

REFERENCE

IC/92/385

INTERNATIONAL CENTRE FOR  
THEORETICAL PHYSICS

CONVERGENCE RATES  
AND FINITE-DIMENSIONAL APPROXIMATIONS  
FOR NONLINEAR ILL-POSED PROBLEMS  
INVOLVING MONOTONE OPERATORS  
IN BANACH SPACES

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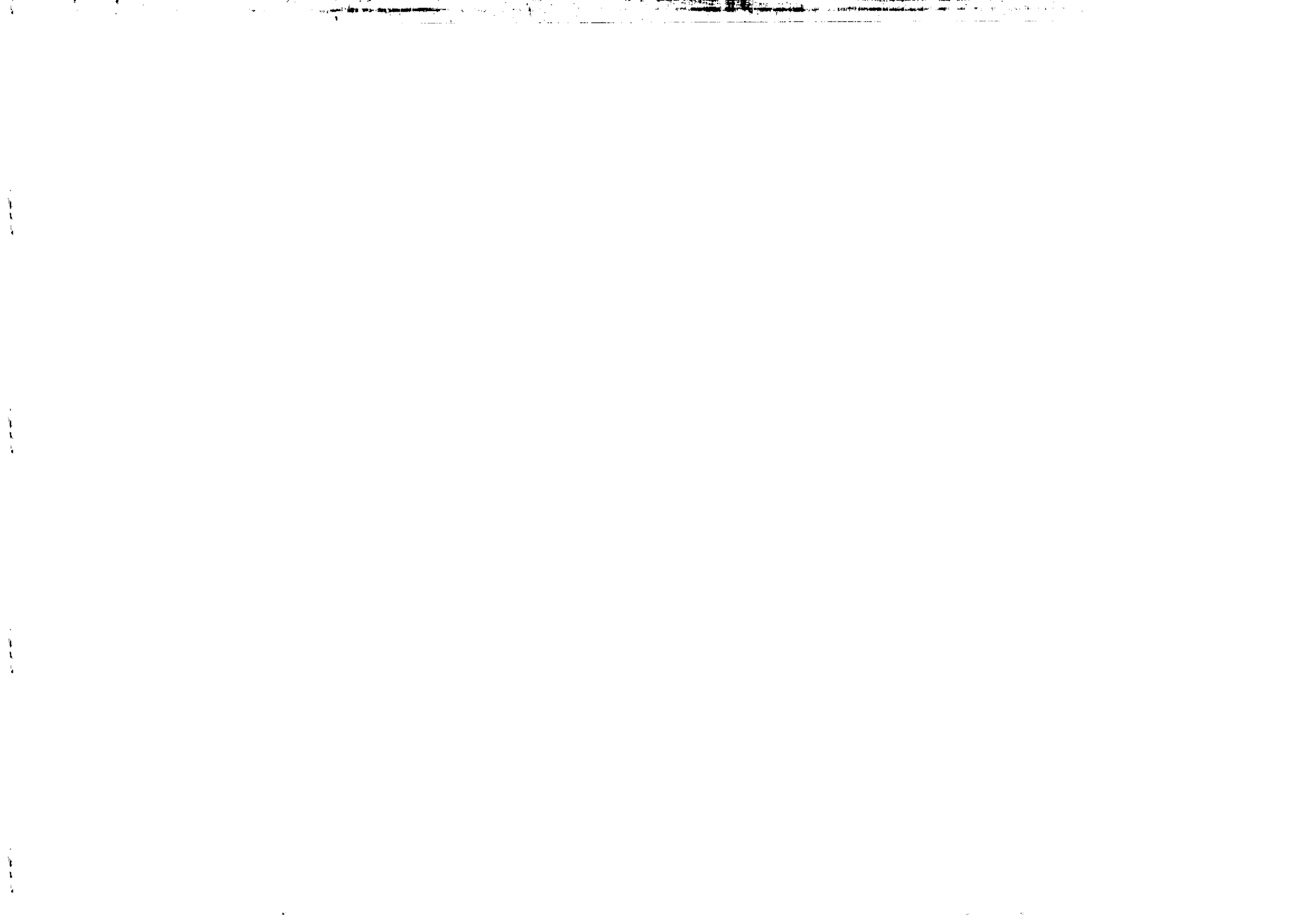


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INTERNATIONAL CENTRE FOR THEORETICAL PHYSICS

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ABSTRACT

The purpose of this paper is to investigate convergence rates for an operator version of Tikhonov regularization constructed by dual mapping for nonlinear ill-posed problems involving monotone operators in real reflexive Banach spaces. The obtained results are considered in combination with finite-dimensional approximations for the space. An example is considered for illustration.

MIRAMARE - TRIESTE

November 1992

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1. Introduction

Let  $X$  be a real reflexive Banach space having the property:  $X$  and  $X^*$  are strictly convex and weak convergence and convergence of norms of any sequence follow its strong convergence;  $X^*$  - its dual. For the sake of simplicity norms of  $X$  and  $X^*$  we denote by one symbol  $\|\cdot\|$ . We write  $(x^*, x)$  instead of  $x^*(x)$  for  $x^* \in X^*$  and  $x \in X$ . Let  $A$  be a monotone continuous and bounded operator with domain  $D(A) = X$  and range  $R(A) \subseteq X^*$  and let  $f_0$  be a fixed element of  $R(A)$ .

Without additional conditions on the structure of  $A$ , as strongly or uniformly monotone property, the problem

$$A(x) = f_0 \quad (1.1)$$

is an ill-posed one. By this we mean that solutions of (1.1) do not depend continuously on the data  $(A, f_0)$ . To solve it we have to use stable methods. An widely used and effective method is Tikhonov regularization that consists of minimizing a some functional depending on a parameter. For the class of problems involving monotone operators there exists another version of Tikhonov regularization that consists of solving the equation

$$A_h(x) + \alpha U^*(x) = f_\delta, \quad (1.2)$$

where  $(A_h, f_\delta)$  are approximations for  $(A, f_0)$  such that  $A_h$  are monotone

$$\|A_h(x) - A(x)\| \leq h\|x\|, \quad \forall x \in X,$$

$$\|f_\delta - f_0\| \leq \delta,$$

with well-known levels  $(\delta, h) \rightarrow 0$ . The parameter  $\alpha$  is called parameter of regularization. Here  $U^*$  is a dual mapping of  $X$  satisfying the condition

$$\langle U^*(x), x \rangle = \|x\|^s, \|U^*(x)\| = \|x\|^{s-1}, s \geq 2.$$

If  $s = 2$ , the algorithm (1.2) was considered in [2, 14]. In the case of Hilbert space  $U^*(x) = I$ ,  $I$  denotes an identical operator, it was studied in [3]. If  $s$  is arbitrary number such algorithm was investigated in [15] under the conditions

$$\langle U^*(x) - U^*(y), x - y \rangle \geq m\|x - y\|^r, m > 0, \quad (1.3)$$

$$\|U^*(x) - U^*(y)\| \leq C(R)\|x - y\|^\vartheta, 0 < \vartheta \leq 1, \quad (1.4)$$

where  $c(R)$ ,  $R > 0$ , is a positive increasing function on  $R = \max\{\|x\|, \|y\|\}$ .

It is indicated in [2, 15] that if  $A_h$  are monotone and hemi-continuous Equation (1.2) has a unique solution, henceforth denoted  $x_\alpha^{h\delta}$ , and if  $h/\alpha$ ,  $\delta/\alpha \rightarrow 0$  as  $\alpha \rightarrow 0$  then the sequence  $\{x_\alpha^{h\delta}\}$  converges to a solution  $x_0$  of (1.1)

$$\|x_0\| = \min_{x \in S_0} \|x\|,$$

where  $S_0$  denotes the set of all solutions of (1.1) ( $S_0 \neq \emptyset$ ). Moreover, the solution  $x_\alpha^{h\delta}$  may be approximated by a solution of the finite-dimensional problem

$$A_h^n(x) + \alpha U^{*n}(x) = f_h^n, \quad (1.5)$$

where  $A_h^n = P_n^* A_h P_n$ ,  $U^{*n}(x) = P_n^* U^* P_n(x)$ , and  $f_h^n = P_n^* f_h$ ,  $P_n$  denotes a projection from  $X$  onto its subspace  $X_n$ ,  $P_n^*$  is the adjoint of  $P_n$ . For each  $\alpha > 0$  Equation (1.5) has a unique solution  $x_\alpha^{h\delta n}$  and if

$$X_n \subset X_{n+1}, \forall n, P_n x \rightarrow x, \forall x \in X (\|P_n\| = 1),$$

the sequence  $\{x_\alpha^{h\delta n}\}$  converges to  $x_\alpha^{h\delta}$ , as  $n \rightarrow +\infty$  (see [14]). It is very important for computation to know convergence rates for the sequence  $\{x_\alpha^{h\delta n}\}$ ; whether

$$\lim_{\substack{n \rightarrow \infty \\ \alpha \rightarrow 0}} x_\alpha^{h\delta n} = x_0$$

and convergence rates of the sequence  $\{x_\alpha^{h\delta n}\}$ .

Note that the above questions were stated and studied in [6, 8] for linear ill-posed problems and in [11, 12] for nonlinear ones for variational method of Tikhonov regularization. These problems were also considered in [4, 5] by the

author for the problems with monotone operators in Hilbert spaces. Here we generalize this results for Banach spaces.

Later, the symbols  $\rightharpoonup$  and  $\rightarrow$  denote weak convergence and convergence in norm, respectively.

In the following section we suppose that all the above conditions are satisfied.

## 2. Main results.

Firstly, we prove a result above convergence rates for  $\{x_\alpha^{h\delta}\}$ .

*Theorem 2.1.* If the following conditions hold: (i)  $A_h$  are Fréchet differentiable at a some neighbourhood of  $x_0$   $s-1$ -times if  $s = [s]$  - the integer part of  $s$ ,  $[s]$ -times if  $s \neq [s]$ ,

(ii) there exists a constant  $\tilde{L}$  such that

$$\|A_h^{(k)}(x_0) - A_h^{(k)}(x)\| \leq \tilde{L}\|x_0 - x\|, \forall x \in S(x_0, r),$$

$k = s-1$  if  $s = [s]$ ,  $k = [s]$  if  $s \neq [s]$ , and if  $[s] \geq 3$   $A_h^{(2)}(x_0) = \dots = A_h^{(k)}(x_0) = 0$ , where  $S(x_0, r)$  is a ball with centre  $x_0$  and radius  $r > 0$ ,

(iii) there exist elements  $z_h$  such that

$$A_h^{(s)}(x_0)z_h = U^s(x_0)$$

and if  $s = [s]$  then  $\tilde{L}\|z_h\| \leq m\delta!$ .

Then, if  $\alpha$  is chosen as  $\alpha = O((h + \alpha)^\mu)$ ,  $0 < \mu < 1$ , we obtain

$$\|x_\alpha^{h\delta} - x_0\| = O((h + \delta)^\theta), \theta = \min\{(1 - \mu)/(s - 1), \mu/s\}.$$

*Proof.* From (1.1) and (1.3) it follows

$$m\|x_\alpha^{h\delta} - x_0\|^s \leq (\delta + h\|x_0\|)\|x_\alpha^{h\delta} - x_0\| + \alpha\langle U^s(x_0), x_0 - x_\alpha^{h\delta} \rangle.$$

From here and condition (iii) of the theorem we can write

$$m\|x_\alpha^{h\delta} - x_0\|^s \leq (\delta + h\|x_0\|)\|x_\alpha^{h\delta} - x_0\| + \alpha\langle z_h, A_h'(x_0)(x_0 - x_\alpha^{h\delta}) \rangle. \quad (2.1)$$

If  $s = [s]$ , since  $A_h$  are  $s-1$ -times Fréchet differentiable at  $x_0$  and  $A_h^{(2)}(x_0) = \dots = A_h^{(s-1)}(x_0) = 0$ , we have

$$A_h'(x_0)(x_0 - x_\alpha^{h\delta}) = A_h(x_0) - A_h(x_\alpha^{h\delta}) + r_\alpha^{h\delta}$$

with

$$\|x_\alpha^{h\delta}\| \leq \frac{\bar{L}}{\delta!} \|x_\alpha^{h\delta} - x_0\|^s.$$

Together with (2.1) this inequality gives us

$$\alpha \left( m - \frac{\bar{L}}{\delta!} \|x_h\| \right) \|x_\alpha^{h\delta} - x_0\|^s \leq (\delta + h \|x_\alpha^{h\delta} - x_0\| + \alpha(\delta + h \|x_0\| + \alpha \|x_\alpha^{h\delta}\|)).$$

Using the relation in [10]:

$$a, b, c \geq 0, p > q, a^p \leq ba^q + c \implies a^p = O(b^{p/(p-q)} + c)$$

we obtain

$$\|x_\alpha^{h\delta} - x_0\| = O((h + \delta)^\theta), \quad \theta = \min((1 - \mu)^{1/(s-1)}, \mu/s)$$

If  $s \neq [s]$ , then

$$\|x_\alpha^{h\delta}\| \leq \frac{\bar{L}}{([s] + 1)!} \|x_\alpha^{h\delta} - x_0\|^{[s]+1}$$

and we have the estimate

$$\alpha \left( m - \frac{\bar{L}}{([s] + 1)!} \|x_h\| \| \|x_\alpha^{h\delta} - x_0\|^{[s]+1-s} \right) \|x_\alpha^{h\delta} - x_0\|^s \leq (\delta + h \|x_\alpha^{h\delta} - x_0\| + \alpha(\delta + h \|x_0\| + \alpha \|x_\alpha^{h\delta}\|^{s-1})).$$

Since  $x_\alpha^{h\delta} \rightarrow x_0$  as  $h/\alpha, \delta/\alpha \rightarrow 0$  and  $[s] + 1 - s > 0$

$$m - \frac{\bar{L}}{([s] + 1)!} \|x_h\| \| \|x_\alpha^{h\delta} - x_0\|^{[s]+1-s} > 1/2$$

for sufficiently small  $\alpha$ . This remark completes the proof of the theorem. Q.E.D.

If  $X$  is a Hilbert space, then  $U^s = I, s = 2, m = 1, \vartheta = 1$  and  $c(R) = 1$ . For the spaces of Lebegue's type  $l_p, L_p, W_m^p, p > 1$  we can construct  $U^s$  satisfying the conditions (1.3) and (1.4) (see [1]):

$$1 < p < 2 : s = 2, m = p - 1, c(\rho) = p 2^{2p-1} e^p L^{p-1},$$

$$e = \max\{2^p, 2\rho\}, 1 < L < 3.18, \vartheta = p - 1;$$

$$2 < p : s = p, m = 2^{2-p}/p, c(\rho) = 2^p \rho^{p-2} \{p[p-1 + \max\{\rho, L\}]\}^{-1}, \vartheta = 1.$$

In the case of Hilbert spaces and Banach spaces with  $s = 2$  the requirement of zero for Fréchet derivative is redundant. The case  $s > 2$  will be illustrated

in next section. Condition (iii) of the theorem was proposed to investigate convergence rates of regularized solutions for variational method of Tikhonov regularization (see [7]). It is easy to see that if  $A$  is shaperly known and Fréchet differentiable, we can formulate the theorem by replacing  $A_h$  by  $A$ . If  $A$  is not sufficiently smooth in sense of Fréchet, we have to approximate it by sufficiently smooth  $A_h$ .

**Theorem 2.2.** If the following conditions hold:

- (i) conditions (i) and (ii) of Theorem 2.1 at some neighbourhood of  $S_0$ ,
- (ii)  $\alpha = \alpha(h, \delta, n) \rightarrow$  such that  $h/\alpha, \delta/\alpha \rightarrow 0$  and

$$\gamma_n * \alpha^{-1} \rightarrow 0.$$

Then the sequence  $\{x_\alpha^{h\delta n}\}$  converges to  $x_0$ .

*Proof.* By (1.1) and (1.5)

$$A_h^n(x_\alpha^{h\delta n}) - A_h^n(x_0^n) + \alpha(U^{sn}(x_\alpha^{h\delta n}) - U^{sn}(x_0^n)) = f^n - A_h^n(x_0^n) - \alpha U^{sn}(x_0^n) - f^n + P_n^* A(x_0), \quad x_0^n = P_n x_0, \quad f^n = P_n^* f.$$

Thus

$$\alpha \langle U^s(x_\alpha^{h\delta n}) - U^s(x_0^n), x_\alpha^{h\delta n} - x_0^n \rangle \leq (\delta + h \|x_0\|) \|x_\alpha^{h\delta n} - x_0^n\| + \langle A_h(x_0) - A_h(x_0^n), x_\alpha^{h\delta n} - x_0^n \rangle + \alpha \langle U^s(x_0^n), x_0^n - x_\alpha^{h\delta n} \rangle. \quad (2.2)$$

If  $s = [s]$ , we can write

$$A_h(x_0^n) - A_h(x_0) = A_h'(x_0)(P_n - I)x_0 + r_h^n$$

with

$$\|r_h^n\| \leq \frac{\bar{L}}{\delta!} \|(I - P_n)x_0\|^s.$$

Then we have

$$\alpha m \|x_\alpha^{h\delta n} - x_0^n\|^s \leq (\delta + h \|x_0\| + \|A_h'(x_0)(I - P_n)x_0\| + \frac{\bar{L}}{\delta!} \|(I - P_n)x_0\|^s) \|x_\alpha^{h\delta n} - x_0^n\| + \alpha \langle U^s(x_0^n), x_0^n - x_\alpha^{h\delta n} \rangle. \quad (2.3)$$

Together with the conditions of the theorem this inequality gives us that the sequence  $\{x_\alpha^{h\delta n}\}$  is bounded. Without loss of generality, let  $x_\alpha^{h\delta n} \rightarrow x_1$  as  $h, \delta, \alpha \rightarrow 0$  and  $n \rightarrow +\infty$ . Now, we write the monotone property for  $A^n = P_n^* A P_n$  as

$$\langle A^n(x^n) - A^n(x_\alpha^{h\delta n}), x^n - x_\alpha^{h\delta n} \rangle \geq 0, \quad \forall x \in X, \quad x^n = P_n x.$$

As  $P_n^* P_n^* = P_n^*$ , the last inequality may be written by the form

$$\langle A(x^n) - A^n(x_\alpha^{h\delta n}), x^n - x_\alpha^{h\delta n} \rangle \geq 0.$$

From here and (1.5) it implies

$$h \|x_\alpha^{h\delta}\| \|x^n - x_\alpha^{h\delta n}\| + \langle A(x^n) - f^n, x^n - x_\alpha^{h\delta n} \rangle + \alpha \|x\| \|x^n - x_\alpha^{h\delta n}\| \geq 0.$$

Passing  $h, \delta, \alpha \rightarrow 0$  and  $n \rightarrow +\infty$  in this inequality we obtain

$$\langle A(x) - f, x - x_1 \rangle \geq 0, \quad \forall x \in X.$$

By Minty's lemma  $x_1 \in S_0$ . By (2.2) and (1.3) we also have  $\|x_1\| \leq \|x_0\|, \forall x_0 \in S_0$ . Since  $S_0$  is a convex and closed subset of a strictly convex Banach space  $X$ , then  $x_1 = x_0$ . Consequently, entire sequence  $\{x_\alpha^{h\delta n}\}$  converges weakly to  $x_0$ . The inequality (2.2) also gives us that  $\|x_\alpha^{h\delta n}\| \rightarrow \|x_0\|$ . Now, the property of  $X$  guarantees the strong convergence of the sequence  $\{x_\alpha^{h\delta n}\}$  to  $x_0$ .

If  $s \neq [s]$ , then the term  $\frac{\tilde{L}}{s} \|(I - P_n)x_0\|^s$  will be placed by  $\frac{\tilde{L}}{([s]+1)} \|(I - P_n)x_0\|^{[s]+1}$  in (2.3) and the process of proof of the theorem will be entirely repeated. Q.E.D.

Now, we shall prove a result about convergence rates for the sequence  $\{x_\alpha^{h\delta n}\}$ .

**Theorem 2.3.** If the following conditions hold:

- (i) conditions (i)-(iii) of theorem 2.1 and
- (ii)  $\alpha$  is chosen as  $\alpha = O((h + \delta)^{1/2} + \gamma_n^\theta)$

Then

$$\|x_\alpha^{h\delta n} - x_0\| = O((h + \delta)^{1/4} + \gamma_n^{\theta/2}).$$

*Proof.* Since

$$\begin{aligned} \|A_h(x_0) - A_h(x_0^n)\| &\leq h\|x_0\| + \delta + \|f_\delta - A_h(x_0^n)\|, \\ \langle U^s(x_0^n), x_0^n - x_\alpha^{h\delta n} \rangle &= \langle U^s(x_0^n) - U^s(x_0), x_0^n - x_0 \rangle + \langle U^s(x_0), x_0^n - x_\alpha^{h\delta n} \rangle \\ &\leq C(R)\|(I - P_n)x_0\|^\theta + \langle U^s(x_0), x_0^n - x_\alpha^{h\delta n} \rangle \end{aligned}$$

the inequality (2.2) gives

$$\alpha m \|x_\alpha^{h\delta n} - x_0^n\|^s \leq 2(\delta + h)\|x_0\| + \|f_\delta - A_h(x_0^n)\|$$

$$+ \alpha C(R)\gamma_n^\theta \|x_\alpha^{h\delta n} - x_0^n\| + \alpha \langle U^s(x_0), x_0^n - x_\alpha^{h\delta n} \rangle.$$

Thus

$$\begin{aligned} \alpha m \|x_\alpha^{h\delta n} - x_0^n\|^s &\leq 2(\delta + h)\|x_0\| + \|f_\delta - A_h(x_0^n)\| \\ &+ \alpha C(R)\gamma_n^\theta \|x_\alpha^{h\delta n} - x_0^n\| + \alpha \|x_0\|^s \gamma_n + \alpha \langle U^s(x_0), x_0 - x_\alpha^{h\delta n} \rangle. \end{aligned}$$

If  $s = [s]$ , as

$$\begin{aligned} \|f_\delta - A_h(x_0^n)\| &\leq \delta + \|A(x_0) - A_h(P_n x_0)\| \\ &\leq \delta + h\|x_0\| + \|A_h(x_0) - A_h(P_n x_0)\| \\ &\leq O(\delta + h + \|A_h(x_0)\|\gamma_n) \end{aligned}$$

and

$$\begin{aligned} \langle U^s(x_0), x_0 - x_\alpha^{h\delta n} \rangle &= \langle z_h, A'_h(x_0)(x_0 - x_\alpha^{h\delta n}) \rangle \\ &\leq \langle z_h, A_h(x_0) - A_h(x_0^n) \rangle + \frac{\tilde{L}}{s!} \|x_\alpha^{h\delta n} - x_0^n\|^s \|z_h\| \end{aligned}$$

we have

$$\begin{aligned} \alpha m \|x_\alpha^{h\delta n} - x_0^n\|^s &\leq O(\delta + h + \alpha \gamma_n^\theta) \|x_\alpha^{h\delta n} - x_0^n\| \\ &+ \alpha O(\delta + h + \gamma_n^\theta + \alpha). \end{aligned}$$

If  $s \neq [s]$ , the left-hand side of this inequality is

$$\alpha \left( m - \frac{\tilde{L}}{([s]+1)!} \|z_h\| \|x_\alpha^{h\delta n} - x_0^n\|^{[s]+1-s} \right) \|x_\alpha^{h\delta n} - x_0^n\|^s.$$

Therefore

$$\|x_\alpha^{h\delta n} - x_0^n\| = O((\delta + h)^{1/4} + \gamma_n^{\theta/2}).$$

Then

$$\|x_\alpha^{h\delta n} - x_0\| = O((\delta + h)^{1/4} + \gamma_n^{\theta/2}). \quad \text{Q.E.D.}$$

### 3. Example.

Consider the nonlinear integral equation

$$\int_0^1 k(t, y) F(\varphi(y)) dy = f_0(t), \quad (3.1)$$

where  $k(t, y) = t(1-y)$ , if  $y-t \leq 0$  and  $y(1-t)$  otherwise

$F(t)$  is a non-decreasing differential function on  $(-\infty, +\infty)$  satisfying the condition  $|F(t)| \leq a_0 + b_0 |t|^{p-1}, \forall t \in R, a_0 + b_0 > 0$  and  $f_0 \in L_p[0, 1]$ .

Define the operator

$$(K\varphi)(t) = \int_0^1 k(t, y)\varphi(y)dy, \quad \forall \varphi \in L_q[0, 1], \quad p^{-1} + q^{-1} = 1,$$

$$F(\phi)(t) = F(\phi(t)), \quad \forall \phi \in L_p[0, 1].$$

Suppose that equation (3.1) has solution  $u(t)$  which is expressed in the form

$$u(t) = K^*x(t).$$

Then to find a solution of (3.1) we have to solve the following equation

$$Ax \equiv KFK^*x = f_0, \quad f_0 \in X^* := L_p[0, 1],$$

with the monotone Fréchet differentiable operator  $A$ , and  $X = L_q[0, 1]$ .

Obviously, from [9] it follows that the problem (3.1) is an ill-posed one. If  $2 \leq p < +\infty$ , then  $1 < q \leq 2$  and condition (iii) of Theorem 2.1 is described in the form

$$A^*(x_0)x = U^*(x_0),$$

where

$$U^*(\varphi) = \|\varphi\|_{L_q[0,1]}^{2-q} |\varphi(t)|^{q-2} \varphi(t), \quad t \in [0, 1]$$

and  $A^*(x_0) = KF'(K^*x_0)K^*$ .

Condition (iv) of Theorem (2.1) is  $(q-1)2 \geq \bar{L}\|z\|$ .

If  $1 < p \leq 2$ , then  $2 < q < +\infty$ , this last condition is superfluous. If  $F^{(2)}(K^*x_0) = \dots = F^{(k)}(K^*x_0) = 0$ , then  $A^{(2)}(x_0) = \dots = A^{(k)}(x_0) = 0$ . Function  $F(t)$  which satisfies this conditions in fact exists, for instance

$$F(t) = (t - t_0)^5 + (t - t_0)$$

with  $u(t) \equiv t_0$ .

### Acknowledgments

The author would like to thank Professor Abdus Salam, the International Atomic Energy Agency and UNESCO for hospitality at the International Centre for Theoretical Physics, Trieste.

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