mbar$ ).

Experimentally obtained gradients				
With M.P.C.				
	Limit		Operational	
	$3 \cdot 10^{-2} / \mu s$		$3 \cdot 10^{-4} / \mu s$	
Structure	LIL	CGR	LIL	CGR
$\dot{P}$ (MW)	108	168	139	125
$\bar{E}_s$ (MV/m)*	80	65	73	42
$\dot{E}_s$ (MV/m)	88	68	80	58
$\ddot{E}_s$ (MV/m)	153	109	139	120
$\ddot{\ddot{E}}_s$ (MV/m)	167	177	152	152

\* calculated with input power

Table III

DARK CURRENT

Dark current with rectangular RF pulse

The dark current shape as function of time is given by the photography 1. On the figure 2, the spectral analysis of this current is plotted. The first peak corresponding to the lower energy ( $\leq 3 MeV$ ) is connected with the forehead of RF wave, its length is equal to the filling time of the section. The second pic and the last one are due to an overfield bound with reflected waves, and the flat region is the steady state regime.

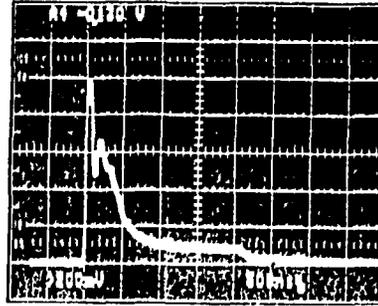


Fig 1

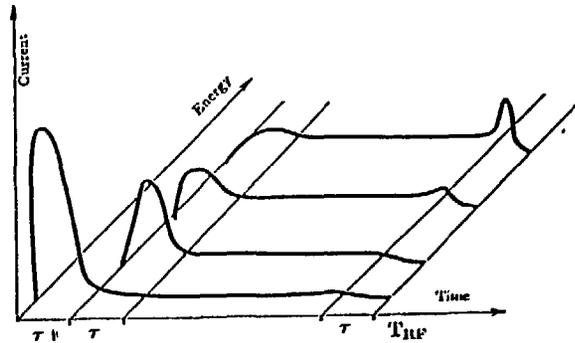


Fig 2

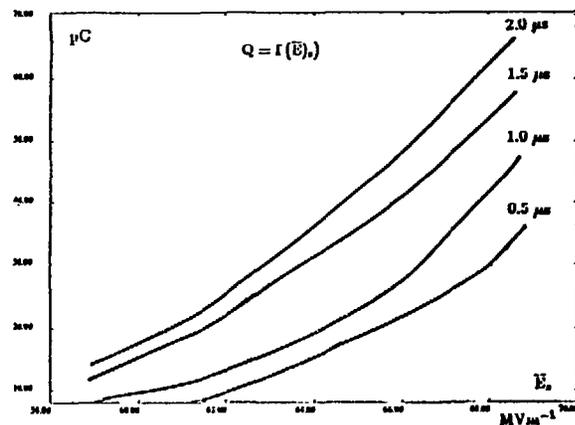


Fig 3

Electric charges

Dark electric charges are reported for different rectangular RF pulses length on the figure 3 for the section *LIL*.

The electric charge is roughly proportional to :

$$Q_{dc} = E_p^{0.8}$$

Dark current with compressed RF pulse

The shape of dark current in compressed mode is given, for the section: *CGR* on the figure 4. The photo has been recorded from a well adapted coaxial faraday cup. The dark electric charge per length unit is plotted, on the figure 5, as function of average surface field. We see that the nose cone *CGR* structure yields more dark current than the usual disk structures.

Spectrum of dark current

The spectrum of the dark current extends from zero to the maximum of energy gain, with an maximal amplitude on the middle range of energy. The spectrum of figure 6 corresponds to the *LIL* structure, and an identical shape will be reported with the *CGR* section.

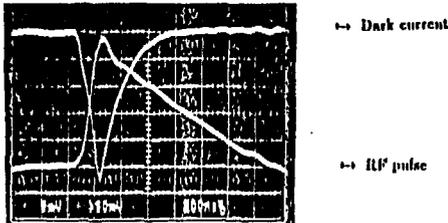


Fig 4

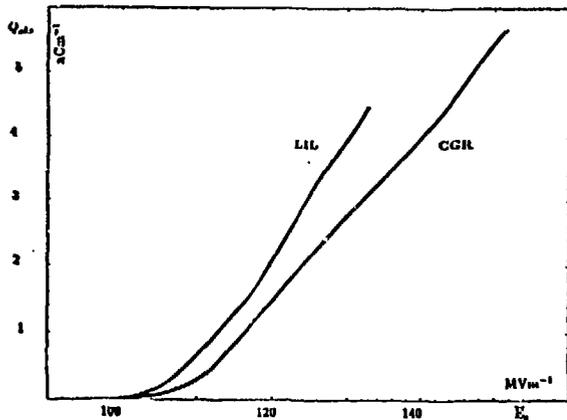


Fig 5

FOWLER NORDHEIN PLOTS

Figures 7-a and 7-b report F.N plots of the two sections. These curves are plotted with the dark electric charge and the average of the peak surface field. We notice around 230  $MV m^{-1}$  a quite sharp change in F.N curves slopes in connection with a decreasing of field electronic emission.

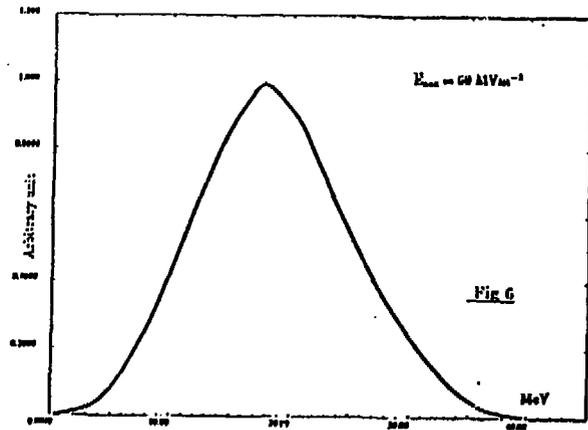


Fig 6

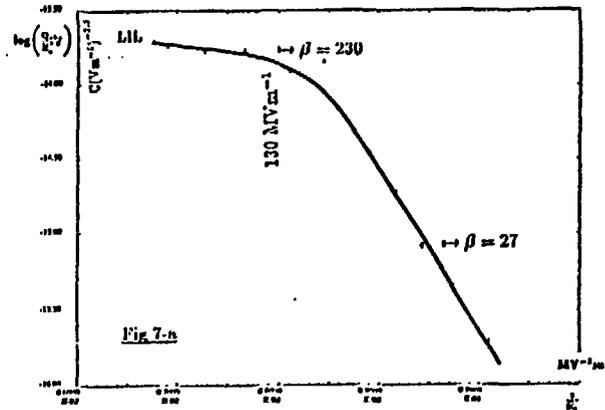


Fig 7-a

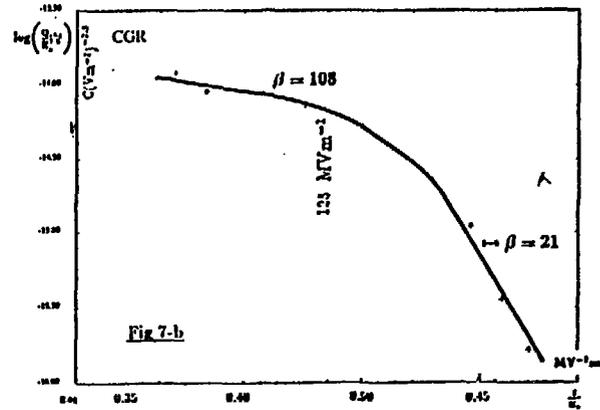


Fig 7-b

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# ELECTRON GUN FOR THE FEL CLIO

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## Summary

A triode electron gun has been developed and manufactured at LURE (Laboratoire pour l'Utilisation du Rayonnement Electromagnétique) and LAL (Laboratoire de l'Accélérateur Linéaire) for the free electron laser CLIO<sup>1</sup> (Collaboration pour un laser à électrons libres dans l'infrarouge à Orsay) now under construction: this gun involves a grid-cathode assembly manufactured by EIMAC, currently used in the SLAC gun family.

For the FEL requirements, the gun must be able to yield a train of short pulses at accuracy frequency or a continuous pulse.

Driving together the cathode and the grid the gun produces a continuous beam of 12  $\mu$ s or a pulsed beam of very short pulse of 1 ns at 250 MHz, 125 MHz, 62.5 MHz or 31.25 MHz. The performances of the gun has been tested on a testing bench. A peak current of 1 Amp. for 1 ns width at any frequencies was achieved at an injection voltage of 90 kV.

## Introduction.

The free electron laser CLIO is designed to be broadly tunable in the infrared range from 2 to 20  $\mu$ m with an average power of 10 to 100 W.

It is driven by a S band Linac of 50 to 80 MeV that yields some micropulses of 1 nC (100 Amp., 10 ps) at the frequency required for the laser (even multiple of 31.25 MHz up to 500 MHz). By the subharmonic bunching process the gun must produce only 1 Amp., 1 ns pulses every 4, 8, 16 or 32 ns during all the 12  $\mu$ s macropulse in synchronism with the R.F. frequency at 500 MHz of the subharmonic cavity.

A peak gun current of 1 Amp. to 1.5 Amp. was aimed with a width shorter than a half period of the RF frequency i.e FWHM

< 1ns in order to avoid too large parasitics bunches.

## Electron gun

This gun is called "SLL gun" from SLAC for the original design<sup>2</sup>, LAL and LURE for the development and manufacture. This gun is a classical Pierce gridded gun with a thermoelectronic dispenser cathode. Mechanically the gun frame is designed to fit several cathodes manufactured by EIMAC. The Y 646 B, Y 845 or Y 796. This later model will be used in a further version of our FEL. The gun structure is shown in Fig. 1. At this time we use the Y 646 B model, the cathode has a 8 mm diameter and the grid-cathode spacing is 0.15 mm with a screening fraction of about 15% to 20%.

Keeping the filament voltage and current at their nominales values 6.3 V and 1.4 Amp., the peak cathode current can reach up to 2.5 Amp. for a grid-cathode net drive of 70 V.

Like we need only 1 Amp. to 1.5 Amp. this cathode is sufficient. The gun electrode geometry was modeled using the "ETP Hermannsfeldt code" of SLAC<sup>3</sup> in order to get the smallest emittance for 90 kV and 1.5 Amp. The code predict an emittance  $\epsilon^N = 2 \pi$  mm mrad very close to the cathode emittance alone. However for smallest currents the emittance grows.

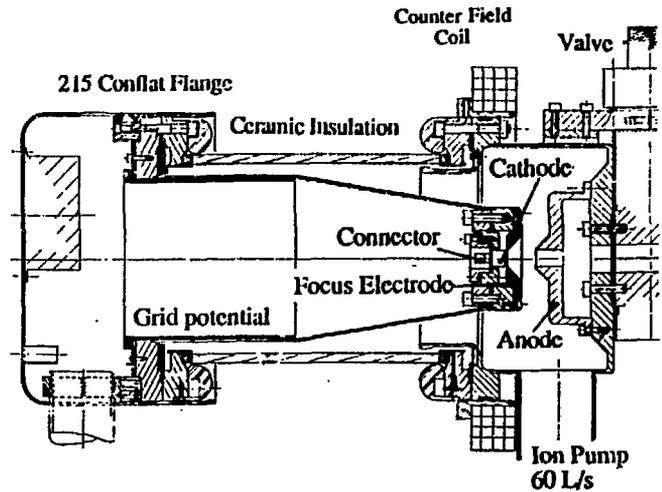


Fig. 1 Structure of the gun assembly

We have roughly evaluated the grid lens effect and finally estimated a normalized emittance  $\epsilon^N \approx 15 \pi$  mm mrad in the range of 0.5 Amp. to 1.5 Amp. at 90 kV.

The distance between the cathode and anode is 24 mm and the anode hole diameter is 8 mm. At the cross over the beam diameter is 2.6 mm. Fig. 2 shows the calculated beam trajectories.

The ceramic isolator is designed to withstand up to 200 kVDC. Each side is brazed on a 215 conflat flange surrounded by anticorona rings. This ceramic isolator was especially manufactured by SCT CERAVER France.

The vacuum chamber is large enough to receive another set of more large electrodes used with the 4796 cathode.

## COMPUTED BEAM TRAJECTORIES FOR $1/V^{3/2} = .055 \mu$ PV

Cathode Y 646 B  $\phi = 0.5$  cm<sup>2</sup>

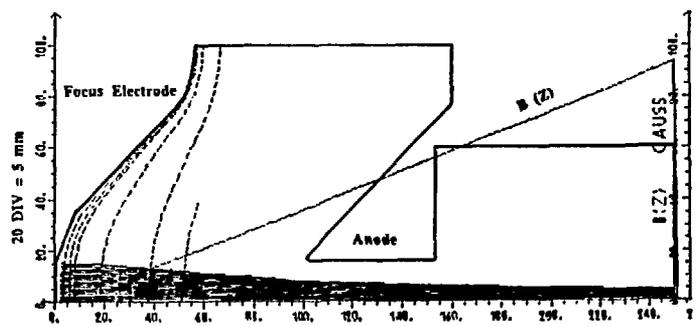


Fig. 2 Computed beam trajectories from the cathode to the cross over

The cathode anode space is pumped down through a good conductance pipe of diameter 65 mm by a 60 l/s ion pump. The pressure while the gun is running is between  $10^{-8}$  and  $10^{-9}$  Torr. This pressure is sufficiently low for a dispenser cathode. Directly on the gun chamber a gauge monitor the pressure.

All the pieces under vacuum that must withstand a high electric field have been polished and baked before assembly. And a last baking of the whole gun was performed at  $180^{\circ}\text{C}$  to reduce the water vapor more than 36 hours. The process of cathode activation was started when the pressure fell under  $10^{-6}$  Torr. The high voltage conditioning was performed up to 100 kVDC for a nominal rating value of 90 kV, with a serial resistor of several megohms in order to avoid too severe sparks between electrodes.

A counter-field coil located in the cathode plane cancel the residual magnetic field from the air coil on the Linac. Inside the gun the magnetic field increases from zero at the cathode with a gradient of 1 k Gauss/meter.

### Pulsed system.

The triode gun is driven by the cathode and the grid. In the "CW mode" the grid alone drives the triode with a large positive pulse of  $12\ \mu\text{s}$  100 V. The pulse width is controlled from the ground level by optical link.

In the pulsed mode, a 500 MHz 2 W signal during  $16\ \mu\text{s}$  is carried to the high voltage deck through an isolated 11F transformer made of two coupled loops of H.V. isolated cable, with an insertion loss of -3dB. A frequency divider (very fast ECL technology from PLESSEY) controled from the ground level through optical links, elaborates the pulsed signal of 0.9 ns width every 4, 8, 16 or 32 ns which will be amplified in negatives pulses up to -110 V/1.2 ns by a wideband solid state amplifier 10 - 500 MHz, and applied on the cathode. This power amplifier has been especially developed for yield negative pulses as short as possible, by NUCLETUDES S.A. a french manufacturer.

In the same time the grid pulse of adjustable duration is used for doing a window that eliminate the first microseconds while the power amplifier has small amplitude oscillations.

With a minimum grid bias of -20 V, beam micropulses of 1.5 Amp./1.4 ns were achieved.

Along the  $12\ \mu\text{s}$ , the residual macropulse amplitude droop is compensated by an adjustable counter voltage slope applied on the grid up to  $\pm 10\ \text{mA}/\mu\text{s}$ .

The average cathode and grid currents are monitored by two currents transformer on their connexions, and measured from the ground level by the way of analogical opto-link.

In the "CW mode" the total charge aimed in the macropulse is  $6\ \mu\text{C}$  (0.5 Amp.  $12\ \mu\text{s}$ ) a 15 nF buffer capacitor in the H.V. supply yields this charge and keep constant the high voltage at  $4 \times 10^{-3}$ . Fig. 3 shows a block diagram of the modulator.

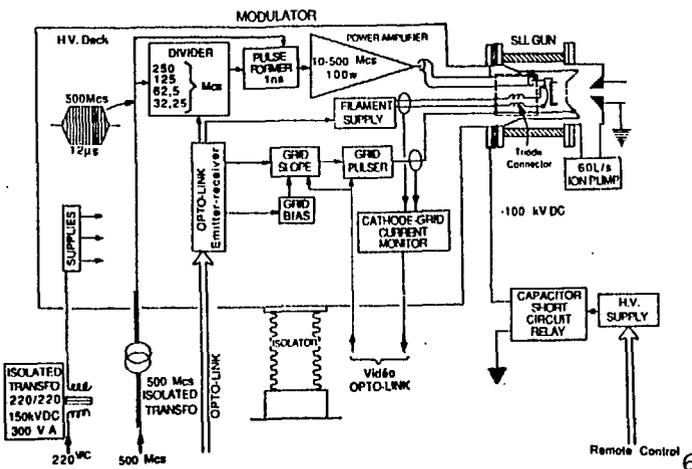


Fig. 3 Block diagram of the high voltage deck modulator

### Control

The gun modulator is remote controlled by a 68008 MOTOROLA microcomputer in G 64 standard crates. From the control room, a program automatically starts the gun and put the parameters at their rating values. These program are wrote in C language.

### Characteristics of the electron gun.

The cathode and grid currents are measured on long pulse  $12\ \mu\text{s}$  from the H.V. deck modulator by an analogical opto-link.

On the testing bench the beam current has been measured by a coaxial target and a cylindrical pick up electrode. The target has a characteristic transfert impedance of  $10\ \Omega$  and allow to measured both short or long pulses, but the current absolute value may be disturbed by a secondary emission. For a more accurate measure we use the cylindrical pick up electrode which no intercepte the beam, its sensitivity is 0.5 V/Amp. for a beam of 90 keV.

A 7104 Tektronix oscilloscope 1 GHz bandwidth was used because the low repetition rate of 1 to 50 Hz.

The figure 4 shows the cathode emission characteristics as a fonction of filament voltage in the range of 1.5 Amp. of cathode current with a grid net drive of 30 V. At 6.3 V filament voltage, the gun works in space charge region and at this cathode temperature the dark current emitted by the grid is lower than  $0.1\ \mu\text{Amp.}$ , but it rapidly increases for filament voltage upper to 7 V.

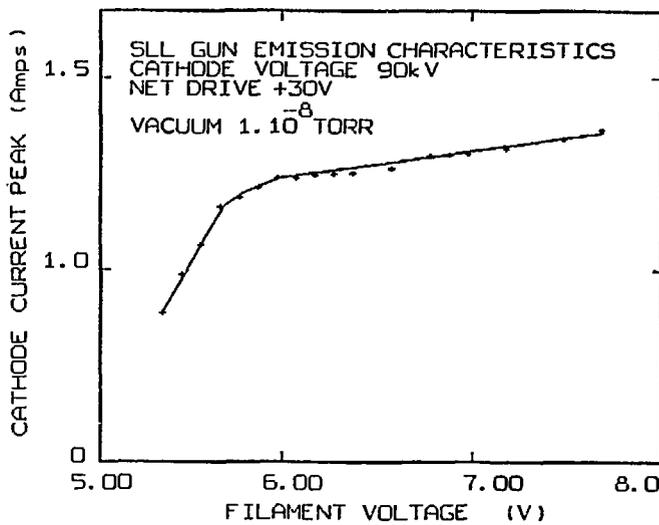


Fig. 4 Emission characteristics of the dispenser cathode Y 646 B

In the space charge rate, the cathode current follows the general equation of triode  $I_K = G(V_g + V_p/\mu)^{3/2}$  with an amplification factor  $\mu \approx 10^4$  and a perveance  $G = 5.2 \times 10^{-3} \text{ A/V}^{3/2}$ . The cut off amplification factor is  $\mu \text{ C.O.} \approx 6600$ .

The grid interception or screening fraction depends of the grid and anode/cathode voltages for 90 kV anode potential, it increases about lineary with the cathode current from 12% at 0.5 Amp. up to 21% at 2.6 Amp.

The Fig. 5 shows the transfer characteristics in short pulses at filament voltage 6.3 V the maximum anode current available is limited by a perveance of  $0.15\ \mu\text{Amp./V}^{3/2}$ .

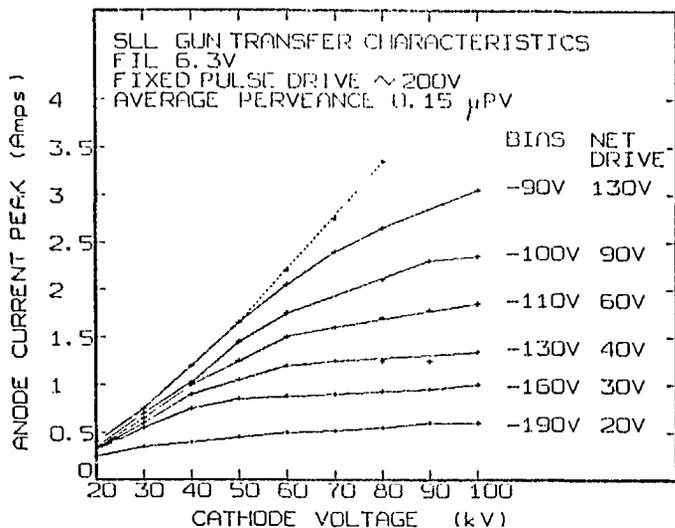


Fig. 5 Gun transfer characteristics in short pulse of about 1 ns

Fig. 6 shows the wave form of a short pulse beam during the 12  $\mu s$  macropulse. It has been measured by the pick up electrode and the 7104 oscilloscope with a time resolution measured of 370 ps. For 1 Amp. peak the rise time is 470 ps and 1.1 ns (FWHM).

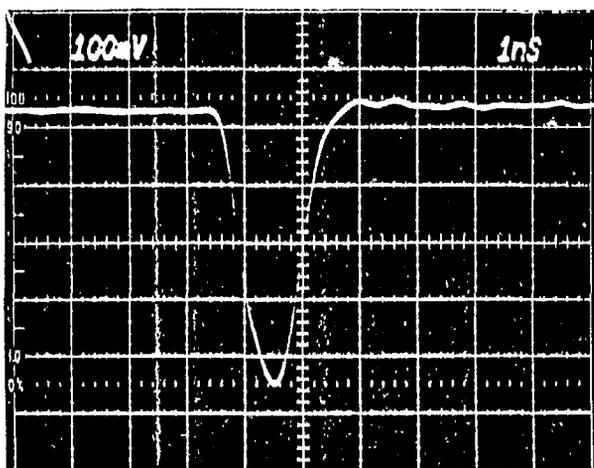


Fig. 6 Pick up electrode signal, waveform of a short pulse of 1 Amp. peak 470 ps rise time and 1.1 ns (FWHM)

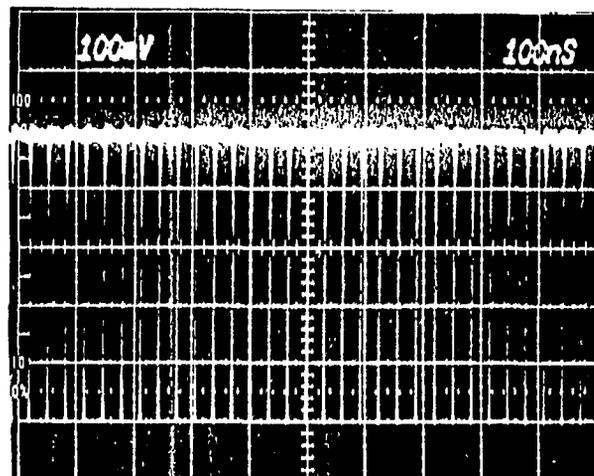


Fig. 7 A part of the micropulses train at 31.25 MHz, 1 Amp. peak, 12  $\mu s$  duration. Flat top stability < 0.5%

Fig. 7 shows a part of the micropulses train at 31.25 MHz. The flat top ondulation is lower than 0.5% on the 12  $\mu s$  total duration.

The phase shift between the gun micropulses and the original 500 MHz from the RF subharmonic cavity is lower than 1°.

#### Acknowledgements

I am grateful to all the people of the CLIO team for their wide contribution in this project.

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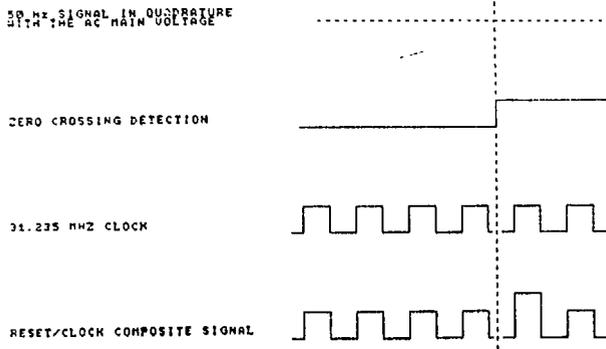


Figure 2 : timing diagram of the clock/reset composite signal generation

This signal is amplified and multiplexed in order to be available at eight outputs with an amplitude of 1 volt on 50 Ω (2 volts for the reset pulse). In this way the preset and the clock signals for the G 64 modules are available on the same cable. Up to five G 64 modules can be daisy chained along a cable delivering the signal on a distance much longer than the CLIO dimensions.

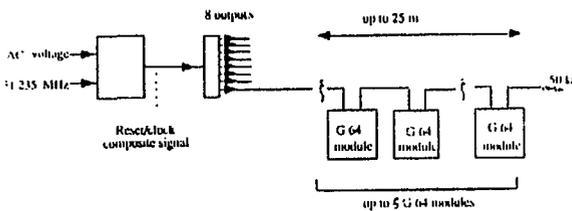


Figure 3: Interconnection of the 32 ns steps timing system components

High accuracy timing circuit.

For some application we need a time base with a more precise setting and a lower jitter. We will use a streak camera, a fast digitizer and a sampling oscilloscope for measurements of the bunched beams micropulses parameters (length, parasitic satellites bunches, etc). A convenient and flexible approach to trigger these instruments would be to use a time base synchronized on the RF signal and programmable with a good resetability. The most stringent application is the triggering of the streak camera which demands a jitter inferior to 10 ps (our streak camera trigger input signal must be a 4 volts 1 ns rise time, 30 ns long signal).

Principle of the circuit.

This high resolution programmable delay signal is generated with a D flip flop circuit using the 499.76 MHz signal as a clock. To set precisely the delay of the output pulse we change the phase of the clock signal using electronic phase shifters and we select the right period of the clock using the D input for the long range delay setting according to the figure 4. The varicap phase shifter does not introduce any additional jitter (providing the control voltage is not noisy) and is easy to control with a DAC. Using the classical circuit given below we get a 0 to 200° range of phase shift. An additional phase inverter made of another 3 dB hybrid terminated by an ASGA switch increases the phase shift range of 180° (figure 5 photograph) giving a 2 ns range for the fine setting of the delay.

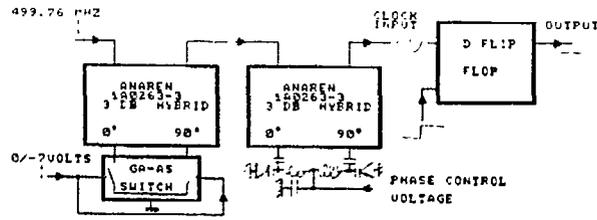


Figure 4 : D flip flop and phase shifters arrangement

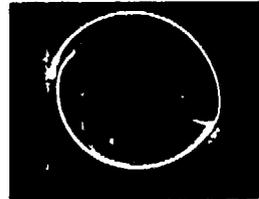


Figure 5 : Phase shifters range measurement (amplitude/phase polar display)

Choice and evaluation of the D flip flop.

In order to have a reliable operation at 499.76 MHz and a low rise time of the output we have decided to use a circuit able to operate with a clock frequency of 1.5 GHz. Our two main concerns were the stability of the propagation delay between the clock input and the output and the insensitivity of this delay to the position (and jitter) of the D input signal leading edge. The circuit that we have tested is an HDMP 2001 developed for the synchronisation of high rate digital communication data links.

Clock input to output delay measurement.

The delay jitter was measured using a jitter free 31.235 MHz signal, subharmonic of the 499.76 MHz clock as the D input signal. The 31.235 MHz signal generated at the output was used as an external trigger for a sampling oscilloscope and the 2998.55 MHz 6<sup>th</sup> harmonic of the clock signal (for better sensitivity) visualised on the oscilloscope as shown on figure 6.

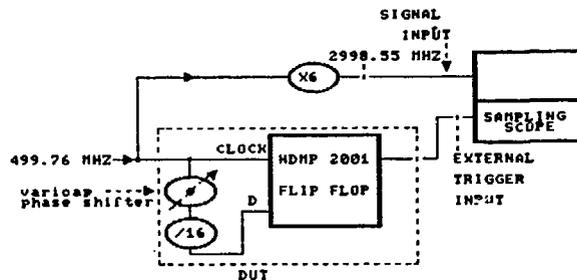


Figure 6 : Delay and jitter measurement

With the right D input phase shifter setting no measurable jitter was observed. The drift of the output signal for temperature variation, measured on the same set up was found to be less than 10ps for a 50° variation of temperature.

We have also measured the variation of the clock input to output delay with respect to the D input signal position (by varying the setting of the phase shifter). The result of the measurements are given on the curve below.

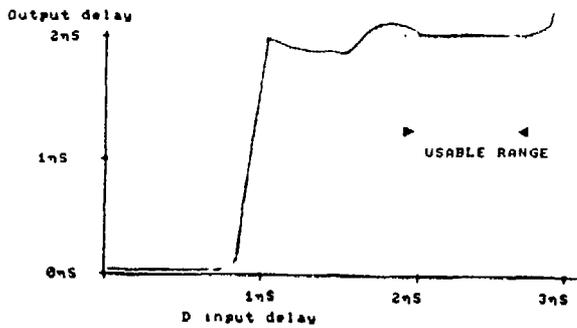


Figure 7 : HDMP 2001 clock rising edge to output delay versus D input to clock rising edge delay

The important point for our application is that there is a 700 ps range where the output pulse is insensitive to small jitters at the D input.

#### Generation of the D input signal.

To fill the gap between this 2 ns range and the 32 ns steps width of the G 64 pulse generator described above we use an AD 9500 integrated circuit made by Analog Device [2]. This circuit allows the programming with 8 bits accuracy of its output pulse with respect to a trigger pulse. The range of the delay programming is given by the choice of the values of a set of external resistor and capacitor for our application this range is of course 32 ns. The jitter of the output pulse for this delay range is about 100 ps. The input and output levels are ECL and the output rise time is 2 ns. For our application the circuit is triggered by one of our G 64 pulse generator and the output signal is used to validate the D input of the D flip flop clocked by the 499.76 MHz.

#### Operation of our circuit.

The complete schematic diagram of our circuit is given below.

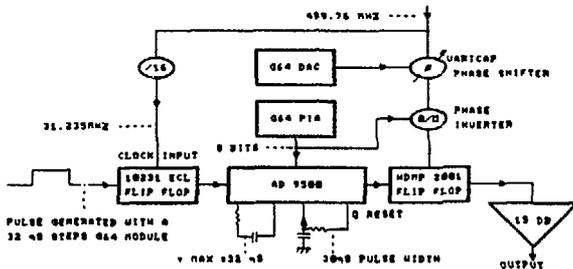


Figure : 8 Schematic diagram of the high accuracy time base circuit

The 31.235 MHz subharmonic of the 499.76 MHz is used to resynchronize the leading edge of the pulse generated by the G 64 module. This frequency is used instead of the 499.76 MHz because of the relatively high 20 ns rise time of the output of the G 64 module. The output signal of the 10131 ECL

flip flop has a 5 ns rise time with a constant position with respect to the 499.76 MHz clock of the HDMP 2001. This signal triggers the AD 9500. The five most significant bits of the AD 9500 programming inputs are set in order to select the proper 2 ns period inside the 32 ns step of the G 64 pulse generator. The fine setting of the delay is then obtained by the programming of the varicap phase shifter and  $0/\pi$  phase inverter. In order to generate an output pulse with the lowest jitter we program the three least significant bits of the AD 9500 programming inputs to have the proper delay between the signals leading edges at the clock and D inputs of the HDMP 2001.

The value of the programming bits of the G 64 module, of the AD 9500 circuit and of the DAC driving the varicap phase shifter for a given delay are calculated by a program written in C and running on the local controller of the G 64 bus.

#### Test results.

The delay of the signal generated by our circuit can be continuously varied in a 2 ns range with respect to a time reference. The accuracy of the setting is function of the circuit and of the reference signal : we have measured the contribution of our circuit to the jitter of the CLIO time base using again the set up of the figure 6. Figures 9a and 9b show the 6<sup>th</sup> harmonic of the 499.76 clock measured on a Tektronix 11800 sampling scope externally triggered on figure 9a by a jitter free signal and on figure 9b by the output of our time base : the additional jitter due to our circuit on figure 9b is less than 10ps

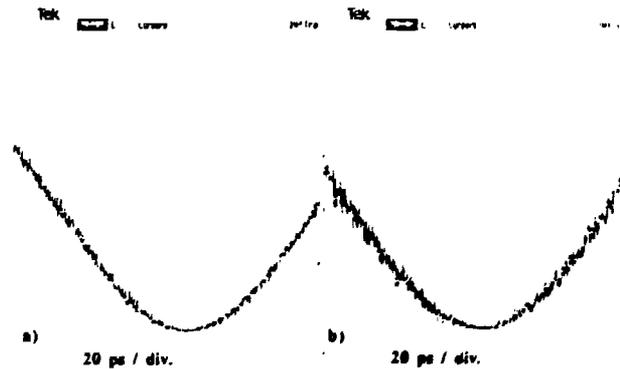


Figure 9 : 6th harmonic of the 499.76 MHz clock visualised with a sampling scope triggered by a jitter free signal (a) and by our high accuracy timing circuit (b).

#### Aknowledgements :

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## LAL (ORSAY) RF GUN PROJECT

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### Abstract

A two-cavity RF gun basic design is presented. The electric field distribution along the beam axis is chosen to minimize emittance growth. Independent phasing of the cavities allows to minimize energy spread. Simulations with the codes PARMELA [1] and PRIAM [2] are presented. According to this design, a low-level model cavity was launched.

### Introduction

As part of the LAL/Orsay R & D program on future  $e^+e^-$  linear colliders [3], an RF gun design was started at the beginning of 1990.

Originally proposed by G.A. Westenkow and J.M.J. Madey [4], the RF gun concept is now widely studied and experienced. Both thermionic [4-6] and laser-driven [7-15] RF guns are now under construction, test or operation around the world.

The cathode being located in a high-gradient RF cavity, the electrons experience a high accelerating field and are thus less sensitive to space charge forces. In case of laser-driven RF guns, very short pulses can be produced by illuminating high-current density photocathodes with picosecond lasers. These properties result in high-brightness electron sources well suited for  $e^+e^-$  linear colliders, FEL injectors and synchrotron radiation storage ring linear injectors.

At Orsay, the goal of this development on RF gun is to gain some experience in this field while providing a possible high-brightness gun for the accelerator test-facility NEPAL [16]. The chosen operating frequency is thus 3 GHz. A dispenser cathode will be used, therefore allowing both thermionic and laser-driven operation [17].

Theoretical investigations showed that two-cavities independently powered and phased would allow to minimize both emittance and energy spread. Longitudinal electric field profile with RF focusing was chosen for the first cavity.

Beam dynamic simulations were conducted using both PARMELA and PRIAM codes. Many parameters can be varied: accelerating field in both cavities, RF phase for laser pulse, phasing of the cavities, pulse length, current, laser spot size, laser profile. Results presented here are partial and do not cover all the possible range of investigations.

### Theoretical investigation

In an RF gun, electron beam is subject to several effects that contribute to energy spread and emittance growth [18]: space charge forces both linear and nonlinear, nonlinear time-independent field effects and linear time-dependent RF field effects which are characteristic of RF guns when compared to DC guns. To minimize emittance growth, there are at least two criteria: one

is to minimize nonlinear field effects [19,9] (by designing a cavity with linear radial fields and by taking a beam diameter small enough), the other is to minimize linear time-dependent RF field effects [20].

Assuming that a cavity has a cylindrical symmetry, the electric field  $E_z(r, z, \varphi, t)$  can be written  $E_z(r, z) \sin(\omega t + \phi_0)$ . Maxwell equations allow then to express the electromagnetic fields off axis ( $E_z(r, z)$ ,  $E_r(r, z)$ ,  $H_\varphi(r, z)$ ) as a function of the longitudinal on axis electric field  $E_z(0, z)$ . The transverse force  $F_{rrf}$  applied to a particle of charge  $q$  and velocity  $v_z$  is expressed by  $F_{rrf} = qE_r - q\mu_0 v_z H_\varphi$ . It can then be written as:

$$F_{rrf} = -\frac{qr}{2} \left( \frac{dE_z(0, z)}{dz} \sin(\omega t + \phi_0) + \epsilon_0 \omega v_z E_z(0, z) \cos(\omega t + \phi_0) \right) + \frac{qr^3}{16} \left( \frac{d^3 E_z(0, z)}{dz^3} + k^2 \frac{dE_z(0, z)}{dz} \right) \sin(\omega t + \phi_0) + \mu_0 \epsilon_0 \omega v_z \left( \frac{d^2 E_z(0, z)}{dz^2} + k^2 E_z(0, z) \right) \cos(\omega t + \phi_0) + h(r^5) + \dots \quad (1)$$

where  $\omega = kc$ . If space charge forces  $F_{rsc}$  are considered, then

$$F_r = F_{rrf} + F_{rsc} \quad (2)$$

The two criteria mentioned above can be mathematically expressed as follows:

$$[F_{rrf}]_{\text{nonlinear part}} = 0 \quad (3)$$

$$\frac{\partial}{\partial \phi_0} \int_0^{t_f} F_r dt = 0 \quad (4)$$

where  $t_f$  is the time when the particle exits the cavity of length  $L$  and  $\phi_0$  represents the phase of the RF when it leaves the cathode at  $t = 0$ . Which criterion to choose depends on which effect is dominant. In our case, because of relatively long bunches, we used the second criteria to design our cavities. We consider only the linear term in equation (2) which is dominant and regard the partial derivatives  $\frac{\partial \beta_z}{\partial \phi_0}$ ,  $\frac{\partial r}{\partial \phi_0}$  and  $\frac{\partial t_f}{\partial \phi_0}$  as negligible. The following boundary conditions are assumed:

$$E_z(0, 0) = E_0, \quad E_z(0, L) = 0, \quad \beta_0 \approx 0, \quad \omega t_f + \phi_0 = \pi \quad (5)$$

When trying to solve equation (4), it is natural to introduce some RF focusing near the cathode to help control the space charge effects. This can be expressed mathematically by writing:

$$\left. \frac{dE_z(0, z)}{dz} \right|_{z=0} = \frac{2m_0 c^2 k \cos \phi_0}{c^2 r E_0 \sin^3 \phi_0} \int_1^{r'} \frac{F_{rrf}}{\beta_z} d\gamma \quad (6)$$

A sufficient condition to satisfy equation (4) is then:

$$\frac{d^2 E_z(0, z)}{dz^2} = -k \cot(\omega t + \phi_0) \left( \frac{d\beta_z}{dz} E_z(0, z) + \beta_z \frac{dE_z(0, z)}{dz} \right) \quad (7)$$

where

$$\frac{d\beta_z}{dz} = \frac{(1 - \beta_z^2)^{3/2}}{\beta_z} \frac{eE_z(0, z)}{m_0 c^2} \sin(\omega t + \phi_0), \quad t = \frac{1}{c} \int_0^z \frac{dz}{\beta_z} \quad (8)$$

Equation (7) together with conditions (5) and (6) can be solved numerically for given  $E_0$ ,  $\phi_0$ , current and cathode radius, provided that a simple linear expression is assumed for  $F_{rsc}$ . For the parameters of interest in our case, the resulting field distribution is shown in figure 1.

In the previous discussion, longitudinal emittance which is due to energy spread caused by time dependent RF forces was not minimized. By using a second cavity, it is possible to reduce energy spread while preserving emittance. For almost relativistic electrons, a cavity of length  $L = \lambda/2$  having a field distribution  $E_z(0, z) = E_1 \sin(kz)$  satisfies both criteria [20]. It is then possible to almost cancel the energy spread by adjusting the maximum field  $E_1$  and/or the phase shift between the two cavities  $\phi_{12}$ . If  $\phi_{12} = \pi - \Delta\phi/2$ , then the field strength necessary to cancel the energy spread  $\Delta W$  is:

$$E_1 = \frac{4k\Delta W}{c\pi\Delta\phi^2} \quad (9)$$

If for other reasons,  $E_1$  is set to a given value, then:

$$\phi_{12} = 2\pi - \phi_{1av} - \arcsin\left(\frac{2\Delta W}{E_1 \lambda \sin(\frac{\Delta\phi}{2})}\right) \quad (10)$$

where  $\phi_{1av}$  is the average phase of the particles at the exit of the first cavity and  $\lambda$  is the RF wavelength.

### Simulations

The two cavities shown on figure 2 were designed with SUPERFISH [21]. Being decoupled, each cell was calculated separately. The field profile as used for PARMELA simulations is shown on figure 1. After a slight modification of the PARMELA particle generation, it was shown that results obtained for 100 particles were reliable when compared to those corresponding to a much higher number. Therefore, all the simulations presented here were done with 100 particles. As the bunch is quite long and the current not too high, the mesh grid method is used for space charge calculations in order to save computer time. The effect of image charges in the cathode plane is not included in these simulations. A few parameters are not varied and their value are compiled in table 1. Electrons are assumed to leave the cathode with no energy and no emittance. The laser pulse is taken uniform in both transverse and longitudinal directions.

For given accelerating field and charge in the bunch the optimization procedure is as follows. The RF phase for laser pulse is varied to find the smallest emittance at the first cell exit. This phase is then frozen and the phase shift between the two cavities is varied to minimize the energy spread at the gun exit.

Figures 3 and 4 show the emittance at the exit of the first cavity, as a function of  $\phi_0$  for different field levels, with and without space charge forces respectively.  $\phi_0$  is the emitting RF phase of the "reference particle" which is taken at the center of the bunch. These pictures show that unlike for short bunches [22], the minimum of emittance is obtained for low  $\phi_0$  due to a strong bunching of the particles as shown on figure 5.

Figure 6 shows the dependence of emittance on peak accelerating gradient while figure 7 shows that the minimum emittance is quasi-linear with the bunch charge.

For a maximum electric field of 70 MV/m in both cavities, figure 8 shows the variation of both emittance and energy spread as a function of the phase shift between the two cavities.

A complete set of parameters for a typical run is given in table 2. Figure 1 shows the bunch evolution in this case as obtained from PRIAM. Both programs give consistent results.

In all these simulations, the emittance is taken as the normalized r.m.s. emittance defined as  $\epsilon_N = 4[\langle x^2 \rangle \langle (p_x/mc)^2 \rangle - \langle x(p_x/mc) \rangle^2]^{1/2}$  where  $x$  is the coordinate of a particle in the beam,  $p_x$  is the particle's momentum component in the  $x$  direction and  $\langle \rangle$  indicates averaging over the entire beam.

### Project Status

Started in February 1990, the simulations with PARMELA and PRIAM will be continued. Different parameters will be scanned (shorter bunch length, magnetic field,...). Simulations in the case of a thermionic cathode are also in progress.

In order to check RF properties of the cavities and determine the two coupling holes, their influence on field symmetry and to check that cavities are effectively decoupled, a low-level model cavity was launched. Measurements will be done during the summer 1990.

### Acknowledgement

We would like to thank Y. Thiery who performed all the simulation runs and B. Mouton who diligently modified PARMELA according to our wishes. Many thanks to Dr. J. Le Duff for his constant support.

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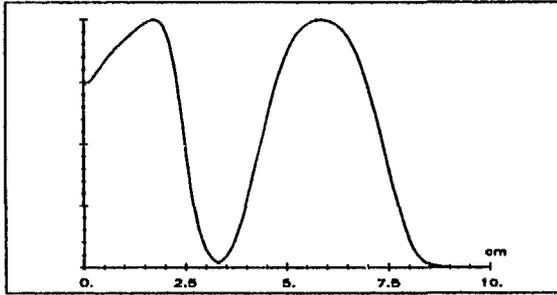


Fig. 1: Longitudinal on-axis electric field

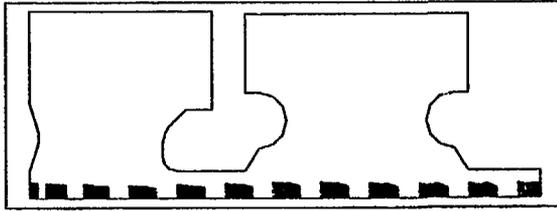


Fig. 2: Cavity contour

Table 1  
Fixed parameters during simulations

Laser pulse length (ps)	30.
Laser spot radius (mm)	3.
RF Frequency (GHz)	3.
First cell length (cm)	3.325
Second cell length (cm)	5.835
Cell aperture radius (mm)	5.
Emittance at cathode (mm.mrd)	0.
Magnetic field (T)	0.

Table 2  
Parameters for a typical run

Number of particles	100	
Charge in a bunch (nC)	2.	
RF phase for laser pulse (deg.)	22.	
Phase shift between cavities (deg.)	150.	
	1 <sup>st</sup> cell	2 <sup>nd</sup> cell
Max. electric field (MV/m)	70.	70.
Kinetic energy (MeV)	1.326	2.867
Bunch length (ps)	17.	17.
Peak current (A)	118.	118.
Bunch radius ( $r_{max}$ ) (mm)	3.1	3.9
Energy spread (KeV)	186.	33.
Energy spread (%)	14	1.1
Emittance (mm.mrd)	27.3	34.2
Emittance (RF) (mm.mrd)	18.7	15.5
Angular divergence (mrd)	44.7	24.2

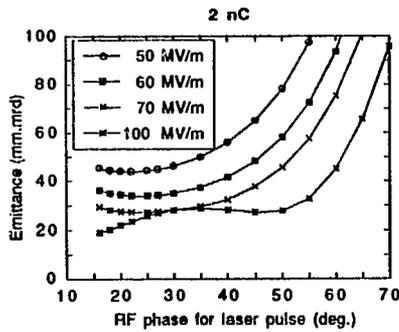


Fig. 3: Emittance after the first cell vs.  $\phi_0$  for a 2 nC bunch

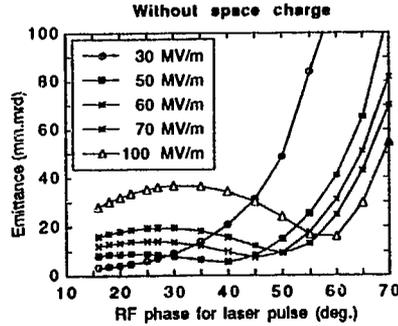


Fig. 4: Emittance after the first cell vs.  $\phi_0$  when space charge effect is not included

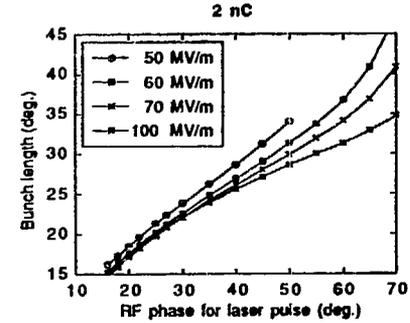


Fig. 5: Bunch length after the first cell vs.  $\phi_0$

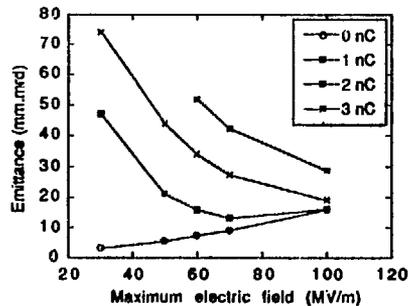


Fig. 6: Emittance after the first cell vs. the maximum electric field

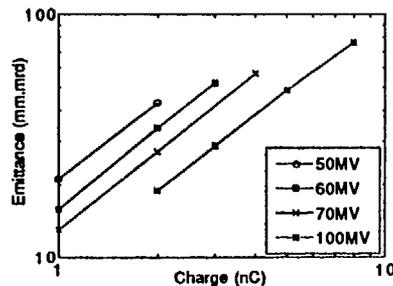


Fig. 7: Emittance after the first cell vs. bunch charge

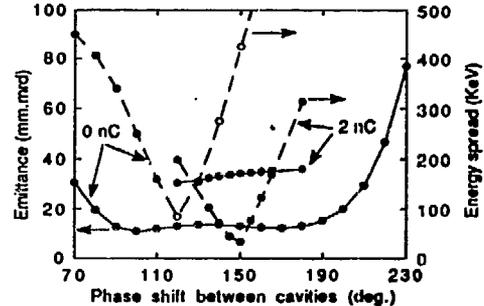


Fig. 8: Emittance and energy spread after the second cell vs. the phase shift between the two cavities