

COMBINING HIGHER-ORDER RESUMMATION WITH MULTIPLE NLO CALCULATIONS AND PARTON SHOWERS IN THE GENEVA MONTE CARLO FRAMEWORK

Simone Alioli,^{1 a} Christian W. Bauer,¹ Calvin Berggren,¹ Andrew Hornig,² Frank J. Tackmann,³
Christopher K. Vermilion,¹ Jonathan R. Walsh,¹ Saba Zuberi¹

¹*Ernest Orlando Lawrence Berkeley National Laboratory and
University of California, Berkeley, CA 94720, U.S.A.*

²*Department of Physics, University of Washington, Seattle, WA 98195, U.S.A.*

³*Theory Group, Deutsches Elektronen-Synchrotron (DESY), D-22607 Hamburg, Germany*

We discuss the GENEVA Monte Carlo framework, which combines higher-order resummation (NNLL) of large Sudakov logarithms with multiple next-to-leading-order (NLO) matrix-element corrections and parton showering (using PYTHIA 8) to give a complete description at the next higher perturbative accuracy in α_s at both small and large jet resolution scales. Results for $e^+e^- \rightarrow$ jets compared to LEP data and $pp \rightarrow (Z/\gamma^* \rightarrow \ell^+\ell^-) +$ jets are presented.

1 Introduction

Present and future colliders require accurate and reliable predictions of QCD effects, beyond the lowest perturbative accuracy in the strong coupling α_s expansion. For inclusive observables, such as total cross sections, the lowest perturbative accuracy is obtained via a fixed-order expansion in powers of α_s , truncated at the leading order. To get accurate results, one is usually forced to go at least to the next higher order, i.e., NLO, or even to NNLO. For more exclusive observables, the presence of logarithmically enhanced contributions in certain regions of phase space requires an all-orders resummation to obtain physically meaningful results. In this case, the proper lowest perturbative accuracy is the (N)LL resummation. In general, a description which aims to be valid across the entire phase space demands a combination of both types of corrections. At the lowest order, such a combination is achieved in Monte Carlo programs by the standard merging of matrix elements with parton showers (ME/PS).^{1,2}

The GENEVA framework³ extends this to higher perturbative accuracy by including the fixed NLO corrections as well as the NNLL resummation of the jet resolution parameter, which in our case is chosen to be N -jettiness⁴ due to its simple factorization and resummation properties. An immediate by-product of this combination of fixed-order and resummed results for different jet multiplicities is the merging of multiple NLO calculations, which has been the subject of several recent theoretical efforts.^{5,6,7,8,9} The key difference in our approach is the inclusion of higher logarithmic resummation^b of the jet resolution scale τ^{cut} , which allows us to push it to much lower values than fixed-order perturbation theory would allow. In this way, we can avoid the restriction $\alpha_s \ln^2 \tau^{\text{cut}} \ll 1$ that limits the range of applicability of other approaches.

^aSpeaker

^bThe inclusion of higher logarithmic resummation has also been proposed in a subsequent work¹⁰ as a possible way to remove the dependency on the jet resolution scale.

To provide further parton showering and hadronization, GENEVA is interfaced to PYTHIA 8.¹¹ In this way, the best possible theoretical predictions in the context of fully exclusive Monte Carlo event generators can be directly made available for experimental analyses.

2 Theoretical framework

We now give a brief description of our method, referring to the GENEVA paper³ for a comprehensive discussion. We first separate the exclusive N -jet and inclusive $(N+1)$ -jet regions,

$$\sigma_{\geq N} = \int d\Phi_N \frac{d\sigma}{d\Phi_N}(\mathcal{T}_N^{\text{cut}}) + \int d\Phi_{N+1} \frac{d\sigma}{d\Phi_{N+1}}(\mathcal{T}_N) \theta(\mathcal{T}_N > \mathcal{T}_N^{\text{cut}}), \quad (1)$$

where $d\sigma/d\Phi_N(\mathcal{T}_N^{\text{cut}})$ is the fully differential N -jet cross section for $\mathcal{T}_N < \mathcal{T}_N^{\text{cut}}$ and $d\sigma/d\Phi_{N+1}(\mathcal{T}_N)$ is the fully differential cross section for a given N -jettiness value $\mathcal{T}_N(\Phi_{N+1})$. The parameter $\mathcal{T}_N^{\text{cut}}$ is a small infrared cutoff ~ 1 GeV, whose dependence in the final results cancels to the required resummation order. In the N -jet region, where \mathcal{T}_N is small, we then resum the logarithms of \mathcal{T}_N/Q , with Q some hard scale of the process. In the $(N+1)$ -jet region, at large \mathcal{T}_N , we instead use a fixed-order expansion in α_s . To properly combine the higher fixed-order results at large \mathcal{T}_N with the higher-order resummation at small \mathcal{T}_N , with a smooth transition between these two regimes, we employ the following master formulas,

$$\begin{aligned} \frac{d\sigma}{d\Phi_N}(\mathcal{T}_N^{\text{cut}}) &= \frac{d\sigma^{\text{resum}}}{d\Phi_N}(\mathcal{T}_N^{\text{cut}}) + \left[\frac{d\sigma^{\text{FO}}}{d\Phi_N}(\mathcal{T}_N^{\text{cut}}) - \frac{d\sigma^{\text{resum}}}{d\Phi_N}(\mathcal{T}_N^{\text{cut}}) \Big|_{\text{FO}} \right], \\ \frac{d\sigma}{d\Phi_{N+1}}(\mathcal{T}_N) &= \frac{d\sigma^{\text{FO}}}{d\Phi_{N+1}}(\mathcal{T}_N) \left[\frac{d\sigma^{\text{resum}}}{d\Phi_N d\mathcal{T}_N} / \frac{d\sigma^{\text{resum}}}{d\Phi_N d\mathcal{T}_N} \Big|_{\text{FO}} \right], \end{aligned} \quad (2)$$

where the superscript “resum” indicates an analytically resummed calculation and “FO” indicates a fixed-order calculation or expansion. This construction can be iterated in the case of several multiplicities.³ At this stage, we have explicit control of the perturbative uncertainties and are able to estimate reliably both the fixed-order and resummation uncertainties and to combine them to provide perturbative event-by-event uncertainties.

Once the partonic GENEVA events are generated according to Eq. (2) – or its generalization in case of more jet multiplicities – they are fed through the PYTHIA 8 parton shower, whose purpose it is to fill up the jets with additional emissions. To preserve the perturbative accuracy of the higher-order resummation, the shower is constrained not to change the weight of an event and to preserve its value of \mathcal{T}_N . (In general, this is a nontrivial constraint and can be implemented with sufficient approximation and manageable efficiency.)

Finally, we rely on the PYTHIA 8 hadronization model to hadronize the final-state partons. No further constraints are applied in this step, since GENEVA’s partonic predictions do not include any nonperturbative effects.

3 Results

We first present results for $e^+e^- \rightarrow 2/3$ jets, using 2-jettiness \mathcal{T}_2 as the 2-jet resolution variable,

$$\mathcal{T}_2 = E_{\text{cm}} \left(1 - \max_{\hat{n}} \frac{\sum_k |\hat{n} \cdot \vec{p}_k|}{\sum_k |\vec{p}_k|} \right), \quad (3)$$

which is simply related to thrust T by $\mathcal{T}_2 = E_{\text{cm}}(1 - T)$. We perform the resummation in \mathcal{T}_2 to NNLL’ and include the full NLO₂, NLO₃, and LO₄ fixed-order matrix elements; i.e., we obtain NNLL’ _{\mathcal{T}} +NLO₃ predictions.

In Fig. 1, we show our results before and after PYTHIA 8 showering, compared with analytical resummations, for 2-jettiness, heavy jet mass, and jet broadening. We focus on the

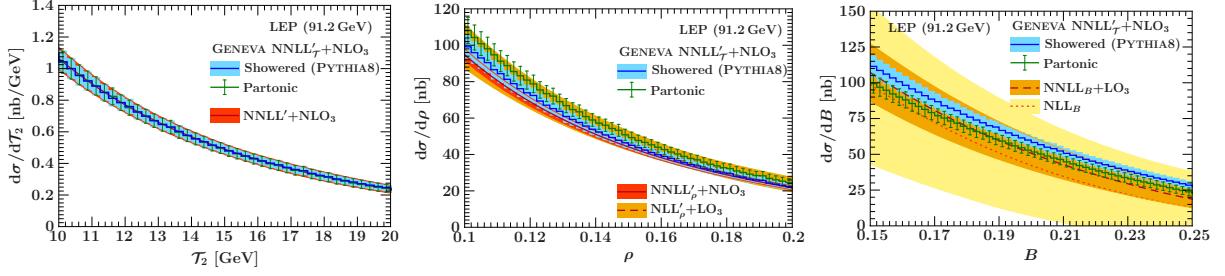


Figure 1: The 2-jettiness (left), heavy jet mass (central), and jet broadening (right) parton-level GENEVA results, compared with analytical resummation. The error bars or bands on the GENEVA histograms are built from event-by-event perturbative uncertainties. Statistical uncertainties from Monte Carlo integration are negligible.

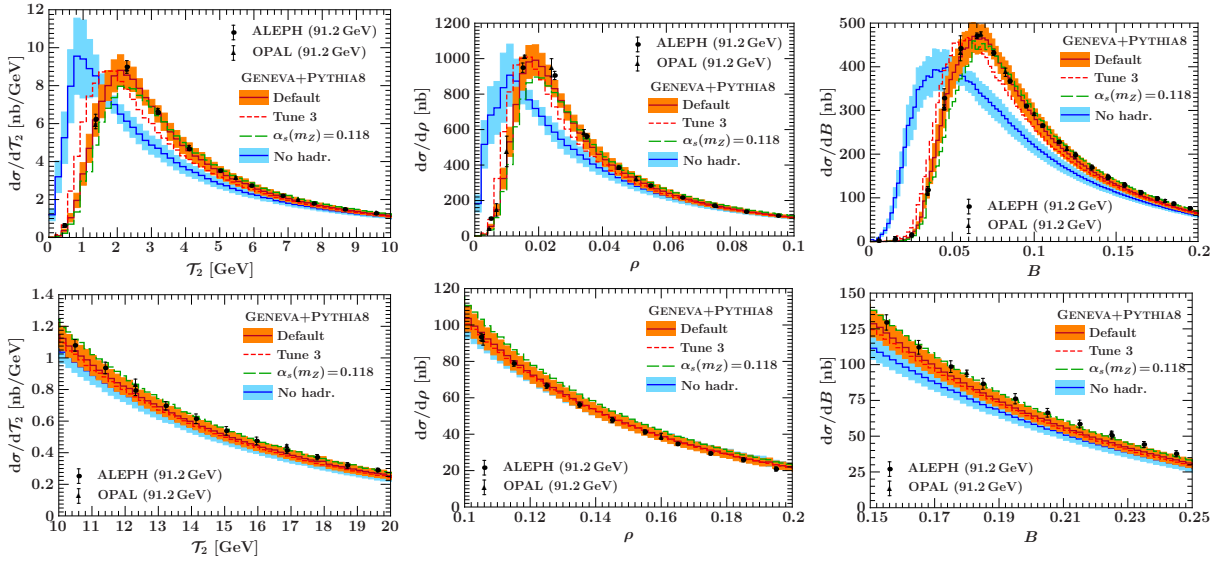


Figure 2: The 2-jettiness (left column), heavy jet mass (central column), and jet broadening (right column) distributions of GENEVA interfaced to PYTHIA 8, compared to ALEPH and OPAL data in the peak (upper line) and transition regions (lower line). Default results are obtained with PYTHIA 8 e^+e^- tune 1 and $\alpha_s(m_Z) = 0.1135$. Variations of the PYTHIA 8 tune, the $\alpha_s(m_Z)$ value, and results without hadronization are shown for comparison.

transition region, where the resummation and fixed-order calculations are both important, and their proper combination is necessary. GENEVA results are obtained with $E_{\text{cm}} = 91.2 \text{ GeV}$, $\alpha_s(m_Z) = 0.1135$ (from $N^3\text{LL}'$ thrust fits¹²), and PYTHIA 8.170 with e^+e^- tune 1. The perfect agreement for the \mathcal{T}_2 distribution, in both the central value and in the theoretical uncertainties, is a nontrivial crosscheck on the correctness of our implementation. Predictions for observables other than 2-jettiness are instead important to validate the GENEVA framework, since the logarithmic structure of these observables will in general be different from that of 2-jettiness. The close agreement with the analytic resummed results we find demonstrates that GENEVA is able to capture a large set of higher-order logarithms for observables other than the jet resolution variable \mathcal{T}_2 . In Fig. 2, we show our final results, including PYTHIA 8 hadronization, finding excellent agreement with ALEPH and OPAL data.

Next we discuss the ongoing extension to hadronic collisions. We show first results for $pp \rightarrow (Z/\gamma^* \rightarrow \ell^+\ell^-) + \text{jets}$, matching the 0- and 1-jet multiplicities, and using beam thrust,¹³ as the resolution parameter. An additional complication compared to the e^+e^- case is the presence of initial-state radiation. In the resummation, the collinear radiation from the incoming partons is described by beam functions, which can be factorized into a convolution of the usual parton distribution functions and perturbatively calculable coefficients.¹³ In Fig. 3, we show GENEVA results at NNLL+LO₁ for Drell-Yan production in pp collisions at $E_{\text{cm}} = 8 \text{ TeV}$, sampling the invariant mass Q of the $\ell^+\ell^-$ pair around the Z pole in the $M_Z \pm 10 \Gamma_Z$ interval. The agreement

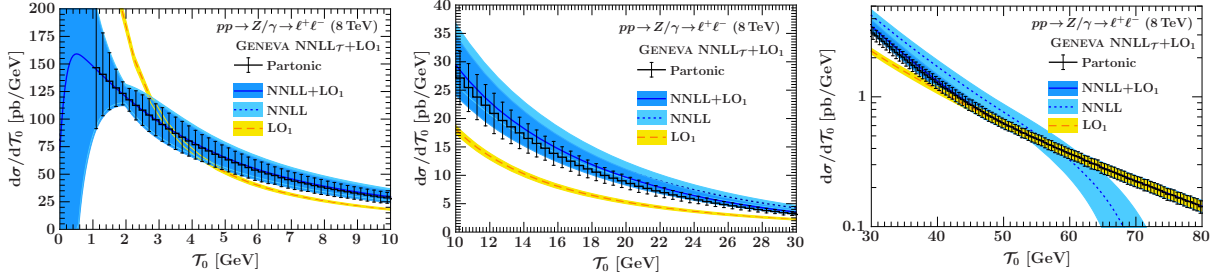


Figure 3: The GENEVA partonic results for Drell-Yan production, compared to the analytic resummation of \mathcal{T}_0 matched to fixed order at NNLL+LO₁, in the peak (left), transition (center), and tail (right) regions.

of central values and theoretical uncertainties with the fixed-order calculation at large \mathcal{T}_0 and with the NNLL analytic resummation at low \mathcal{T}_0 serves as a useful validation.

4 Conclusions

From the Monte Carlo perspective, the GENEVA framework achieves the combination of higher-order resummation with multiple NLO calculations. From the resummation perspective, it allows one to obtain fully differential results that correctly include the resummation of the jet resolution variable to higher logarithmic accuracy.

We have presented results for e^+e^- collisions, employing 2-jettiness as a resolution parameter. Using $\alpha_s(m_Z) = 0.1135$ together with tune 1 of PYTHIA 8, we obtain an excellent description of ALEPH and OPAL data, both for thrust and for observables whose resummation structure is distinct from that of 2-jettiness, namely C -parameter, heavy jet mass, and jet broadening.

The extension to pp collisions is in progress. Here, we have concentrated on the Drell-Yan process at the LHC, using beam thrust as the jet resolution variable and showing the first steps of such an implementation.

Acknowledgments

This work was supported by DOE grants DE-PS02-09ER09-26, DE-FGO2-96ER40956, NSF grants NSF-PHY-0705682, NSF-PHY-0969510, DFG EM grant No. TA 867/1-1 and used resources of NERSC, supported by the DOE grant DE-AC02-05CH11231.

References

1. S. Catani, F. Krauss, R. Kuhn, and B. R. Webber, *JHEP* **11** (2001) 063, [[hep-ph/0109231](#)].
2. L. Lönnblad, *JHEP* **05** (2002) 046, [[hep-ph/0112284](#)].
3. S. Alioli, C. W. Bauer, C. Berggren, A. Hornig, F. J. Tackmann, C. K. Vermilion, J. R. Walsh and S. Zuberi, [[arXiv:1211.7049](#)].
4. I. W. Stewart *et al.*, *Phys. Rev. Lett.* **105** (2010) 092002, [[arXiv:1004.2489](#)].
5. S. Hoeche *et al.* *JHEP* **1304** (2013) 027, [[arXiv:1207.5030](#)].
6. R. Frederix and S. Frixione, *JHEP* **1212** (2012) 061, [[arXiv:1209.6215](#)].
7. S. Alioli, K. Hamilton, and E. Re, *JHEP* **1109** (2011) 104, [[arXiv:1108.0909](#)].
8. S. Platzer, [[arXiv:1211.5467](#)].
9. L. Lönnblad and S. Prestel, *JHEP* **1303** (2013) 166 [[arXiv:1211.7278](#)].
10. K. Hamilton, P. Nason, C. Oleari and G. Zanderighi, [[arXiv:1212.4504](#)].
11. T. Sjöstrand, S. Mrenna, and P. Skands, *JHEP* **05** (2006) 026, [[hep-ph/0603175](#)].
12. R. Abbate *et al.*, *Phys. Rev. D* **83** (2011) 074021, [[arXiv:1006.3080](#)].
13. I. W. Stewart, F. J. Tackmann, and W. J. Waalewijn, *Phys. Rev. D* **81** (2010) 094035, [[arXiv:0910.0467](#)].