



XA04N1281

# **FUTURE DIRECTIONS**

**R. J. LUTZ, JR.  
WESTINGHOUSE RISK ASSESSMENT  
PITTSBURGH**

# OVERVIEW OF TOPICS

- **SAFETY GOALS**
- **U.S. SEVERE ACCIDENT POLICY**
- **CODE DEVELOPMENT**
- **RESEARCH**
- **ANALYSES AND OPERATOR ACTION**
- **ACCIDENT MANAGEMENT**
- **LINKING PRA TO DETERMINISTIC ANALYSES**

# **PRINCIPLE CONSIDERATIONS IN RISK GOALS**

- **SMALL INCREMENT IN EXISTING BACKGROUND OF RISKS**
- **PROTECT BOTH:**
  - **GENERAL POPULATION**
  - **NEARBY RESIDENTS**
  - **PLANT INVESTMENT**
- **CONSISTENT WITH RISK OF OTHER MAN-CAUSED ACTIVITIES**
- **COST-BENEFIT CONSIDERATION AFTER MINIMUM SAFETY LEVELS ACHIEVED**
- **GOAL PROPORTIONAL TO BENEFITS TO BE GAINED**
- **RECOGNITION OF INVOLUNTARY VS VOLUNTARY RISK AVERSION**

# **NUCLEAR REGULATORY COMMISSION FOR TRAIL USE**

## **PRIMARY GOALS**

- **PROMPT FATALITY**
  - **<0.1% OF BACKGROUND ACCIDENT RISK  
(5 x 10<sup>-4</sup>/PERSON-YR) AVERAGED OVER FIRST  
MILE OFFSITE**
- **LATENT CANCER FATALITY RISK**
  - **<0.1% OF BACKGROUND CANCER RISK  
(2 x 10<sup>-3</sup>/PERSON-YR) AVERAGED OVER  
10 MILES OFFSITE**

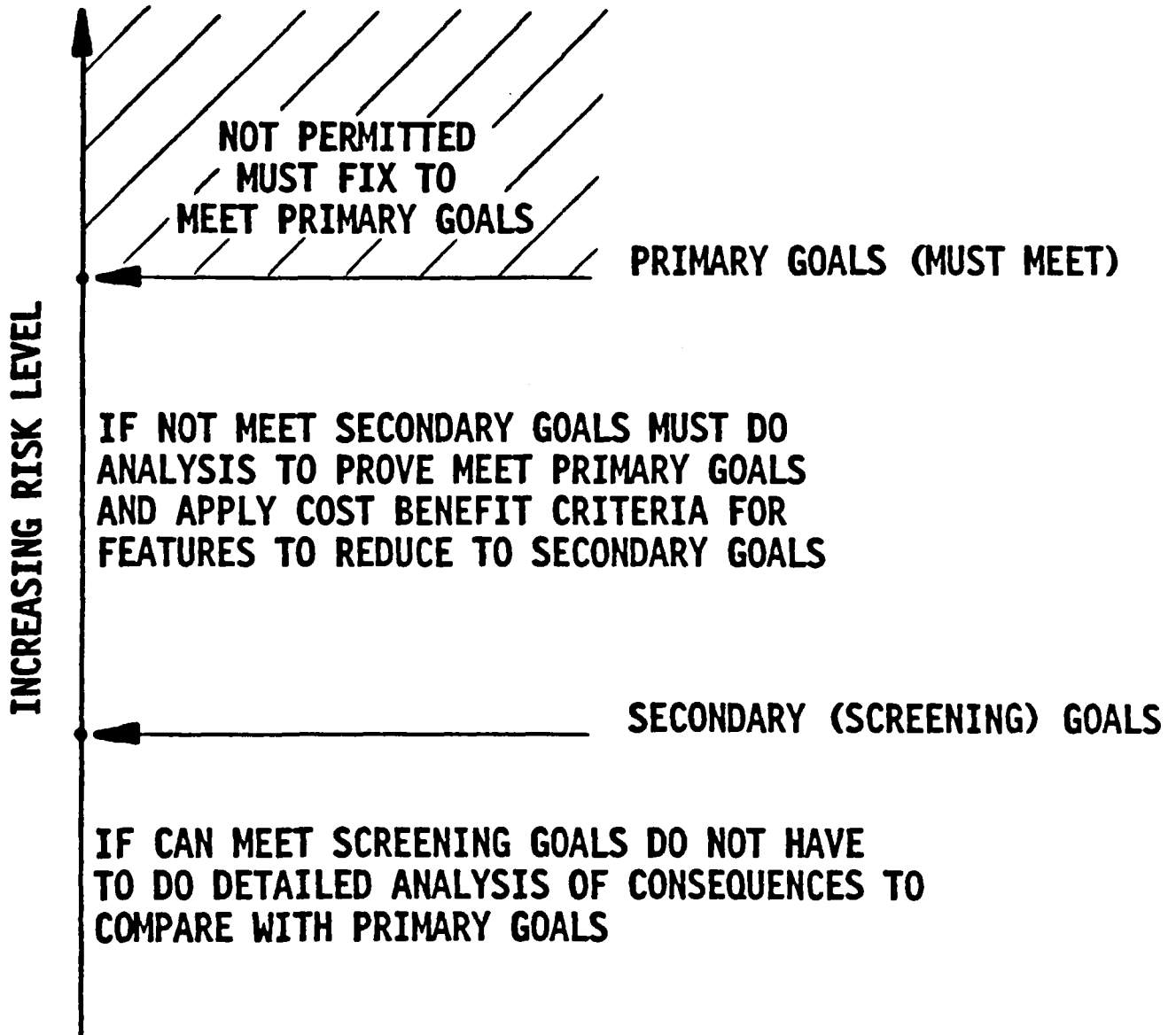
## **SECONDARY – SCREENING GOALS**

- **PLANT PERFORMANCE**
  - **<10<sup>-4</sup>/YR COREMELT**
- **CONTAINMENT PERFORMANCE**
  - **<10<sup>-6</sup>/YR LARGE RELEASE**

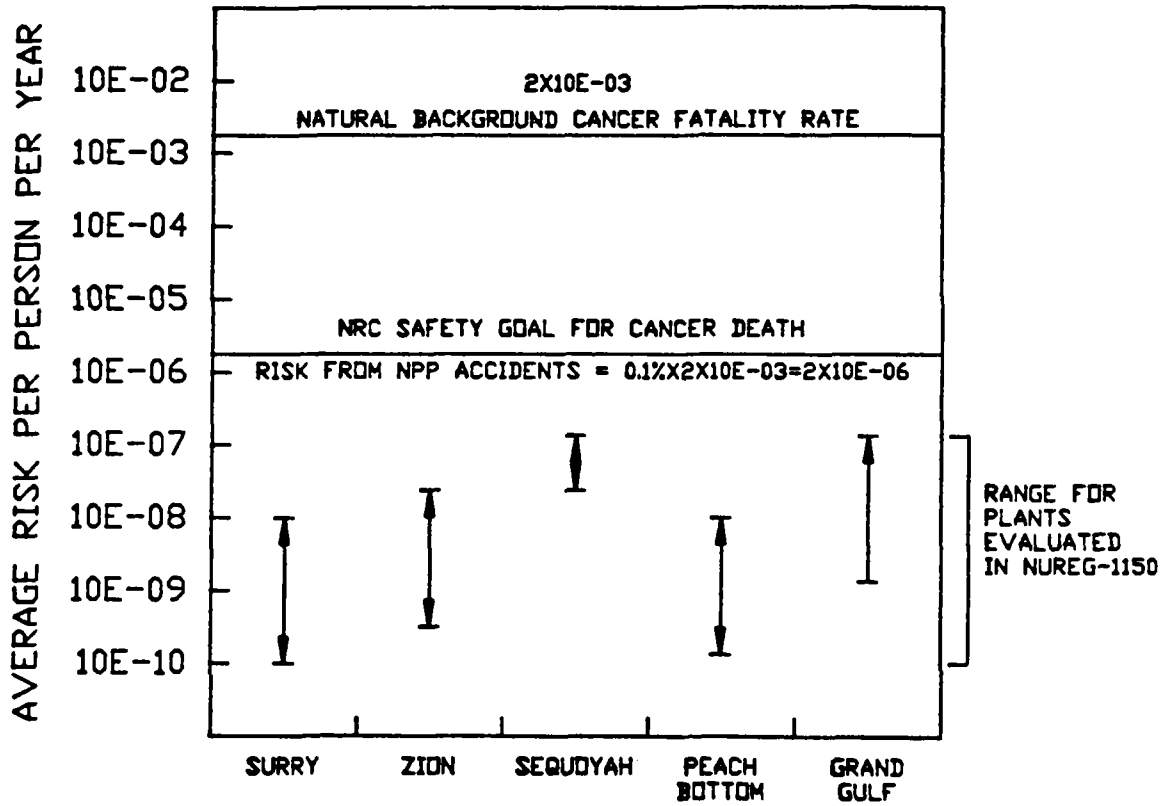
## **COST BENEFIT CRITERION**

- **SHOULD SPEND UP TO \$1000/MAN-REM AVERTED  
(EQUIVALENT TO \$10 MILLION PER LIFE SAVED)**

# GENERAL NATURE OF HIERARCHY OF PROPOSED RISK GOALS



## EXAMPLE OF SAFETY GOAL COMPARISON



COMPARISON OF ESTIMATED CANCER  
FATALITY RISKS TO SAFETY GOAL

# EUROPEAN SAFETY GOALS

- BEING DEVELOPED SEPARATELY BY EACH COUNTRY --- NO EEC PROGRAM
- EXAMPLES

## ITALY – NEW PLANTS

CORE MELT FREQUENCY  $< 10^{-5}$  PER YEAR  
RELEASE  $> 0.1\%$  OF CORE  $< 10^{-6}$  PER YEAR

## O.K. – NEW PLANTS

CORE MELT FREQUENCY  $< 10^{-5}$  PER YEAR

# DESIGN OBJECTIVES FOR NEW REACTORS U.S.A. INDUSTRY

- **MEAN FREQUENCY OF OCCURRENCE FOR OFFSITE DOSES >25 REM WHOLE BODY OF  $<10^{-6}$  PER YEAR**
- **MEAN FREQUENCY OF CORE DAMAGE OF  $<10^{-5}$  PER YEAR**

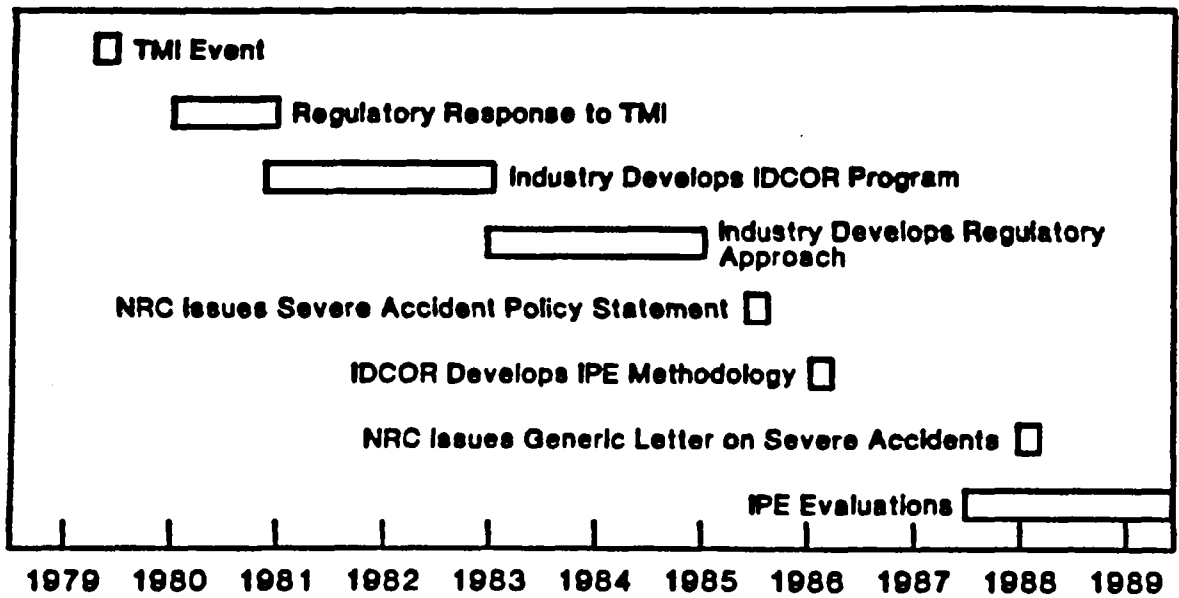


# **SEVERE ACCIDENT POLICY STATEMENT**

- **NRC CONCLUDED THAT ON THE BASIS OF CURRENT INFORMATION EXISTING PLANTS POSE NO UNDUE RISK TO THE PUBLIC HEALTH AND SAFETY**
- **NO IMMEDIATE ACTION WAS TAKEN BY NRC ON GENERIC RULEMAKING OR OTHER REGULATORY CHANGES FOR EXISTING PLANTS**
- **EACH NUCLEAR UTILITY IS REQUIRED TO PERFORM A LIMITED SCOPE OF INDIVIDUAL PLANT EVALUATION (IPE)**

# SEVERE ACCIDENT POLICY STATEMENT

## "A Decade of Evolution"



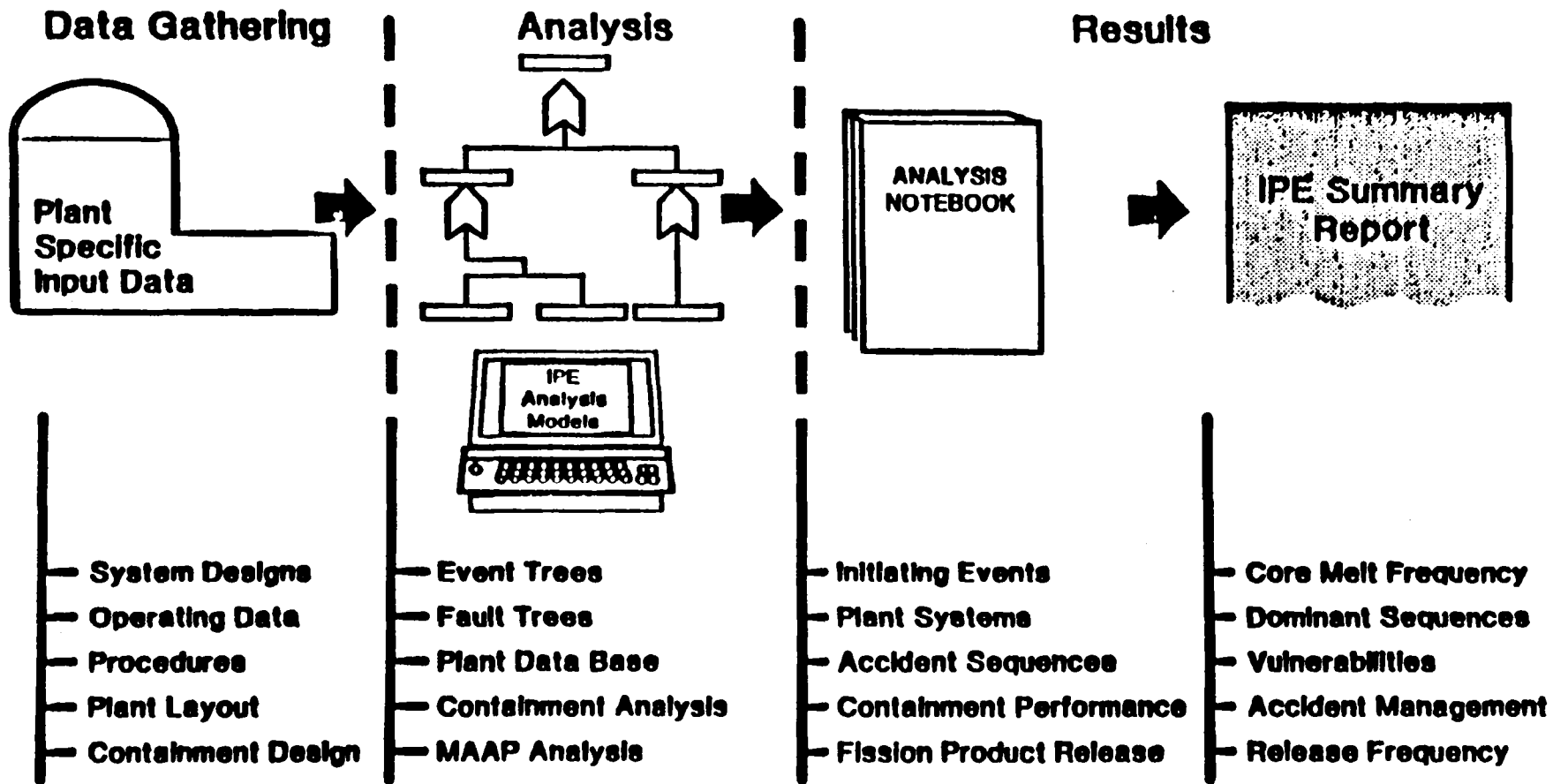
# **ELEMENTS OF AN IPE**

## **PHASE I: SYSTEM ANALYSIS**

- **DETERMINE EXPECTED FREQUENCY OF CORE DAMAGE**

## **PHASE II: SOURCE TERM ANALYSIS**

- **DETERMINE CONTAINMENT PERFORMANCE AND FISSION PRODUCT RETENTION CAPABILITY**



# **INDIVIDUAL PLANT EVALUATION REGULATORY STATUS**

- **NRC EXPECTED TO ISSUE SEVERE ACCIDENT POLICY STATEMENT GENERIC LETTER IN JULY 1988**

- **GENERIC LETTER WILL STATE:**

**(1) ALL UTILITIES MUST PERFORM LIMITED SCOPE  
PROBABILISTIC SAFETY STUDIES**

**(A) IPE METHODOLOGY**

**– STANDARDIZED, OPTIMIZED AND  
PREAPPROVED**

**(B) LEVEL 1 PRA STUDY PLUS CONTAINMENT  
EVALUATION**

**(C) OTHER PRA METHOD WITH NRC APPROVAL**

**(2) UTILITIES MUST SUBMIT IPE SCHEDULE TO THE  
NRC WITHIN 120 DAYS**

**(3) UTILITIES MUST COMPLETE IPE'S WITHIN 30  
MONTHS**

# **GENERIC LETTER APPENDICES**

- **GUIDANCE ON EXAMINATION OF CONTAINMENT SYSTEMS PERFORMANCE**
  - **A REPORT, "ASSESSMENT OF SEVERE ACCIDENT PREVENTION AND MITIGATION FEATURES: MARK I CONTAINMENT DESIGN" OR AN ANALOGOUS DOCUMENT APPROPRIATE TO THE MAJOR CONTAINMENT TYPES**
  
- **SEQUENCE SELECTION CRITERIA – TO SELECT ACCIDENT SEQUENCES SIGNIFICANT ENOUGH FOR FURTHER CONSIDERATION**
  
- **PLANT DESIGN GUIDELINES – TO ESTABLISH THRESHOLD RISK VALUES, BASED ON COMMISSION'S SAFETY GOAL POLICY, FOR USE IN FINAL EVALUATION OF IPE'S**
  
- **ELEMENTS OF AN ACCEPTABLE ACCIDENT MANAGEMENT PLAN**
  
- **DOCUMENTATION REQUIREMENTS**
  
- **DECAY HEAT REMOVAL VULNERABILITY INSIGHTS**

# **NRC IPE REVIEW PROCESS**

- **120 DAYS TO RESPOND TO IPE GENERIC LETTER WITH IMPLEMENTATION SCHEDULE**
- **WHATEVER OPTION IS SELECTED, NRC RESPONSE TO SUBMITTALS IS INTENDED TO BE RESPONSIBLY PROMPT**
- **WORKSHOPS AFTER ISSUANCE OF IPE GENERIC LETTER**
- **NRC WILL PREPARE AN IPE REVIEW DOCUMENT OF THE STAFF AND CONTRACTOR REVIEWERS TO INCLUDE:**
  - **ARCS OF REVIEW**
  - **DOCUMENTATION OF ADEQUACY OF IPE RESULTS**
  - **INTERPRETATION OF THE RESULTS**
  - **ACTION LEVELS**
  - **SAMPLE EVALUATIONS**
- **IPE REVIEW DOCUMENT WILL BE MADE AVAILABLE TO ALL UTILITIES SHORTLY AFTER THE GENERIC LETTER**

# CODE DEVELOPMENT

- **MAAP SEVERE ACCIDENT CODE**
  - **EPRI ORGANIZED "MAAP USERS GROUP"**
    - **INTERACTION AMONGST MAAP USERS**
    - **CONTINUED DEVELOPMENT**
  - **W/FAI CONTINUED DEVELOPMENT**
  
- **NRC SEVERE ACCIDENT CODES**
  - **STCP**
    - **LIMITED FUTURE DEVELOPMENT**
  - **MELCOR/MELPROG**
    - **LARGE SCALE EFFORT FOR DEVELOPMENT/  
VERIFICATION**



# RESEARCH AREAS

- **CORE-CONCRETE INTERACTION**
- **FISSION PRODUCT PHENOMENA**
- **DIRECT CONTAINMENT HEATING**
- **FUEL COOLANT INTERACTIONS**
- **HYDROGEN BEHAVIOR**
- **ACCIDENT MITIGATION STRATEGIES**

# **ANALYSES AND OPERATOR ACTIONS**

- **CURRENT SEVERE ACCIDENT ANALYSES ONLY MODEL OPERATOR ACTIONS WHICH CAN PREVENT CORE DAMAGE. EXAMPLES INCLUDE:**
  - **SWITCHOVER TO RECIRCULATION CORE COOLING**
  - **FEED AND BLEED CORE COOLING**
  
- **OTHER ACTIONS ARE SPECIFIED IN PLANT EMERGENCY PROCEDURES WHICH CAN IMPACT THE SEVERE ACCIDENT PROGRESSION**
  - **TIMING OF EVENTS**
  - **OCCURRENCE OF VARIOUS PHENOMENA**

**THESE ACTIONS HAVE A HIGH PROBABILITY OF SUCCESSFUL IMPLEMENTATION DUE TO THE TIME AT WHICH THEY ARE PRESCRIBED. THE MAJOR ACTIONS FOR PWRS INCLUDE:**

- **REACTOR COOLANT DEPRESSURIZATION USING PRESSURIZER PORVS AND**
- **REACTOR COOLANT COOLDOWN AND DEPRESSURIZATION USING STEAM GENERATORS**

Surry Dominant Accident Sequences

SEQUENCE	FREQUENCY	CONTRIBUTION TO CORE MELT
T1(SL)-D1CF1	6.6E-06	26.4 %
S3D1	2.6E-06	10.4 %
T4JQ-H1	1.9E-06	7.6%
T4HQ-H1	1.6E-06	6.4 %
T1(LT)D1CF1	1.3E-06	5.2 %
T1(ST)D1CF1	1.3E-06	5.2 %
T1LP	1.1E-06	4.4 %
TKRD4	1.1E-06	4.4 %
EVENT-V	9.0E-07	3.6 %
S2H1	8.9E-07	3.6 %
T4JQ-H2	8.1E-07	3.2 %

NOTE: Based on total core melt frequency of 2.5 E-04 given in Table IV.9-2 of NUREG/CR-4550, Vol. 3.

Surry Dominant Accident Sequence Operator Actions

(TOP 10 EVENT SEQUENCES, EXCLUDING EVENT -V)

Plant Damage State	Sequence	System Failures	Operator Actions
SNNN	T1(SL)-D1CF1	Offsite A.C.; Onsite A.C.; RCP Seals; Non-recovery of A.C. in 1/2 hr. after seal LOCA	None
TYYB	S3D1	RCP Seal LOCA; HPSI	None
SY YB	T4JQ-H1	Loss of 480 VAC bus PORV isolation; LPSI recirc.	None
SY YB	T4HQ-H1	Loss of 480 VAC bus PORV isolation; LPSI recirc.	None
TNNN	T1L(LT)D1CF1	Offsite A.C.; Onsite A.C.; Batteries at 4 hr.; Non-recovery of A.C. in 3 hr. after battery depletion	None
TNNN	T1L(ST)D1CF1	Offsite A.C.; Onsite A.C.; AFW; Non-recovery of A.C. within 1/2 hr.	None
TY YB	T1LP	Offsite A.C.; AFW; PORVs	None
TY YB	TKRD4	Transient; RPS; Manual Scram; HPSI-Emerg. Boration	Failure to manually scram; failure to perform emerg. boration
SY YB	S2H1	Small LOCA; LPSI recirc.	None
SY YB	T4JQ-H2	Loss of 480 VAC bus PORV isolation; HPSI recirc.	None

NOTE: \* Taken from Table V.1-2 of NUREG/CR-4550, Vol. 3  
 \* Operator actions refer to successful or failed operator actions in the dominant sequence cutsets from Section V of NUREG/CR-4550, Vol. 3

STATUS OF IMPORTANT PARAMETERS  
FOR THE CASE OF OPERATOR ACTIONS / NO OPERATOR ACTIONS

	RCS PRESSURE	RCS PRESSURE	SG LEVEL	SG PRESSURE	ACCUM. DISCHARGE
	@ CORE UNC.	@ VF	@ CORE UNC.	@ CORE UNC.	
T1(SL)-D1CF1	M/H	M/H	D/D	H/H	Prior to Unc.
S3D1	L/H	L/H	N/N	L/H	Prior to Unc.
T4JQ-H1	M/H	L/H	N/N	L/H	Prior to Unc.
T4HQ-H1	M/H	L/H	N/N	L/H	Prior to Unc.
T1(LT)D1CF1	H/H	H/H	D/D	H/H	Prior to Unc.
T1(ST)D1CF1	H/H	H/H	D/D	H/H	At VF
T1LP	H/H	L/H	D/D	H/H	At Core Heatup
TKRD4	H/H	H/H	N/N	H/H	At VF
S2H1	L/M	L/M	N/N	L/H	Prior to Unc.
T4JQ-H2	M/H	L/H	N/N	L/H	Prior to Unc.

-----  
LEGEND:

High	High	Normal	High	Prior to core un- covery At core heatup At reactor vessel failure
Medium	Medium	Dry	Medium	
Low	Low		Low	

# **SEVERE ACCIDENT MANAGEMENT SCOPE**

- **ORGANIZATION FOR DECISION-MAKING**
- **INSTRUMENTATION REQUIRED FOR SEVERE ACCIDENT MANAGEMENT**
- **EMERGENCY OPERATING PROCEDURES FOR SEVERE ACCIDENT MITIGATION:**
  - **ONSITE DAMAGE**
  - **OFFSITE CONSEQUENCES**
- **TRAINING OF OPERATING STAFF**

# **SEVERE ACCIDENT MANAGEMENT ORGANIZATION**

- **PRESENT UTILITY ORGANIZATION SPECIFIES RESPONSIBILITIES FOR:**
  - **PLANT CONTROL ROOM**
  - **EMERGENCY OPERATIONS FACILITY**
  - **TECHNICAL SUPPORT CENTER**
  - **UTILITY HEADQUARTERS**
  
- **PROPOSED MODIFICATIONS INCLUDE:**
  - **TSC STAFF GUIDANCE, TRAINING AND DRILLS**
  - **MONITOR STRATEGIES BY CONTROL ROOM**
  - **ANTICIPATE FURTHER DEGRADATION OF SYSTEMS**
  - **RE-ESTABLISH REDUNDANCY, DIVERSITY, INDEPENDENCE**
  - **IMPLEMENT SEVERE ACCIDENT STRATEGIES**

# **SEVERE ACCIDENT MANAGEMENT INSTRUMENTATION**

- **PRESENT POST ACCIDENT MONITORING AND SAMPLING SYSTEM**
  - APPLIES TO ACCIDENTS UP TO, BUT NOT INCLUDING, CORE DAMAGE EVENTS
  - REQUIRED TO BE ENVIRONMENTALLY QUALIFIED FOR APPLICABLE CONDITIONS
  
- **SEVERE ACCIDENT INSTRUMENTATION REQUIRED IN ORDER TO:**
  - MONITOR STATUS OF PLANT IN SEVERE ACCIDENT CONDITION
  - IMPLEMENT SEVERE ACCIDENT PROCEDURES OR MITIGATING ACTIONS
  
- **INVESTIGATIONS REQUIRED TO:**
  - DETERMINE LIMITED SET OF INSTRUMENTATION REQUIRED
  - DEFINE MINIMUM EQUIPMENT QUALIFICATION REQUIREMENTS



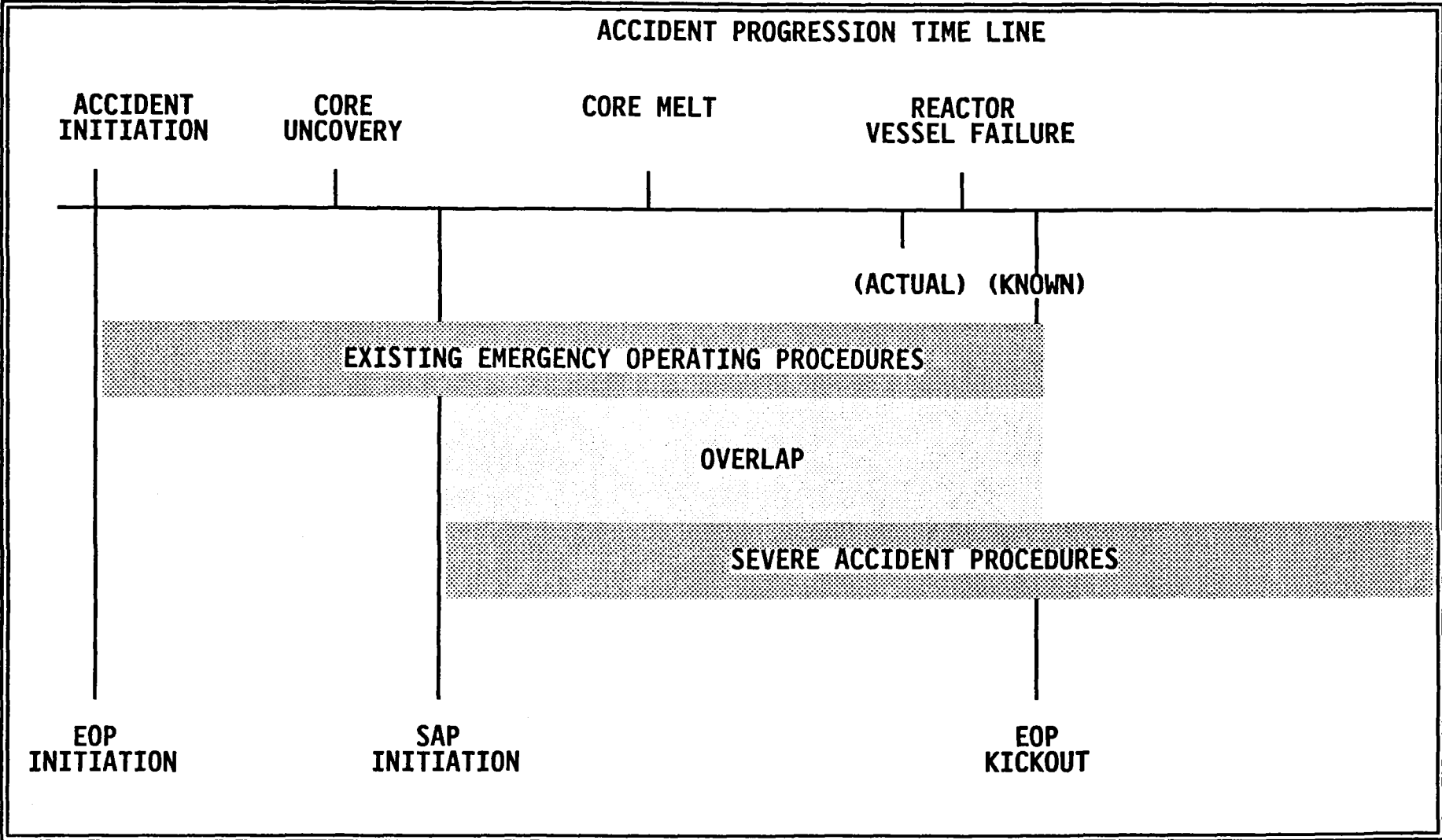
# **SEVERE ACCIDENT PROCEDURES**

- **PLANT OPERATING STAFF GUIDANCE FOR DEALING WITH A SEVERE ACCIDENT IN WHICH DAMAGE TO THE REACTOR CORE HAS OCCURRED.**
- **SEVERE ACCIDENT PROCEDURES ARE ALREADY BEING DEVELOPED IN EUROPE AND JAPAN**
  - **FRENCH U-PROCEDURES**
  - **WESTINGHOUSE PROJECT FOR RINGHALS**
  - **MITSUBISHI EFFORTS IN JAPAN**
- **U.S. ACTIVITIES**
  - **BWR OWNERS GROUP EVALUATION OF EOPS FOR SEVERE ACCIDENTS**
  - **BOSTON EDISON DEVELOPMENT OF TSC GUIDANCE DOCUMENT**
  - **SEABROOK INVESTIGATION OF STATION BLACKOUT**
- **PROPOSED FUTURE REQUIREMENT IN THE U.S.A. AS PART OF IMPLEMENTATION OF SEVERE ACCIDENT POLICY**

# SEVERE ACCIDENT PROCEDURES

- **THE INITIAL STAGES OF SEVERE ACCIDENTS ARE ADDRESSED BY THE PRESENT ERG/FRG FRAMEWORK**
  - **ERG/FRG COVER ACTIONS UP TO CORE DAMAGE**
  - **ERG/FRG STRUCTURE ASSUMES SOME SAFEGUARD SYSTEMS ARE AVAILABLE**
  
- **SEVERE ACCIDENT PROCEDURES WOULD CONTINUE BEYOND THE PRESENT ERG/FRG FRAMEWORK**
  - **INITIATING WOULD BE FROM PRESENT ERG/FRG**
  - **ACTION WOULD EXTEND APPLICABILITY TO ALL CREDIBLE POTENTIAL ACCIDENT OUTCOMES**
  - **ACTIONS WOULD COVER ALL POTENTIAL PLANT EQUIPMENT STATES**
  - **PROCEDURES WOULD BE FUNCTIONALLY ORIENTED (vs. EVENT ORIENTED)**
  
- **SEVERE ACCIDENT PROCEDURES IMPLEMENTATION RESPONSIBILITY (CONTROL ROOM vs. EOF vs. TSC) TO BE ADDRESSED:**
  - **INTERFACES**
  - **TECHNICAL EVALUATION REQUIRED**
  - **DOWN-SIDE RISKS**

INTERFACE OF PRESENT EMERGENCY PROCEDURES  
WITH SEVERE ACCIDENT PROCEDURES



# **OPERATING STAFF SEVERE ACCIDENT MANAGEMENT TRAINING**

- **PRESENT SIMULATORS ARE NOT CAPABLE OF REPRESENTING CORE DAMAGE ACCIDENTS**
  
- **OPTIONS FOR TRAINING ARE LIMITED**
  - **TRAINING SIMILAR TO PRESENT EMERGENCY DRILLS (PRE-ANALYZED SCENARIOS)**
  
  - **TRAINING WITH PC-BASED SIMULATOR SUCH AS MAAP-GRAAPH**
  
  - **TRAINING USING PRA RESULTS IN CLASSROOM SESSIONS**

# INTEGRATION OF SEVERE ACCIDENT AND DETERMINISTIC APPROACHES

---

- The accident at Three-Mile Island forced a re-evaluation of the “design basis”
  - Peak clad temperatures  $> 2200^{\circ}\text{F}$
  - Hydrogen generation  $> 1\%$
  - Coolable geometry was violated

} All violated LWR design basis
- However
  - Core remained coolable
  - Containment remained intact
  - Core was put into safe shutdown mode

} Design was adequate to handle accidents beyond design basis
- However to the NRC/Industry, it was clear that accidents within the design basis could lead to severe accidents beyond the design basis
  - Needs were identified for severe accident analysis methods
  - For procedures/operations to prevent severe accidents
  - Severe accident sequence required study
- The design basis for the LWR was extended

# LWR DESIGN BASIS

---

- The US LWR design basis has been broadened to include:
  - Hydrogen Rule (containment must withstand burning of H<sub>2</sub> from 75% Zirc/water Reaction)
  - Containment ultimate strength studies
  - Alternate methods of core coolability, feed and bleed, etc.
  - Symptomatic based emergency response guidelines
  - Offsite emergency planning requirements
- As a result
  - Individual plant evaluations are being performed (IP) to evaluate what design basis accidents could lead to severe core damage
  - Analytical methods (MAAP)/Compact) had been developed to evaluate LWR performance for severe accident sequences

# TRANSIENTS WHICH ARE USED TO ESTABLISH FUNCTIONAL REQUIREMENTS FOR SAFETY GRADE EQUIPMENT

---

- LOSS OF FLOW
- BORON DILUTION
- CONTROL ROD EJECTION
- ROD WITHDRAWAL AT POWER
- DROPPED ROD
- STEAMLINER BREAK
- FEEDLINE BREAK
- LOSS OF NORMAL FEEDWATER
- STEAM GENERATOR TUBE RUPTURE

SMALL BREAK  
LARGE BREAK LOCA

TOTAL LOSS OF ALL EQUIPMENT  
LOSS OF ALL CORE COOLING

NON-LOCA TRANSIENTS



DNB LIMIT  
FUEL CENTERLINE MELT  
RCS OVERPRESSURE  
COOLABLE GEOMETRY

LOCA TRANSIENTS



PEAK KW/M LIMIT

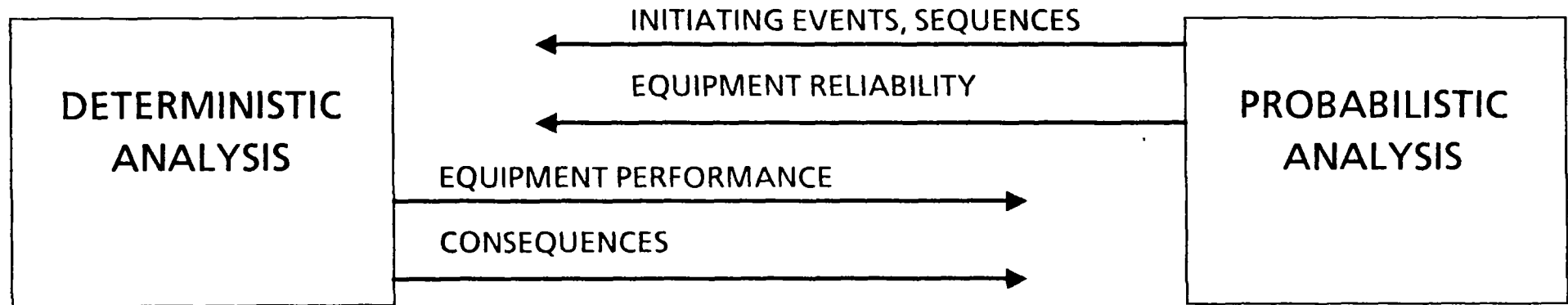
SEVERE FUEL DAMAGE,  
DEGRADED CORE



ULTIMATE CONTAINMENT  
INTEGRITY

# PLANT SAFETY EVALUATION/VERIFICATION

---



↑  
DETAILED THERMAL/HYDRAULIC ANALYSIS

- NON-LOCA
- LOCA
- SEVERE CORE DAMAGE

↑  
PLANT DESIGN CHARACTERISTICS

- EQUIPMENT RELIABILITY
- OPERATING PROCEDURES
- FAILURE PATHS