

I-5

## Phase Transitions and Neutron Scattering

G. Shirane  
Brookhaven National Laboratory,  
Upton, N. Y. 11973, U. S. A.

### ABSTRACT

A review is given of recent advances in neutron scattering studies of solid state physics. I have selected the study of a structural phase transition as the best example to demonstrate the power of neutron scattering techniques. Since energy analysis is relatively easy, the dynamical aspects of a transition can be elucidated by the neutron probe. I shall discuss in some detail current experiments on the 100K transition in  $\text{SrTiO}_3$ , the crystal which has been the paradigm of neutron studies of phase transitions for many years. This new experiment attempts to clarify the relation between the neutron central peak, observed in energy scans, and the two length scales observed in recent x-ray diffraction studies where only scans in momentum space are possible.

### INTRODUCTION

Over the years the neutron scattering techniques, in particular, triple axis spectrometers have played a major role in dynamical studies of structural phase transitions. The 100 K transition in  $\text{SrTiO}_3$  is one of the most extensively studied. This was the first example of the zone boundary soft mode condensations<sup>1)</sup> and was studied in 1969. Following this, the central peak was discovered by Riste et al<sup>2)</sup> in 1971 and Shapiro et al<sup>3)</sup> subsequently characterized it in great detail. Recently a series of x-ray investigations<sup>4),5)</sup> reported the new phenomena of two length scales in  $\text{SrTiO}_3$ : (1) the "narrow" q peak only observable near  $T_C$ , and (2) temperature dependent thermal diffuse peaks originating from the soft modes. Similar two length scales were also reported<sup>6),7)</sup> for  $\text{KMnF}_3$  and  $\text{RbCaF}_3$ . These observations prompted the current neutron scattering experiment<sup>8)</sup> in order to clarify the relation between the x-ray and neutron observations.

Fig. 1 demonstrates an example of energy scans of  $\text{SrTiO}_3$ , which consists of the soft mode peak at  $\Delta E = \omega_\infty$  and the sharp central peak at  $\Delta E = 0$ . The renormalized soft-mode frequency  $\omega_0$  is related to  $\omega_\infty$  by a coupling constant  $\delta^2$

$$\omega_0^2 = \omega_\infty^2 - \delta^2 \quad (1)$$

under certain assumptions,<sup>3)</sup> the integrated intensities of these three peak structures are given by

$$\int S(\vec{q}, \omega) d\omega = \int S(q, \omega)_{\text{cent}} d\omega + \int S(q, \omega)_{\text{phonon}} d\omega$$

$$\frac{1}{\omega_0^2} = \frac{\delta^2}{\omega_0^2 \omega_\infty^2} + \frac{1}{\omega_\infty^2} \quad (2)$$

It is the renormalized frequency  $\omega_0$  which goes to zero at  $T_c$  causing a divergence in the central peak and total integrated intensity (Fig. 1).

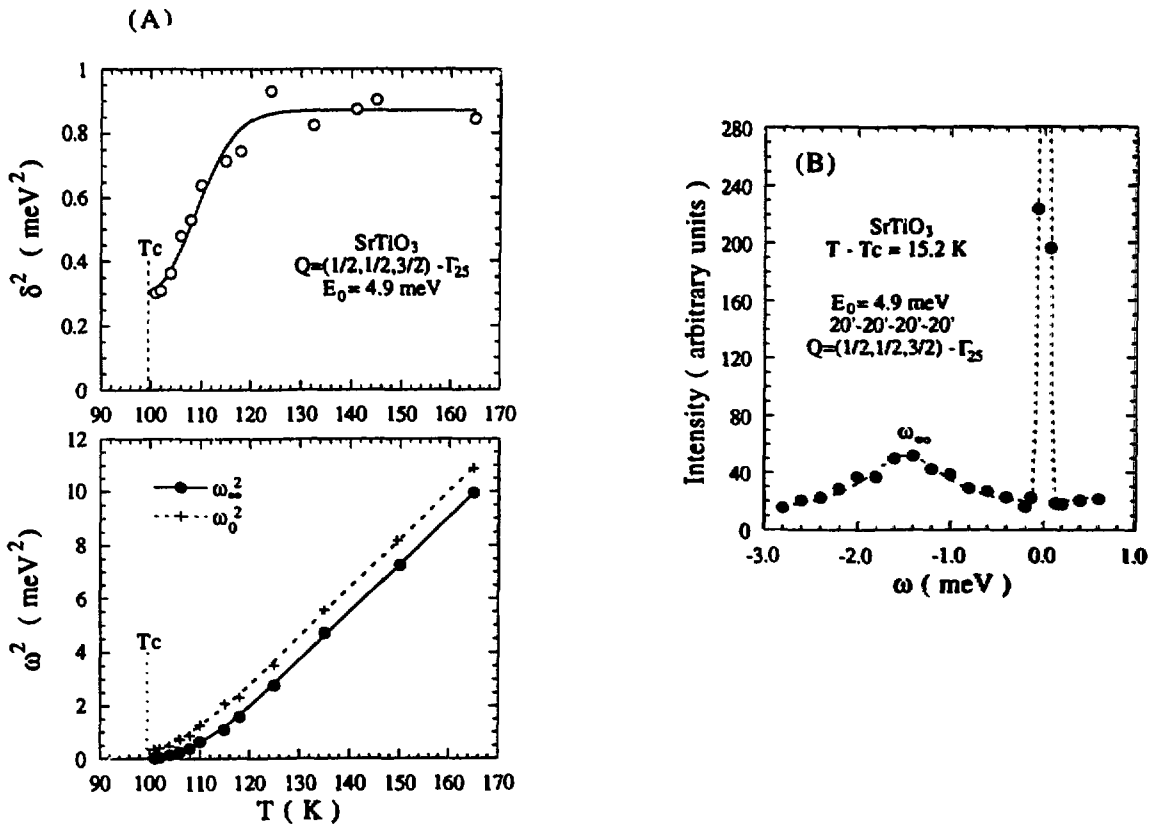


Figure 1

Fig. 1 (A) temperature dependence of  $\omega_\infty^2$ ,  $\omega_0^2$  and  $\delta^2$  near the 100K transition in SrTiO<sub>3</sub> (B) Neutron profiles of side-band  $\omega_\infty$  and central peak around  $\omega = 0$ . After Shapiro et al.<sup>3)</sup>

Recent high resolution x-ray studies revealed a narrow and broad peak centered at the zone boundary position as shown in Fig. 2 Andrews<sup>4</sup> reported

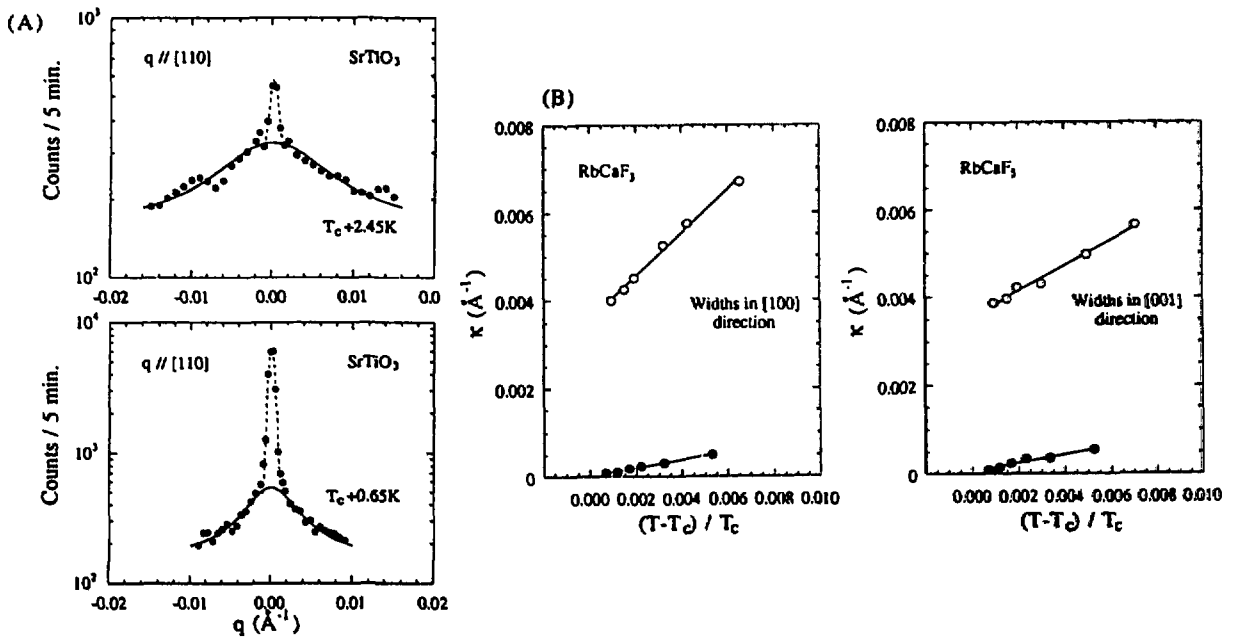


Figure 2

Fig. 2 (A) two length scales observed by Andrews<sup>4</sup> for SrTiO<sub>3</sub>. (B) Anisotropy of short length scale and isotropy of long length scale observed by Ryan et al<sup>7</sup> for RbCaF<sub>3</sub>

the first observation of two length scales in SrTiO<sub>3</sub> (Fig. 2a) and more accurate line width measurements were later presented by McMorrow et al<sup>5</sup>). Fig 2b illustrates similar two inverse correlation lengths<sup>7</sup>)  $\kappa_{L1}$  and  $\kappa_{L2}$  for RbCaF<sub>3</sub>. The broad peaks ( $\kappa_{L1}$ ) have Lorentzian profiles and are anisotropic, which reflects the anisotropic slopes of the phonon dispersion curves measured along the (100) and (011) directions about the R point. On the other hand, the narrow peaks ( $\kappa_{L2}$ ) have a Lorentzian squared shape and are isotropic. The latter are observable only in a narrow temperature range near  $T_c$  ( $(T - T_c) / T_c \leq 0.05$ ).

Now, what is the relation between the new narrow peak in  $q$  space observed in the x-ray experiment and the central peak in  $\omega$  space observed in the neutron studies? To clarify this point, it is necessary to characterize more precisely the  $q$  dependence of the central peak in terms of a neutron experiment. The soft phonon energy  $\omega_\infty^2$  follows a dispersion relation ( $q$  is measured relative to the zone boundary). This slope  $\alpha$  is known to be temperature independent<sup>3</sup>

$$\omega_{\infty}^2(q) = \omega_{\infty}^2 + \alpha q^2 \quad (3)$$

and depend upon direction; namely  $\alpha = 3200 \text{ meV}^2 \text{ \AA}^2$  for (100) and  $\alpha = 1000 \text{ meV}^2 \text{ \AA}^2$  for (011) for  $\text{SrTiO}_3$ . Since integrated intensities of phonons are proportional to  $1/\omega_{\infty}^2(q)$  the inverse correlation length  $\kappa_{\infty}$  is given by.

$$\kappa_{\infty}(T) = \sqrt{\frac{\omega_{\infty}^2(T)}{\alpha}} \quad (4)$$

The total integrated intensity is proportional to  $\omega_0^{-2}(q)$  (Eq. 2) and if we assume  $\delta^2$  is  $q$  independent,  $\kappa_0$  is given as:

$$\kappa_0(T) = \sqrt{\frac{\omega_0^2(T)}{\alpha}} \quad (5)$$

The quantities  $\kappa_{\infty}$  and  $\kappa_0$  are calculated from the temperature dependence of  $\omega_{\infty}$  and  $\omega_0$  measured in ref. 3 and are plotted in Fig. 3 for the [011] direction for  $\text{SrTiO}_3$ . Also shown are the inverse correlation lengths  $\kappa_{L1}$  and  $\kappa_{L2}$  determined by x-ray diffraction<sup>4),5)</sup>. The observed values of  $\kappa_{L1}$  lie between  $\kappa_{\infty}$  and  $\kappa_0$ , but closer to the latter. As  $T \rightarrow T_c$ , both  $\kappa_0$  and  $\kappa_{L2}$  approaches zero; however  $\kappa_0$  remains an order of magnitude larger than  $\kappa_{L2}$ . Therefore the small value of  $\kappa_{L2}$  can not be explained by the central peak unless  $\delta^2$  is strongly  $q$  dependent and decreases rapidly with increasing  $q$ . This point can easily be checked by a neutron scattering experiment as described below.

As seen in Fig. 1, the central peak of  $\text{SrTiO}_3$  can be easily separated out, in energy, from the phonon part, except for a very narrow temperature and  $q$  range near  $T_c$ . Even in these areas, the intensity of the central peak dominates the spectrum and the high resolution neutron study probes essentially only the central peak (Fig. 4) If  $\delta$  is  $q$  dependent, the intensities of the central peak alone are given by (See Eq. 1 and 2).

$$I_{\text{cent}} \propto \frac{1}{\kappa_0^2 + q^2} - \frac{1}{\kappa_{\infty}^2 + q^2} = \frac{\delta^2}{(\kappa_0^2 + q^2)(\kappa_{\infty}^2 + q^2)} \quad (6)$$

This equation gives a Lorentzian like profile near  $T_c$  where  $\omega_{\infty}^2 \gg \kappa_0^2$  and its half width  $\kappa_c$  approaches  $\kappa_0$  as  $T \rightarrow T_c$ . At high temperature limits, it changes into a Lorentzian squared profile with  $\kappa_c$  approaching  $0.64 \kappa_{\infty}$ . If the basic

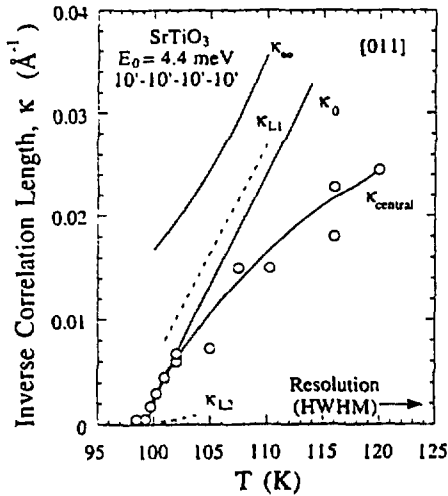


Fig. 3

Fig. 3 The inverse correlation length  $\kappa$ 's derived for SrTiO<sub>3</sub>. Open circles are current high resolution neutron data<sup>8</sup>.

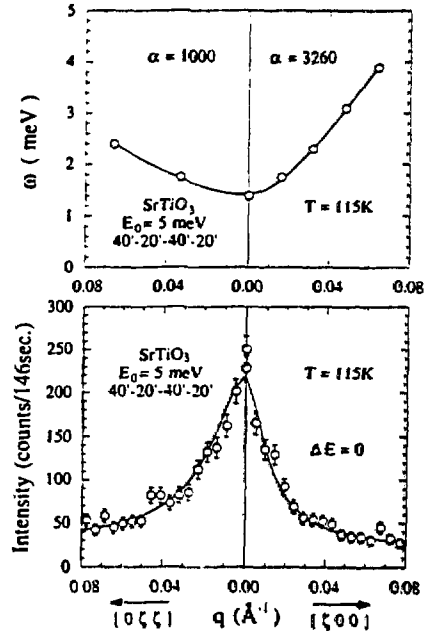


Fig. 4

Fig. 4 Anisotropy of phonon dispersions observed for SrTiO<sub>3</sub>. The lower figures illustrate similar anisotropy reflected in the central peaks at  $\Delta E = 0$ . These are results of the current joint experiment<sup>8</sup> with Cowley, Matsuda and Shapiro.

assumption of  $q$  independent  $\delta^2$  is correct, the observed profile of the central peak should reflect the anisotropy of  $\kappa_\infty$  is in the  $q$  space.

This key point is clearly demonstrated in Fig. 4, the data obtain at 115K.

Moreover the observed line widths  $\kappa_c(T)$  are in reasonably good agreement with the prediction of Eq. 6. The data taken near  $T_c$  with the better resolutions revealed the same characteristics. The highest resolution obtained so far employed  $4.4E_i$ ,  $10'$  collimation throughout with a PG(002) monochromator and analyzer. The resolutions (FWHM) are  $0.003$ - $0.004 \text{ \AA}^{-1}$ , depending upon the direction of the scan. These values are only a factor of two or three larger than the x-ray resolution used in Fig. 2. We can now conclude that the central peak is an integral part of soft phonon cross sections over a wide range of temperature (up to 180 K) and  $q$  (up to  $0.1 \text{ \AA}^{-1}$ ) and corresponds most closely with  $\kappa_{L1}$

measured with x-rays. It does not correspond to the narrow  $q$  peak  $\kappa_{1,2}$  in the x-ray profiles.

Can we conclude that the narrow component is missing in the neutron central peak profile? If so, the narrow peak must be highly localized in the near surface region or the "skin" of the crystal (a few microns) and can be detected only by x-rays. The answer is probably yes. But in order to be positive, it would be highly desirable to carry out neutron measurements with  $q$  resolution nearly identical to those of x-ray. This is actually possible for the current study, since the  $\text{SrTiO}_3$  crystal has a nearly perfect mosaic width of 7 seconds of arc<sup>9)</sup>. Combined with a perfect  $\text{Ge}(220)$  analyzer, one can reach the resolution of  $0.001 \text{ \AA}^{-1}$ . This experiment, which is expected to be low counting, is now being planned.

What is the current physical picture of two length scales? The most attractive one is to assume two types of excitations<sup>7)</sup>; one is the ordinary soft phonon within the framework of cubic lattice vibration. Impurities may create the central peak to modify the spectral weight. Another excitation of long length scale is a very different type of excitation into a tetragonal "embryo", near  $T_c$ . This has a finite size, is isotropic; and with the size growing as  $T$  approaches  $T_c$ . Most likely these "embryos" are highly populated near the surface so that it is more sensitive to x-rays.

The 100 K transition in  $\text{SrTiO}_3$  is second order and the tetragonal distortion below  $T_c$  is extremely small.  $\text{KMnF}_3$  and  $\text{RbCaF}_3$  both show first order transitions and the lattice distortion at  $T_c$  are 1.0009 and 1.0002 respectively<sup>6,7</sup>, within the detectable range of high resolution x-ray technique. There has been some experimental evidence presented<sup>6),7)</sup> that the narrow component just above  $T_c$  does not appear at the cubic R points but at the  $q$  point corresponding to the low temperature superlattice Bragg position. The lattice distortion is sufficiently large for  $\text{KMnF}_3$  and can be detected by high resolution neutron measurements<sup>10)</sup>. In this case, the narrow peak should appear at a position slightly shifted from the central peak maximum; which would considerably enhance the detectability<sup>10)</sup>.

Very recently, similar two peak structures are observed for magnetic critical scattering of Ho by Thurston et al<sup>11)</sup>. In this case, neutrons see both broad and sharp components and x-ray see only the narrow one. Further study of other structural and magnetic phase transitions would reveal how common these two length scales are near  $T_c$ .

#### ACKNOWLEDGEMENT

The current neutron scattering studies of  $\text{SrTiO}_3$  presented in this review are being carried out jointly with R. Cowley, M. Matsuda, and S. Shapiro and details will be reported soon. I would like to thank my colleagues, as well as S. R. Andrews, for many stimulating discussions. Work at Brookhaven was supported by the Division of Materials Science, U.S. Department of Energy under contract no. DE-AC02-76CH00016.

## REFERENCES

- 1) G. Shirane and Y. Yamada, *Phys. Rev.* 177, 858 (1969). R. Cowley et al., *Solid State Commun.* 7, 181 (1969).
- 2) T. Riste et al., *Solid State Commun.* 9, 1455 (1971).
- 3) S. M. Shapiro et al., *Phys. Rev. B* 4, 4332 (1972).
- 4) S. R. Andrews, *J. Phys. C*, 19, 3712 (1986).
- 5) D. F. Mc Morrow et al., *Solid State Commun.* 76, 443 (1990).
- 6) U. J. Nicholls and R. A. Cowley, *J. Phys. C* 20, 3417 (1987) and A. Gibaud et al. *Phys. Rev. B* 44, 2437 (1991).
- 7) T. W. Ryan et al. *Phys. Rev. Lett.* 56, 2704 (1986).
- 8) G. Shirane, R. A. Cowley, M. Matsuda, and S. M. Shapiro. To be published.
- 9) J. R. Schneider et al., *Phase Transitions* 8, 17 (1986).
- 10) Study now underway by H. Chou, J. E. Lorenzo, A. Gibaud, S. M. Shapiro, and G. Shirane.